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### Aircraft engine exhaust emissions and other airport-related contributions to ambient air pollution

Masiol, Mauro; Harrison, Roy

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6 **AIRCRAFT ENGINE EXHAUST EMISSIONS**  
7 **AND OTHER AIRPORT-RELATED**  
8 **CONTRIBUTIONS TO AMBIENT AIR**  
9 **POLLUTION: A REVIEW**  
10

11 **Mauro Masiol and Roy M. Harrison<sup>\*†</sup>**

12  
13 **Division of Environmental Health and Risk Management**  
14 **School of Geography, Earth and Environmental Sciences**  
15 **University of Birmingham**  
16 **Edgbaston, Birmingham B15 2TT**  
17 **United Kingdom**  
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<sup>\*</sup> To whom correspondence should be addressed.

Tele: +44 121 414 3494; Fax: +44 121 414 3708; Email: r.m.harrison@bham.ac.uk

<sup>†</sup> Also at: Department of Environmental Sciences / Center of Excellence in Environmental Studies, King Abdulaziz University, PO Box 80203, Jeddah, 21589, Saudi Arabia

24 **Highlights**

- 25      ➤ Aviation is globally growing (+5%  $y^{-1}$ ) mainly driven by developing countries
- 26      ➤ Airport operations cause an increase in ground-level pollution
- 27      ➤ Chemical and physical properties of the emitted gases and particles are reviewed
- 28      ➤ An overview of other additional sources within airports is provided
- 29      ➤ Future research needs on aircraft emissions are highlighted

30

31 **ABSTRACT**

32 Civil aviation is fast-growing (about +5% every year), mainly driven by the developing economies  
33 and globalization. Its impact on the environment is heavily debated, particularly in relation to  
34 climate forcing attributed to emissions at cruising altitudes and the noise and the deterioration of air  
35 quality at ground-level due to airport operations. This latter environmental issue is of particular  
36 interest to the scientific community and policymakers, especially in relation to the breach of limit  
37 and target values for many air pollutants, mainly nitrogen oxides and particulate matter, near the  
38 busiest airports and the resulting consequences for public health. Despite the increased attention  
39 given to aircraft emissions at ground-level and air pollution in the vicinity of airports, many  
40 research gaps remain. Sources relevant to air quality include not only engine exhaust and non-  
41 exhaust emissions from aircraft, but also emissions from the units providing power to the aircraft on  
42 the ground, the traffic due to the airport ground service, maintenance work, heating facilities,  
43 fugitive vapours from refuelling operations, kitchens and restaurants for passengers and operators,  
44 intermodal transportation systems, and road traffic for transporting people and goods in and out to  
45 the airport. Many of these sources have received inadequate attention, despite their high potential  
46 for impact on air quality. This review aims to summarise the state-of-the-art research on aircraft  
47 and airport emissions and attempts to synthesise the results of studies that have addressed this issue.  
48 It also aims to describe the key characteristics of pollution, the impacts upon global and local air  
49 quality and to address the future potential of research by highlighting research needs.

50

51 **Keywords:** Aviation; atmospheric pollution; emissions; LTO cycles; particulate matter; oxides  
52 of nitrogen

53

54 **List of abbreviations**

55	<b>AAFEX</b>	Alternative Aviation Fuel Experiment
56	<b>AEs</b>	Airport emissions
57	<b>APEX</b>	Aircraft Particle Emissions eXperiment
58	<b>APU</b>	Auxiliary power unit
59	<b>BC</b>	Black carbon
60	<b>C*</b>	Effective saturation concentration
61	<b>CI<sub>s</sub></b>	Chemi-ions
62	<b>CIMS</b>	Chemical ionisation mass spectrometry
63	<b>EC</b>	Elemental carbon
64	<b>EI</b>	Emission index
65	<b>EXCAVATE</b>	EXperiment to Characterise Aircraft Volatile Aerosol and Trace-species Emissions
66	<b>F<sub>00</sub></b>	Engine thrust expressed as a percentage of maximum rated power
67	<b>FGEP</b>	Fixed ground electrical power
68	<b>FSC</b>	Fuel sulfur content
69	<b>FT</b>	Fischer-Tropsch fuel
70	<b>GMD</b>	Geometric number mean diameter
71	<b>GPUs</b>	Ground power units
72	<b>GRPs</b>	Ground running procedures
73	<b>GSEs</b>	Ground service equipments
74	<b>ICAO</b>	International Civil Aviation Organization
75	<b>LTO</b>	Landing and take-off cycle
76	<b>OC</b>	Organic carbon
77	<b>NMHC</b>	Non-methane hydrocarbon
78	<b>NO<sub>x</sub></b>	Nitrogen oxides (NO+NO <sub>2</sub> )
79	<b>NO<sub>y</sub></b>	Reactive odd nitrogen (NO <sub>x</sub> and their oxidation products)

80	<b>OA</b>	Organic aerosol
81	<b>PAHs</b>	Polycyclic aromatic hydrocarbons
82	<b>PM</b>	Particulate matter
83	<b>PM<sub>1</sub></b>	Particulate matter (aerodynamic diameter less than 1 μm)
84	<b>PM<sub>2.5</sub></b>	Particulate matter (aerodynamic diameter less than 2.5 μm)
85	<b>PM<sub>10</sub></b>	Particulate matter (aerodynamic diameter less than 10 μm)
86	<b>RF</b>	Radiative forcing
87	<b>RPK</b>	Revenue passenger kilometres
88	<b>RTK</b>	Revenue tonne kilometres
89	<b>SARS</b>	Severe acute respiratory syndrome
90	<b>SIA</b>	Secondary inorganic aerosol
91	<b>SN</b>	Smoke number
92	<b>SOA</b>	Secondary organic aerosol
93	<b>SVOCs</b>	Semi-volatile organic compounds
94	<b>TC</b>	Total carbon
95	<b>TF</b>	Turbofan engine
96	<b>TIM</b>	Time-in-mode
97	<b>TJ</b>	Turbojet engine
98	<b>TP</b>	Turboprop engine
99	<b>TS</b>	Turboshaft engine
100	<b>UFP</b>	Ultrafine particles (diameter <100 nm)
101	<b>UHC</b>	Unburned hydrocarbons
102	<b>VOCs</b>	Volatile organic compounds
103	<b>ε</b>	Abundance ratio (( SO <sub>3</sub> +H <sub>2</sub> SO <sub>4</sub> ) /total sulfur)
104	<b>ξ</b>	Partitioning coefficient
105		

106 **1. INTRODUCTION**

107 Among pollution issues, poor air quality attracts a high level of interest within the scientific  
108 community and engages public opinion because of the known relationship between exposure to  
109 many air pollutants and increased adverse short- and long-term effects on human health (e.g.,  
110 Schwartz, 1997; Ayres, 1998; Brunekreef and Holgate, 2002; Kampa and Castanas, 2008; Maynard,  
111 2009; Yang and Omaye, 2009; R uckerl et al., 2011). In addition, air pollution can seriously impair  
112 visibility (Hyslop, 2009), may damage materials in buildings and cultural heritage (Watt et al.,  
113 2009; Screpanti and De Marco, 2009) and has direct and indirect effects upon climate (Ramanathan  
114 and Feng, 2009). While air pollution remains a major concern for developing countries (Fenger,  
115 2009; Liaquat et al., 2010) as a result of the rapid growth of population, energy demand and  
116 economic growth, developed countries have experienced a significant decline in the concentrations  
117 of many air pollutants over the past decade.

118

119 Airport emissions (AEs) have received increasing attention in recent years because of the rapid  
120 growth of air transport volumes and the expected expansion to meet capacity needs for future years  
121 (Amato et al., 2010; Kurniawan and Khardi, 2011; Kinsey et al., 2011). Most studies highlight  
122 knowledge gaps (e.g., Webb et al., 2008; Wood et al., 2008a; Lee et al., 2010) which are a matter of  
123 concern as the literature indicates that aircraft emissions can significantly affect air quality near  
124 airports (Unal et al., 2005; Carslaw et al., 2006; Herndon et al., 2008; Carslaw et al., 2008;  
125 Mazaheri et al., 2009; Dodson et al., 2009) and in their surroundings (Farias and ApSimon, 2006;  
126 Peace et al., 2006; Hu et al., 2009; Amato et al., 2010; Jung et al., 2011; Hsu et al., 2012). Emission  
127 standards for new types of aircraft engines have been implemented since the late 1970s by the  
128 International Civil Aviation Organization (ICAO) through the Committee on Aircraft Engine  
129 Emissions (CAEE) and the subsequent Committee on Aviation Environmental Protection (CAEP).  
130 One of the key actions of the ICAO committees was the provision on engine emissions in Volume  
131 II of Annex 16 to the Convention on International Civil Aviation, the so-called “Chicago

132 Convention”, which recommended protocols for the measurement of carbon monoxide (CO),  
133 nitrogen oxides ( $\text{NO}+\text{NO}_2=\text{NO}_x$ ), unburned hydrocarbons (UHC) and smoke number (SN) for new  
134 engines (ICAO, 2008). Standards were listed on a certification databank (EASA, 2013), which  
135 represents a benchmark for engine emissions performance and is used in many regulatory  
136 evaluations (ICAO, 2011). This regulation has produced significant improvements in engine and  
137 fuel efficiency and technical progress to reduce emissions. However, although these efforts have led  
138 to a substantial reduction in direct aircraft emissions over the past two decades, these gains may be  
139 offset by the forecast growth of the aviation industry and the resulting increase in airport traffic  
140 (ICAO, 2011). Furthermore, the ICAO regulation address only four main generic pollutants and a  
141 more detailed chemical and physical characterization of exhausts is required to quantitatively and  
142 qualitatively assess aircraft emissions. An increasing number of studies provide a detailed chemical  
143 speciation for many exhaust compounds, including gases and airborne particulate matter (e.g.,  
144 Anderson et al., 2006; Herndon et al., 2008; Agrawal et al., 2008; Mazaheri et al., 2009; Onash et  
145 al., 2009; Herndon et al., 2009; Kinsey et al., 2011; Mazaheri et al., 2011; Santoni et al., 2011).  
146 However, the literature remains very sparse and many questions remain unresolved because of the  
147 large differences in measurement strategies, technologies and methods, compounds analysed and  
148 environments studied.

149

150 Aircraft exhausts are only one of several sources of emission at an airport (ICAO, 2011). Although  
151 exhaust plumes from aircraft engines were conventionally considered to account for most of the  
152 emissions, other sources are present within modern airports and contribute to air pollution at the  
153 local scale. Among these, tyre, brake and asphalt wear and the re-suspension of particles due to the  
154 turbulence created by the aircraft movements can account for large fractions of total particulate  
155 matter mass (e.g., British Airports Authority, 2006), but their chemical and physical characteristics  
156 have been investigated in only a few studies (Bennett and Christie, 2011; Bennett et al., 2011).  
157 Moreover, the emissions of the units providing power to the aircraft on the ground have received



158 relatively little consideration despite their potentially high impact on the local air quality (Schäfer et  
159 al., 2003; Ratliff et al., 2009; Mazaheri et al., 2011). These units include the auxiliary power units  
160 (APUs), which are small on-board gas-turbine engines, and the ground power units (GPUs)  
161 provided by airports. In addition, airport ground service equipment (GSEs) further impact the air  
162 quality (e.g., Nambisan et al., 2000; Amin, 2001; Schäfer et al., 2003). GSEs include most of the  
163 equipment that an airport offers as a service for flights and passengers and includes a large number  
164 of vehicles, such as passenger buses, baggage and food carriers, container loader, refilling trucks,  
165 cleaning, lavatory services and de/anti-icing vehicles, and tugs, which are used to move any  
166 equipment or to push the aircraft between gates and taxiways. Only few studies are available on the  
167 air traffic-related emissions produced by ground services such as GSEs, GPUs or APUs (e.g., Webb  
168 et al., 2008; Ratliff et al., 2009; Mazaheri et al., 2011; Presto et al., 2011).

169

170 Additional sources may also be present at airports, including maintenance work, heating facilities,  
171 fugitive vapours from refuelling operations, kitchens and restaurants for passengers and operators,  
172 etc. Moreover, as many airports are located far from cities, their emission inventories should also  
173 include sources not directly present within a terminal, but on which the airport has an influence.  
174 These sources may include intermodal transportation systems or road traffic including private cars,  
175 taxis, shuttle buses and trucks for transporting people and goods in and out of the airport.

176

177 As most large airports are located near heavily populated urban settlements, in combination they  
178 have a potentially significant impact on the environment and health of people living in their  
179 vicinity. For example, 150 airports in the USA are located in areas designated to be in non-  
180 attainment for one or more criteria air pollutants (Ratliff et al., 2009). In undertaking air quality  
181 assessments and the development of successful mitigation strategies, it is therefore fundamental to  
182 consider all the aspects associated with the entire “airport system”. However, current information  
183 on many aspects of this polluting source is inadequate, including a detailed speciation of

184 hydrocarbons, physicochemical characteristics of particles, volatile and semi-volatile emissions and  
185 especially the secondary transformations from the aging of aircraft exhausts and other airport-  
186 related emissions. Some of these gaps are well summarised in a US Transportation Research Board  
187 report (Webb et al., 2008).

188

## 189 **1.1 Aims and Outline of the Review**

190 Since the scientific literature on AEs remains very sparse and many questions are still open, this  
191 review aims to summarise the state-of-the-art of airport emissions research and attempts to  
192 synthesise and analyse the published studies. An overview of current information on airport-related  
193 emissions is presented and the key characteristics of the pollution and the impacts on the local and  
194 global air quality are discussed. This review further summarises the various methodologies used for  
195 measurements and attempts to critically interpret the data available in the literature. Finally, this  
196 review will highlight priority areas for research.

197

198 The next section traces the main stages of the development of civil aviation, by focusing especially  
199 on the changes and development strategies of modern airport systems. Recent traffic data and  
200 statistics are presented and the trends are also discussed in order to understand the potential future  
201 growth of air transport, which is fundamental to forecasting the impacts of aviation in future years.  
202 The third section gives an overview of the operation of aircraft engines, briefly discusses the most  
203 widely used technologies, describes some fuel characteristics, such as the sulfur content, and  
204 analyses the current use and future jet fuel consumption scenarios. The fourth section reviews the  
205 current information on aircraft engine exhaust: the landing and take-off cycles are described since  
206 they are commonly used to assess aircraft emissions during the operational conditions within an  
207 airport and within the atmospheric surface boundary layer; the main gaseous and particulate-phase  
208 compounds emitted by aircraft are listed and their key chemical and physical characteristics are  
209 described in separate subsections. A summary of data on the emission indices for many pollutants is

210 also provided. The fifth section describes the non-exhaust emissions related to aircraft operations,  
211 such as the tyre and brake wear and the re-suspension of runway material, which have been little  
212 investigated even though they may have serious impacts on local air quality. The sixth section  
213 reviews data on the non-aircraft emissions potentially present within an airport, including the  
214 ground service equipment emissions, the auxiliary/ground power units and others. The seventh  
215 section presents the results of studies conducted indoors and outdoors at airports to directly assess  
216 the impacts of AEs upon human health. Finally, this paper reviews the results of the recent literature  
217 on aircraft emissions and other airport-related contributions to highlight the potential role of AEs  
218 upon local air quality.

219

## 220 **2. PRESENT SCENARIOS AND FUTURE PERSPECTIVES OF CIVIL AVIATION** 221 **AND AIRPORTS**

222 The Airport Council International (ACI, 2013) has reported recent statistics on the air traffic  
223 volumes for 2012: more than 79 million aircraft movements carried annually 5.7 billion passengers  
224 between 1,598 airports located in 159 countries, and reported that the total cargo volume handled by  
225 airports was 93 million tonnes. However, these numbers are expected to further increase in the  
226 forthcoming decades: in the past half century, the aviation industry has experienced a strong and  
227 rapid expansion as the world economy has grown and the technology of air transport has developed  
228 (Baughcum et al., 1999). Generally, air traffic has been expressed as revenue passenger kilometres  
229 (RPKs) by multiplying the number of revenue-paying passengers aboard the vehicle by the travelled  
230 distance, or occasionally in revenue tonne kilometres (RTK). Figure 1 shows the absolute growth of  
231 aviation recorded by ICAO in terms of RPK, RTK and aircraft kilometres from the 1930s to today  
232 (ICAO, 2013; Airlines for America, 2013). Despite some global-scale events, such as the Gulf crisis  
233 (1991), the terrorist attack of 11th September 2001, the outbreak of severe acute respiratory  
234 syndrome (SARS) in 2002–2003 and the recent global economic crisis (2008–2009), an average  
235 annual growth rate of 5% was observed and this trend is expected to continue over the next decades

236 mainly driven by the economic growth of emerging regions (ACI, 2007; 2008; Airbus, 2012;  
237 Boeing, 2013). It is anticipated that there will be more than 9 billion passengers globally by 2025  
238 and more than 214 million tonnes of total world freight traffic are forecast over almost 120 million  
239 air traffic movements (ACI, 2007). The future growth of air transport will inevitably lead to the  
240 growth of airline fleets and route networks and will therefore lead to an associated increase in  
241 airport capacity in terms of both passengers and cargo. This poses questions as to the consequent  
242 impact on air quality.

243

### 244 **3. AIRCRAFT: CHARACTERISTICS AND IN-USE TECHNOLOGIES**

245 Emissions from aircraft engines are recognised as a major source of pollutants at airports and have  
246 been extensively investigated over the past 40 years. Initially, the main historical concern for  
247 supersonic aircraft was over stratospheric ozone depletion (Johnston, 1971) and secondarily about  
248 the formation of contrails at cruising heights (Murcray, 1970; Schumann, 2005) and indirect effect  
249 on the Earth's radiative budgets (Kuhn, 1970). Apart the development of the Concorde and the  
250 Tupolev Tu-144, a supersonic fleet flying in the stratosphere was never developed and today all  
251 commercial airliners are subsonic equipped with turbofan or turboprop engines. Therefore, the main  
252 present issue arising from civil aviation has today shifted to the increased levels of ozone in the  
253 upper troposphere and lower stratosphere resulting from the atmospheric chemistry of emitted NO<sub>x</sub>  
254 (Lee et al., 2010 and reference therein). Furthermore, the development of increasingly restrictive  
255 legislation on ambient air quality and the implementation of enhanced monitoring networks in many  
256 developed countries has highlighted the effects of aircraft emissions at ground-level and the  
257 deterioration of air quality near airports.

258

#### 259 **3.1 Engines**

260 Engines for civil and general aviation are generally classified as gas turbine engines (turbofan and  
261 turboprop) fuelled with aviation kerosene (also named jet fuel) and internal combustion piston

262 engines fuelled with aviation gasoline, often referred as avgas (ICAO, 2011). The majority of  
263 modern airliners are equipped with turbofan engines. These engines are derived from predecessor  
264 turbojet engines developed during World War II. A turbojet is composed of an inlet compressor, a  
265 combustion section adding and igniting fuel, one or more turbines extracting energy from the  
266 exhaust gas in expansion and driving the compressor. A final exhaust nozzle accelerates the exhaust  
267 gas from the back of the engine to generate thrust. Turbofan engines use a turbojet as a core to  
268 produce energy for thrust and for driving a large fan placed in front of the compressor. In modern  
269 airliners, the fan provides most of the thrust. The “bypass ratio” refers to the ratio of mass flux  
270 bypassing the combustor and turbine to the mass flux through the core: high-bypass ratios are  
271 preferred for civil aviation for good fuel efficiency and low noise. Some small and regional airliners  
272 are instead equipped with turboprop engines, which use a turbine engine core fitted with a reduction  
273 gear to power propellers. A simplified diagram of a turbofan engine is provided in Figure 2. In  
274 August 2013 the ICAO (EASA, 2013) listed a total of 487 in-use turbofan engines (including  
275 packages): Table 1 provides a summary of the current engine families mounted in the most popular  
276 airliners (75% of total in-use turbofan engines).

277

278 Reciprocating piston engines are predominately fitted in small-sized aircraft typically related to  
279 private use, flying clubs, flight training, crop spraying and tourism. Internal piston engines run  
280 under the same basic principles as spark ignition engines for cars, but generally require higher  
281 performance. Four-stroke-cycle engines are commonly used, more rarely these can be two-stroke  
282 and occasionally diesel. The principal difference between jet and piston engines is that combustion  
283 is continuous in jet engines and intermittent in piston engines. Other flying vehicles may be present  
284 within an airport, such as helicopters. These vehicles are usually less numerous than the airliners in  
285 most terminals, but in some circumstances their contribution to the air quality cannot be  
286 disregarded. Today, most modern helicopters are equipped with turboshaft engines, whose

287 functioning is similar to a turbojet but are optimised to generate shaft power instead of jet thrust.

288 This review abbreviates turbojet (TJ), turbofan (TF), turboprop (TP) and turboshaft (TS).

289

### 290 **3.2 Fuel Characteristics**

291 At the current time, almost all aviation fuel (jet fuel) is extracted from the middle distillates of crude  
292 oil (kerosene fraction), which distils between the gasoline and the diesel fractions. The kerosene-  
293 type fuels most used worldwide in civil aviation are of Jet A and Jet A-1 grades: Jet A is used in  
294 most of the world, except North America where Jet A-1 is used. An exhaustive review of jet fuel  
295 production processes is given elsewhere (Liu et al., 2013). The specifications of such fuels are  
296 addressed by two organizations, the American Society for Testing and Materials (ASTM) and the  
297 United Kingdom Ministry of Defence (MOD). Jet A is used for almost all commercial aviation  
298 flying within or from the USA and is supplied against the ASTM D1655 specification. It has a  
299 flash point minimum of 38°C and a freeze point maximum of -40°C. Jet A-1 is widely used outside  
300 the USA and follows the UK DEF STAN 91-91 (Jet A-1) and ASTM D 1655 (Jet A-1)  
301 specifications. It has same flash point as Jet A but a lower freeze point (maximum of -47°C) and a  
302 mean C/H ratio of  $C_{12}H_{23}$  (Lewis et al., 1999; Chevron Corporation, 2006; Lee et al., 2010). Other  
303 fuels can be used as an alternative to Jet A-1. Jet B is a wide-cut type fuel covering both the naphtha  
304 and kerosene fractions of crude oil and is used in very cold climates, e.g. in northern Canada where  
305 its thermodynamic characteristics (mainly lower freeze point and higher volatility) are suitable for  
306 handling and cold starting. ASTM publishes a specification for Jet B, but in Canada it is supplied  
307 against the Canadian specification CAN/CGSB 3.23. Other specifications also exist such as  
308 DCSEA (France) and GHOST (Russia). TS-1 is the main jet fuel grade available in Russian and  
309 CIS states, along with T-1, T-2 and RT; it is a kerosene-type fuel with slightly higher volatility  
310 (flash point is 28°C minimum) and lower freeze point ( $\leq -50^\circ\text{C}$ ) compared to Jet A and A-1 fuels.  
311 Various types of jet fuels are instead regulated by Chinese specifications: RP-1 and RP-2 are  
312 kerosene-type fuels similar to Russian TS-1, while RP-4 to Jet B. Nowadays, virtually all jet fuel in

313 China is RP-3, which is quite comparable to Jet A-1 (Shell, 2013). Fuels for military purposes are  
314 formulated for high-performances and are regulated separately by many governments; some of  
315 these (JP grades for USA and NATO forces) were used in several studies (e.g., Anderson et al.,  
316 2006; Chen et al., 2006; Cowen et al., 2009; Cheng et al., 2009; Cheng and Corporan, 2010;  
317 Santoni et al., 2011). The kerosene-based JP-8 grade is currently the primary fuel for NATO  
318 aircraft. Corporan et al. (2011) reported some JP-8 characteristics.

319

320 Jet fuels are a mixture of thousands of different hydrocarbons. The range of their molecular weights  
321 is restricted by the distillation: in kerosene-type fuels (e.g., Jet A and Jet A-1) the carbon number  
322 ranges between about 8 and 16, while in wide-cut jet fuels (Jet B), between about 5 and 15. Spicer  
323 et al. (1994) reported that jet fuel is primarily composed of species with five or more carbons and  
324 70% of the compounds by weight contain 11–14 carbon atoms. Most of the hydrocarbons in jet fuel  
325 are members of the normal paraffins, iso-paraffin, cycloparaffin, aromatic and alkene classes: 20%  
326 *n*-paraffins, 40% iso-paraffin, 20% naphthenes and 20% aromatics are typical (Lindstedt and  
327 Maurice, 2000; Liu et al., 2013 and reference therein). Moreover, a series of different additives are  
328 required or approved for use by ASTM and DEF STAN specifications to enhance or maintain some  
329 fuel properties, improve performance or handling. Among those approved for Jet A and Jet A-1  
330 fuels, some hindered phenols serve as antioxidants, the di-ethylene glycol monomethyl ether acts as  
331 icing inhibitor, the N,N'-disalicylidene-1,2-propane diamine is added as chelating agent for many  
332 metal ions. Other additives act as electrical conductivity/static dissipaters, corrosion inhibitor and  
333 biocides: a summary is listed in Chevron Corporation (2006).

334

335 The aviation industry is nowadays investing significant effort towards the use of alternative fuels  
336 (Blakey et al., 2011; Williams et al., 2012). Since aircraft emissions are recognised to be closely  
337 linked to the fuel composition (Beyersdorf et al., 2013 and reference therein), recently the  
338 introduction of synthetic fuels and bio-fuels instead of common oil-derivate jet fuels has been much

339 discussed in terms of beneficial effects upon exhaust emissions (e.g., Corporan et al., 2005; 2007;  
340 DeWitt et al., 2008; Timko et al., 2010a; Corporan et al., 2011; Lobo et al., 2011; Williams et al.,  
341 2012; Cain et al., 2013). Among others, the Fischer-Tropsch (FT) fuel seems to be a potential  
342 candidate for replacing, or mixing with, oil-derived conventional jet fuels. The FT reaction was  
343 developed in the first half of twentieth century and uses a mixture of carbon monoxide and  
344 hydrogen to produce a complex product stream of paraffins, olefins, and oxygenated compounds  
345 such as alcohols and aldehydes via product upgrading (e.g., cracking, fractionation, and  
346 isomerisation). The mechanism is explained in Liu et al. (2013). The FT process leads to a fuel with  
347 low aromatic content and no sulfur, which are reported to be beneficial in reduction of emissions of  
348 particulate matter and its precursors from aircraft engines (Corporan et al., 2007; Timko et al.,  
349 2010a; Lobo et al., 2011). Corporan et al. (2011) report gas chromatograms and hydrocarbon  
350 content of JP-8 and various alternative jet fuels. To study the effects of FT fuel usage on aircraft  
351 gaseous and particulate emissions the Alternative Aviation Fuel Experiment (AAFEX) was carried  
352 out in 2009: results are spread across various papers (e.g., Lee et al., 2011; Santoni et al., 2011;  
353 Anderson et al., 2011; Kinsey et al., 2012a,b; Beyersdorf et al., 2013).

354

355 Avgas for general aviation is distilled separately from the most common motor gasoline and is  
356 formulated for stability, safety, and predictable performance under a wide range of environments.  
357 Nowadays there are two main grades (100 and 100LL low lead) regulated by the ASTM D 910 and  
358 UK DEF STAN 91-90 specifications. Tetraethyl Pb is added to avgas for increasing fuel octane and  
359 avgas 100LL has a lead content up to  $0.56 \text{ g Pb L}^{-1}$ . The impact of general aviation is under  
360 discussion, since it was reported as one of the largest remaining source of lead emissions to the air  
361 in the USA (e.g., Carr et al., 2011). Avgas is principally composed of isoparaffinic and aromatic  
362 hydrocarbons and their carbon numbers vary from about 4 (butane) to 10, with the most prevalent  
363 carbon number being 8 (Chevron Corporation, 2006). It may include tetraethyl lead as antiknock  
364 additive, icing inhibitors, antioxidants and others.



### 365 3.3 Sulfur Content in Fuels

366 Over the past decades there has been a worldwide trend to decrease sulfur content in fuels and many  
367 jurisdictions, including the USA and the European Union, have recently required very low sulfur  
368 levels in road and marine fuels to reduce the SO<sub>x</sub> and particulate matter emissions from the  
369 transport sector. A similar reduction has not occurred for jet fuel although at the beginning of the  
370 2000s the IPCC indicated that reducing the sulfur content of kerosene will reduce SO<sub>x</sub> emissions  
371 and sulphate particle formation (IPCC, 1999). The maximum sulfur content of aviation fuel has  
372 remained at 3 g S kg fuel<sup>-1</sup>, or 3000 ppm by mass (Lewis et al., 1999; Ebbinghaus and Wiesen,  
373 2001; Anderson et al., 2005; Barrett et al., 2012). However, lower values of fuel sulfur content  
374 (FSC) have commonly been reported: Fahey et al.(1999) stated that in the world market at the  
375 beginnings of the 2000s the FSC was near 400 ppm; Hileman et al. (2010) reported that average  
376 FSC in commercial Jet A, Jet A-1 and military JP-8 fuel grades varied between 550 to 750 ppm;  
377 Agrawal et al. (2008) reported that FSC in the fuel was 300 ppm. Popovicheva et al. (2004) and  
378 Demirdjian et al. (2007) reported that the aviation kerosene TS-1 has a FSC of 1100 ppm and less  
379 than 10<sup>-4</sup> wt.% of metals.

380

381 FSC in jet fuels is directly related to the SO<sub>2</sub> emissions in aircraft exhaust (e.g., Arnold et al.,  
382 1998a; Schumann et al., 1998; Hunton et al., 2000). Some research projects, such as APEX-1, were  
383 designed to study the effects of FSC on aircraft engine emissions (e.g., Wey et al., 2006; 2007;  
384 Kinsey, 2009; Onash et al., 2009). Generally the studies reported that the emissions of both SO<sub>2</sub> and  
385 sulphates are proportional to S levels in fuels, but no systematic difference between the low and  
386 high sulfur fuels in terms of other emitted organic sulfur species (OCS and CS<sub>2</sub>) were reported  
387 (Anderson et al., 2006). The conversion of S(IV) to S(VI) is amply discussed later in this review.

388

389 Recently, the impact of ultra-low sulfur jet fuel (15 ppm) upon public health, climate, and  
390 economics was examined by Barrett et al. (2012). They reported that the use of ultra-low sulfur

391 fuels on a global-scale will cost 1–4 billion US \$ per year, but may prevent 900–4000 air quality-  
392 related premature mortalities per year. Moreover, Barrett and co-authors also stated that the  
393 radiative forcing (RF) associated with reductions in atmospheric sulphate, nitrate, and ammonium  
394 loading can be estimated as  $+3.4 \text{ mW m}^{-2}$ , i.e. equivalent to about 1/10th of the warming due to  
395 CO<sub>2</sub> emissions from aviation.

396

### 397 **3.4 Current Use and Future Jet Fuel Consumption Scenarios**

398 The availability of reliable information on fuel consumption is essential to make robust estimates of  
399 aviation emissions at both global and regional scales. Various estimates of aviation fuel  
400 consumption are available in the literature and generally refer only to jet fuel, since piston-powered  
401 flights were estimated to account for approximately 2% of propeller (piston plus turboprops) and ~  
402 0.05% of total (propeller plus jet) fuel burn (Kim et al., 2007). Gauss et al. (2006) estimated a total  
403 of 169 Tg fuel globally burned in 2000, of which 152 Tg is due to civil flights. The AERO2k global  
404 aviation emissions inventories reported a total of 176 Tg of kerosene used in 2002 for both civil  
405 (156 Tg) and military (19.5 Tg) aviation (Eyers et al., 2004); other studies of the 2000-2005 period  
406 estimated that the global aviation industry consumed approximately 170-203 Tg of kerosene per  
407 year with an evident decrease in 2001-2002 following the drop of aviation traffic due to the 11th  
408 September 2001 and SARS events (Kim et al., 2007); Wilkerson et al. (2010), Whitt et al. (2011)  
409 and Olsen et al. (2013) reported that the global commercial aircraft fleet burned 188 Tg of fuel in  
410 2006; Chèze et al. (2011) reported a world consumption of 229 Mt of jet fuel in 2008. These  
411 estimates accounted for approximately 3% of current annual fossil fuel energy usage (Barrett et al.,  
412 2010, and reference therein). Data from OPEC (Mazraati, 2010) stated that the aviation sector in  
413 2006 was the second major consumer of total oil demand in the transportation sector (11.2%) and  
414 accounted for 5.8% of total oil consumed in the world. Given the past and future growth of the  
415 aviation industry, this consumption may rise further: AERO2k emission inventories estimated a  
416 forecast scenario for 2025 in which the fuel demand for aviation will be 327 Tg y<sup>-1</sup> (Eyers et al.,

417 2004); Chèze et al. (2011) reported that the world jet fuel demand is projected to grow by 38%  
418 between 2008 and 2025, rising to more than 316 Mt in 2025 at a mean growth rate of 1.9% per year.  
419 Owen et al. (2010) estimated the future global aviation emissions under four of the IPCC/SRES  
420 (Intergovernmental Panel on Climate Change/Special Report on Emissions Scenarios) marker  
421 scenarios and reported a fuel use of 336 Tg in 2020 and varying from 426 and 766 Tg for 2050.  
422 This study also reported an estimate of 325 Tg for 2050 if the ambitious technology targets of the  
423 Advisory Council for Aeronautical Research in Europe (ACARE, 2002) were to be achieved. Table  
424 2 summarises the yearly global fuel consumption reported in recent studies. However, aviation  
425 traffic growth and jet fuel demand have been shown not to be strictly correlated, since the  
426 efficiencies of aircraft engines and air traffic management are improving and modern airliners are  
427 75% quieter with consequent fuel consumption reduced by 70% with respect to the 1960s  
428 (Baughum et al., 1999; Nygren et al., 2009, and references therein). In particular, the current  
429 average fuel consumption of in-use fleets was estimated to be less than 5 L fuel every 100 RPK,  
430 while in most modern aircraft it drops to approximately 3.5 L / 100 RPK: Nygren et al. (2009)  
431 reported the historical world fleet of aircraft average fuel consumption and found an exponential  
432 trend in fuel consumption reduction from 1987 to the present day. Oil prices have driven investment  
433 in more efficient aircraft models. Fuel costs exceed those of labour costs for airlines. Fuel costs  
434 accounted for ~13% of total costs in 2002, but today they are closer to 34% (Boeing, 2013).

435

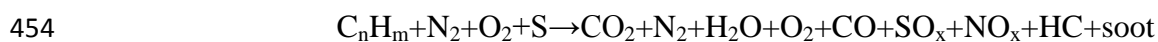
#### 436 **4. AIRCRAFT EXHAUST EMISSIONS**

437 Emissions from aircraft engines are generally considered to be the dominant source at airports and  
438 the large majority of studies available in the literature focus on aircraft emissions. Common  
439 airliners burning kerosene-type fuels primarily produce carbon dioxide and water (Wahner et al.,  
440 1995; Lewis et al., 1999; Anderson et al., 2006; Lee et al., 2010), which are directly related to the  
441 burned fuel, with minor variations due to the carbon-hydrogen ratio of the fuel. In this context, it is

442 reported that the fuel flow of common airliner engines is approximately linearly proportional to  
443 engine thrust setting (e.g., Anderson et al., 2005; Wey et al., 2006).

444

445 The oxidation of atmospheric nitrogen at the very high temperatures in engine combustors drives  
446 the formation of nitrogen oxides, while the presence of trace amounts of sulfur, nitrogen and some  
447 metals (e.g., Fe, Cu, Zn) in fuels (Lewis et al., 1999) and non-ideal combustion conditions within  
448 engines may lead to the production of by-products, including sulfur oxides, additional nitrogen  
449 oxides, unburned hydrocarbons and particulate soot. Furthermore, exhausts can also contain species  
450 from the combustion and release of lubricant oils (Dakhel et al., 2007; Timko et al., 2010b; Yu et  
451 al., 2010; Kinsey et al., 2011; Yu et al., 2012) and from mechanical component wear (Petzold et al.,  
452 1998; Demirdjian et al., 2007). Therefore a more realistic, but simplified, combustion scheme in  
453 aircraft engines can be summarised as (Lee et al., 2009):



455 IPCC reported that approximately 99.5-99.9% of the molar content of typical commercial engine  
456 exhaust consists of N<sub>2</sub>, O<sub>2</sub>, CO<sub>2</sub>, and H<sub>2</sub>O (Lewis et al., 1999). Figure 3 reports a more detailed  
457 breakdown of combustion products for a core engine mass flow: the combustion products in aircraft  
458 exhausts are mainly made up of CO<sub>2</sub> (~72%), H<sub>2</sub>O (~27.6%), while residual products account for  
459 less than 1%. Figure 2 summarises the main exhaust components of aircraft engines and their  
460 potential effects on the environment and human health. It is estimated that roughly 90% of aircraft  
461 emissions, except hydrocarbons and CO (~70%), are produced while cruising at altitude, while the  
462 remainder is emitted during landing, take-off, and ground level operations (e.g., FAA, 2005).

463

464 Aircraft emissions have been studied extensively since the late-1960s and initially the interest was  
465 mainly driven by their direct and indirect effects on climate and the generation of contrails. For this  
466 reason, many early studies focused on emissions at high cruise altitudes (e.g., Reinking, 1968;  
467 Kuhn, 1970; Arnold et al., 1992; Fahey et al., 1995a,b; Wahner et al., 1995; Brasseur et al., 1996;

468 Schumann, 1996;1997; Anderson et al., 1998a,b). The interest in aviation emissions at airports also  
469 dates back many years (e.g., Daley and Naugle, 1979; Naugle and Fox, 1981), but only recently was  
470 there an increasing awareness of the effects of aircraft emissions at ground level, or at least within  
471 the planetary boundary layer. The recent interest in aircraft emissions at ground-level was initially  
472 motivated by public concern, given that more and more often airports are held responsible for air  
473 pollution and noise in nearby residential areas (e.g., Mahashabde et al., 2011). Since aircraft  
474 emissions are related to engine thrust (e.g., Anderson et al., 2006; Lobo et al., 2007; Whitefield et  
475 al., 2008; Timko et al., 2010b; Kinsey et al., 2010; Kinsey et al., 2011) and engines are designed for  
476 high performance while cruising at high altitudes, some aircraft operations within airports require  
477 that engines operate outside of their optimal regimes, ranging from maximum thrust during take-off  
478 to low power settings during operations on the ground. This fact was clearly highlighted during the  
479 APEX-1 campaign by Onash et al. (2009), who reported that a CFM56 engine is less efficient at the  
480 low thrust levels usually used at airports. This may result in potentially higher emissions on the  
481 ground than that during cruising for those pollutants mainly emitted at low power, such as CO and  
482 hydrocarbons.

483

484 Early reports of nitrogen oxides, carbon monoxide, hydrocarbons and particulate matter from jet  
485 aircraft turbine engines were made by Spicer et al. (1984). Subsequent studies (Spicer et al., 1992;  
486 1994) added further information and provided detailed information on the organic component of  
487 turbine engine emissions. Following from these pioneering studies, the scientific literature now  
488 comprises a large number of studies and most have concluded that aircraft exhausts are responsible  
489 for significant emissions of a series of gaseous, semi-volatile and non-volatile species. Non-volatile  
490 emissions are produced in the combustor and are made up of refractory material such as soot (e.g.,  
491 Agrawal et al., 2008; Kinsey, 2009; Dodson et al., 2009; Lee et al., 2010; Presto et al., 2011), which  
492 is emitted into the atmosphere as particulate matter even at the high engine exit temperatures, but  
493 also contains many organic compounds (e.g., Herndon et al., 2006; Anderson et al., 2006; Webb et

494 al., 2008; Wood et al., 2008a; Agrawal et al., 2008; Herndon et al., 2009; Lee et al., 2010; Mazaheri  
495 et al., 2011; Presto et al., 2011; Kinsey et al., 2011; Mazaheri et al., 2013).

496

497 Volatile emissions include compounds that exists as vapour at engine exit temperature and pressure  
498 (Presto et al., 2011) and are made up of gaseous and vapour-phase pollutants, such as CO<sub>2</sub>, CO,  
499 NO<sub>x</sub>, SO<sub>2</sub>, O<sub>3</sub> and many organic compounds, including alkanes, alkenes, carbonyls, aromatic  
500 compounds and a number of other volatile organic species. The least volatile fraction has been  
501 shown to range from 10 to 20% of the total organic emissions (Presto et al., 2011) and its presence  
502 is particularly challenging, because it can react in the atmosphere and may undergo condensation in  
503 the exhaust plumes leading to aerosol particles or volatile coating of pre-existing particles (Lee et  
504 al., 2010; Miracolo et al., 2011). This latter component is named volatile PM, however there is  
505 today a considerable controversy about its definition (Kinsey, 2009). Such particles may act as  
506 condensation nuclei or may interact with soot to form condensation nuclei and thus may have  
507 effects on cloud formation, precipitation and climate. In addition, additional compounds may  
508 subsequently originate from the aging of exhausts following a chain of oxidation with atmospheric  
509 oxidants and gases.

510

511 The relative amount of exhaust emissions depends upon combustor temperature and pressure, fuel  
512 to air ratio and the extent to which fuel is atomised and mixed with inlet air (Anderson et al., 2006).  
513 It is well recognised that the amounts of many pollutants may vary considerably with the engine  
514 technology, model and especially with the thrust. For example Slemr et al. (1998, 2001) and Spicer  
515 et al. (1992; 1994) reported that hydrocarbon emissions can be dependent upon engine type, use and  
516 maintenance history as well as fuel composition.

517

518

519

#### 520 **4.1 Geographical and Vertical Distributions of Flights**

521 Based upon the main air traffic routes, a series of studies have discussed the geographical and  
522 vertical distributions of fuel consumption, which can be used to further assess the relative  
523 emissions from aviation (e.g., Kim et al., 2007; Wilkerson et al., 2010; DeWitt et al., 2011; Olsen et  
524 al., 2013; Simone et al., 2013). Due to the geographical distribution of civil aviation in the 2000s,  
525 the global fuel burn by domestic flights is dominated by the North America and Caribbean regions,  
526 while fuel consumed by international flights is dominated by Asia, North America and the  
527 Caribbean, and Western Europe and North Atlantic (Kim et al., 2007). Using the Aviation  
528 Emissions Inventory Code (AEIC, Stettler et al., 2011) Simone et al. (2013) estimated the fuel burn  
529 by country of origin/destination in 2005 and reported that the USA was the most important (59.1  
530 Tg), followed by Japan (9.7 Tg), UK (9.4 Tg), China (8.5 Tg, excluding Hong Kong), Germany (6.7  
531 Tg) and France (5.4 Tg). A map showing the column sum of global fuel burn from scheduled civil  
532 aviation in 2005 is provided in Figure 4a. Other studies have been carried out to estimate annual  
533 fuel consumption and pollutant emissions more locally: for example Fan et al. (2012) assessed the  
534 fuel consumption and emissions for each airline in China in 2010.

535

536 Kim et al. (2007) and Lee et al. (2007) used the System for assessing Aviation's Global Emissions  
537 (SAGE) model to estimate the vertical profiles of commercial aviation and pointed out that the  
538 highest fuel burn and emissions are between 9 and 12 km, which corresponds to typical cruise  
539 altitude. Generally, most studies also reported that about 5–7% of total jet fuel is consumed within  
540 1 km above ground level during airport operations (Kim et al., 2007; Simone et al., 2013), and  
541 Olsen et al. (2013) reported a comparison of the annual global vertical distribution of fuel burn by  
542 the commercial aviation deriving from different estimates (Figure 4b). Although most studies have  
543 concluded that 5-10% of fuel is burned below 1000 m, aircraft operations within airports may  
544 further increase fuel consumption due to the acceleration and deceleration of the engines following

545 airport congestion (Anderson et al., 2005; Nikoleris et al., 2011) or due the unaccounted use of fuel  
546 for APUs (Ratliff et al., 2009).

547

## 548 **4.2 Emissions at Ground**

### 549 **4.2.1 *Landing and take-off (LTO) cycles***

550 The emissions of all aircraft engine must comply with applicable standards promulgated by the  
551 International Civil Aviation Organization (ICAO, 2008) and measured upon the landing and take-  
552 off (LTO) cycles. A LTO cycle refers to all the operations the aircraft carry out below 3000 ft above  
553 field elevation (equivalent to 914 m) over a specific range of certifiable operating conditions and  
554 includes four stages in terms of both engine thrust settings (expressed as a percentage of maximum  
555 rated thrust, or  $F_{00}$ ) and typical time in each specific mode of operation (time-in-mode, TIM). The  
556 3000 ft height roughly corresponds to the atmospheric mixing height, i.e. the lower part of the  
557 troposphere within which pollutants emitted at ground-level mix rapidly (e.g., Schäfer et al., 2006).  
558 The LTO cycles are designed for aircraft engines manufactured after 1985 whose rated output is  
559 greater than 26.7 kN and aim to guarantee they not exceed certain regulatory environmental limits  
560 for a series of pollutants, namely unburned total hydrocarbons, carbon monoxide, nitrogen oxides  
561 and smoke number (SN). This latter parameter is roughly representative of the amount of soot an  
562 engine generates (e.g., Wayson et al., 2009; Stettler et al., 2013a,b). In the first LTO phase the  
563 aircraft descends from cruising altitude toward the runway and lands at the airport. This phase is  
564 named “approach” and is estimated as lasting for 4 min with engines at 30%  $F_{00}$ . After landing, the  
565 aircraft enters in the “idle” phase which include all the ground-based operations: it proceeds at a  
566 low speed to the gate (taxi-in), remains on stand-by for the loading and unloading operations and  
567 again prepares for take-off proceeding towards the runway (taxi-out). Idle lasts 26 min and the  
568 engines are required to be at 7%  $F_{00}$ . The subsequent operating modes include the “take-off” with  
569 engines stressed to the full thrust (100%  $F_{00}$ ) for 0.7 min, and the “climb” (85%  $F_{00}$  for 2.2 min) up  
570 to 3000 ft height. A standardised LTO cycle is shown in Figure 5.



571 **4.2.2 *Engine ground running procedures***

572 In addition to the operations falling within LTO cycles, the ground running procedures (GRPs) may  
573 lead to further emission loads from aircraft engines at airports. GRPs refer to the operation of some  
574 or all engines carried out on the ground for the purpose of functionally checking the operation of  
575 either engines or aircraft systems. GRPs are therefore an essential part of the operation of any  
576 airliner prior to the release to service of an aircraft from maintenance. The main reasons for running  
577 the engines on the ground are (Buttress and Morris, 2005): (i) check starts after minor maintenance  
578 actions; (ii) runs at no more than ground idle to ensure that the engine operates correctly after  
579 maintenance action, these include thrust reverser function checks, etc.; (iii) runs at powers greater  
580 than ground idle to check the correct operation of certain valves, leak checks, etc. To date, only few  
581 studies take into account the emissions from GRPs, but their importance for the atmospheric loads  
582 of some pollutants cannot be neglected. For example, Buttress and Morris (2005) showed that GRPs  
583 at London Heathrow airport release approximately  $15.6 \text{ Mg y}^{-1} \text{ NO}_x$ . Mazaheri et al. (2011)  
584 investigated the annual emissions of particle number, particle mass and  $\text{NO}_x$  throughout the LTO  
585 cycles and GRP at the Brisbane Airport and showed that annual emissions account for less than 3%.  
586 Despite the evidence that GRPs may have a substantial impact on local air quality at airports, up to  
587 now they have received only minor consideration. GRPs are not yet regulated internationally and  
588 must comply only with local regulatory requirements imposing limitations on the locations, times  
589 and engine thrust levels employed during ground running which may differ from one airport to  
590 another.

591

592 **4.2.3 *Limitations in the use of standard LTO cycles***

593 The use of standard LTO cycles as a surrogate for typical aircraft operations close to the ground  
594 represents an approximation and is not always representative of operations at airports. One  
595 limitation is that the ICAO engine emissions standards are applied through national and multi-  
596 national certification processes to turbojet and turbofan engines, but not turboprop, turboshaft and

597 piston engines (ICAO, 2011). This limitation may be negligible at large airports, where most traffic  
598 is due to common airliners equipped with TF engines, but may represent a major approximation for  
599 small and medium-sized airports where small, private, business and regional aircraft account for a  
600 large portion of flight traffic. In addition, despite LTO cycles having been designed to model  
601 optimally all the operational procedures of aircraft in the vicinity of airports, sometimes they are not  
602 well adapted to engine settings and actual TIM, which depend upon pilot' technique, fleets, airport  
603 layouts and flight traffic. In fact, default ICAO TIM are not representative of real operations and are  
604 for certification purposes. Consequently, although some inventories account for the deviations from  
605 the ICAO default TIMs and thrust settings, some deviations from the standardised LTO procedures  
606 may occur during actual LTO cycles. This inevitably leads to some differences between actual  
607 airport operations and emission inventories used in modelling studies. The main  
608 deviations/limitations are:

609

- 610 • reduced thrust during take-off. This practice is often carried out for performance and cost-  
611 efficiency reasons (ICAO, 2011) and has been widely observed on operational runways  
612 (Carslaw et al., 2008; Herndon et al., 2008); it may depend on aircraft weight and weather  
613 factors (Morris, 2002) and is often largely unknown (Carslaw et al., 2008). Since the  
614 emissions of some pollutants increase monotonically with the thrust (e.g.,  $\text{NO}_x$ ), this could  
615 lead to an overestimation of emissions from airports;
- 616 • lower thrust at idle/taxi mode. It has been reported that most aircraft use a thrust of 3%–4%  
617  $F_{00}$  instead of 7% (Morris, 2005a,b; Nikoleris et al., 2011 and reference therein) during idle  
618 operations. Since most pollutants emitted in exhaust plumes are strongly increased at  
619 decreased power settings (CO and generally all hydrocarbons), this may lead to  
620 underestimation of emissions at airports. In this context, Wood et al. (2008b) suggested that  
621 the thrust used in taxi operations can be split in two modes, i.e. 'ground idle' carried out at

622 4%  $F_{00}$  and ‘taxiway acceleration’ with thrust settings up to 17%. Moreover, higher thrust  
623 levels are sometimes used for turning;

- 624 • acceleration and deceleration of the engines or stop-and-go situations. This is mainly the  
625 result of congestion on taxiways and is known to be responsible for significant increases in  
626 fuel consumption and increased emissions (Anderson et al., 2005; Nikoleris et al., 2011). For  
627 example Morris (2005a) reported that instant accelerations up to 10%  $F_{00}$  and lasting ~10 s  
628 may occur at London Heathrow airport when aircraft cross an active runway or make a sharp  
629 turn. Due to this, the entire taxiway phase of operation using a uniform engine thrust level  
630 have been also recognised as problematic for emission inventory estimates because of the  
631 nonlinear emission rate of many compounds at low power (Herndon et al., 2009);
- 632 • use of a reverse thrust phase during landing. Reverse thrust is applied to assist mechanical  
633 brakes in slowing down the landing aircraft and is not generally required for normal  
634 operations onto a dry runway (ICAO, 2011). However, it generally occurs with idle thrust  
635 power as a prudent safety precaution, and under some circumstances it may also occur at  
636 power higher than 10%  $F_{00}$  (Morris and Easey, 2005; Stettler et al., 2011). Generally, reverse  
637 thrust is applied for 10–20 s (Fanning et al., 2007; Stettler et al., 2011), but may vary as a  
638 function of the landing velocity, runway length and aircraft weight;
- 639 • the evident differences between the standard TIM, which is used as part of the ICAO engine  
640 emissions certification processes, and the actual TIM used at airports (e.g., Unique, 2004;  
641 Watterson et al., 2004; Patterson et al., 2009; Stettler et al., 2011; Mazaheri et al., 2011;  
642 Khadilkar and Balakrishnan, 2012). For example, Patterson et al. (2009) and Khadilkar and  
643 Balakrishnan (2012) observed that total fuel burn during departures and arrivals at airports is  
644 generally overestimated by the ICAO method with respect to emissions computed from real-  
645 time aircraft flight data. Other studies have also reported measured TIM at airports: Unique  
646 (2004) reported TIM in Zurich airport and detected differences in all the LTO phases: idle (-  
647 43%), approach (+10%), climb (-77%) and take-off (+129%) which have been estimated to

648 have a strong impact on the calculation of emissions, resulting in reduced fuel flow (−38%)  
649 and NO<sub>x</sub> emissions (−31%);

- 650 • the composition of the fleet that serves an airport and the weight of the aircraft. Since the  
651 ICAO certifies the engines and not the full aircraft, some airplane characteristics, mainly the  
652 aircraft weight, may have a key role in determining the emissions. Furthermore, in addition to  
653 the mass of the aircraft, its load of fuel, passengers and goods affect the overall weight: it is  
654 reported that passengers, crew and luggage usually add 6-15% to aircraft weight (Hu et al.,  
655 2009). Most of those factors vary from flight to flight, are largely unknown and may have  
656 direct implications for reduced thrust during take-off. In fact, it should be inferred that the  
657 increase of the aircraft weight has direct effects upon the thrust levels needed for carrying out  
658 usual LTO operations. For example, Carslaw et al. (2008) studied the NO<sub>x</sub> emissions at  
659 London Heathrow and found evidence for statistically significant differences in the emissions  
660 from the same engine type used on the same aircraft frame. Among other factors, they  
661 speculated that the aircraft weight could be a cause. In a study conducted in eight major busy  
662 airports, Turgut and Rosen (2010) detected significant differences in the emissions of some  
663 pollutants and concluded that every airport has LTO cycles carried out by aircraft with  
664 different characteristics and, consequently, emissions. Another recent study by Turgut et al.  
665 (2013) showed a good relationship between aircraft mass and the NO<sub>x</sub> emission during take-  
666 off and climb, which supports the concept of an explicit relationship between the aircraft  
667 weight and emissions. There is a general lack of knowledge about the relationships between  
668 aircraft mass and emissions, although some recent studies have indicated that heavier aircraft  
669 also emit more particles (Zhu et al., 2011).

670

671 Recent studies assessing airport emissions have proposed and used LTO cycles which are much  
672 more complex than those standardised by the ICAO. For example, in a study of the air quality and  
673 public health impacts of UK airports, Stettler et al. (2011) used specific TIMs derived from

674 Watterson et al. (2004) and Underwood et al. (2004) composed of 12 phases, namely approach,  
675 landing roll, reverse thrust, taxi-in, taxiway acceleration, APU, taxi-out, taxiway acceleration, hold,  
676 take-off, initial climb and climb-out. Proposed TIMs were developed by analysing the common  
677 procedures of an A320 aircraft at London Heathrow, but may vary by aircraft size category. Other  
678 studies (e.g., Ratliff et al., 2009), used models, such as the Emissions and Dispersion Modelling  
679 System (EDMS), which also requires jet fuel quality data, main engine and APU specifications,  
680 aircraft weight and ground operating time to generate more reliable emission estimates.

681

#### 682 **4.2.4 The emission indices (EIs)**

683 The emissions during standardised LTO cycles are then reported as emission indices (EIs)  
684 expressed as mass of pollutant emitted per unit mass of fuel burned. Fuel-based emission indices for  
685 the compound X are calculated according to:

$$686 \quad EI(X) = F_c \cdot (M_X / M_{CO_2}) \cdot (\Delta X / \Delta CO_2)$$

687 where  $F_c$  represents the stoichiometric calculation of  $CO_2$  produced per kilogram of fuel consumed  
688 (with units  $g\ CO_2\ kg\ Fuel^{-1}$ ) assuming complete combustion and given a particular hydrogen to  
689 carbon ratio (e.g., Herndon et al., 2004).  $M_X$  and  $M_{CO_2}$  are the molecular weights of the compound  
690 X and  $CO_2$ , respectively, and  $\Delta X$  and  $\Delta CO_2$  are the enhancements of compound X and  $CO_2$  within  
691 the plume, respectively (e.g., Anderson et al., 2006). Unless specified differently, by convention  
692  $EI(NO_x)$  is defined in terms of  $NO_2$  and therefore the mass of  $NO_x$  emissions is:

$$693 \quad NO_x\ as\ NO_2 = NO_2\ emissions + NO\ emissions \cdot M(NO_2) / M(NO)$$

694 where  $M(NO_2)$  and  $M(NO)$  are the molecular weights of  $NO_2$  and  $NO$ , respectively. In a similar  
695 way it should be specified that  $EI(\text{hydrocarbons})$  is often referenced to methane (Wahner et al.,  
696 1995). ICAO maintains a databank of engine certification data for commercial aviation reporting  
697 EIs for the four selected pollutants (EASA, 2013). Emissions of a pollutant X from an engine can  
698 be therefore calculated using three parameters: the first two are provided by the ICAO databank and  
699 are the main engine  $EI(X)$  and the engine fuel flow, i.e., the burned fuel at a defined power setting

700 (expressed as  $\text{kg s}^{-1}$ ); the third parameter is the time-in-mode (TIM), i.e. the time the engines spend  
701 at an identified power setting (ICAO, 2011):

$$702 \text{Emission}(X)=\text{EI}(X)\cdot\text{TIM}\cdot\text{fuel flow}$$

703 Analogous to the EI for the emitted pollutant, emission indices for the number of particles have  
704 been commonly reported in the literature. For convention, they are here reported as EI(#).

705 Using ICAO EIs and standardised LTO TIMs, Figure 6, 7 and 8 report a reprocessing of the data  
706 included in the ICAO databank. In particular, Figure 6 shows the total burned fuel and the mass of  
707 emitted pollutants ( $\text{CO}$ ,  $\text{NO}_x$  and hydrocarbons) during a complete LTO cycle, i.e. the sum of  
708 standardised time in each mode per fuel flow per average EI at each of the four power settings  
709 (ICAO, 2013); data are organised to show the changes in the ICAO emission data for in-use engines  
710 certified from 1973 to present (five year steps). Since different engines have different  
711 characteristics, including the thrust force, Figure 6 also shows the ratios between the fuel burned  
712 during complete LTO cycles and the engine maximum rated thrust (in kN) to normalise the fuel  
713 consumption of the engine power. Figure 7 summarises the ICAO EI data (all in-use engines  
714 certified from 1976 to today) per each LTO stage, expressed as g pollutant emitted per kg fuel  
715 burned. Figure 8 shows the total burned fuel and emissions per each LTO phase, i.e. the product of  
716 EIs per standardised time in each phase per fuel flow. The reprocessing of ICAO data does not take  
717 into account the number of units produced for each engine model, but only the different models  
718 produced and still in service in April 2013 (and included in the ICAO databank), regardless of  
719 manufacturer, type and technology. Moreover, data refer to single engines, and generally  
720 conventional aircraft are equipped with 1 to 4 engines. Therefore the sole purpose of the  
721 reprocessing of ICAO data is to report qualitatively the trends in fuel consumption and emissions  
722 for in-use TF engines.

723

724 Currently, the scientific literature includes several studies aiming to give EIs for comparison with  
725 reported ICAO databank certification data and for many other components, including particulate

726 matter, elements, ions and speciated hydrocarbons. However, such data are often sparse and results  
727 poorly comparable. Most studies were carried out using single or a few engine types, under certain  
728 environmental conditions, without a standardised thrust and/or often using different measurement  
729 techniques and instrumental set-up. Table 3 lists the most recent studies available in the literature  
730 reporting EIs for various engines in aircraft and helicopters. The table also shows some information  
731 (if available) about tested aircraft, engine models, selected thrust, type of fuel, sampling  
732 methodologies and analytical techniques. Table 4 provides a list of recent studies which measured  
733 EIs during real aircraft operations at airports. Most of the data in such studies (both engine tests and  
734 real world operations) are summarised in the Supplemental Information Tables SI1, SI2, SI3 and  
735 SI4, which provide detailed information about the EIs for many gaseous pollutants, speciated  
736 hydrocarbons, particle number, particle mass (including soot) and species/ions in particulate matter,  
737 respectively. Note that specific thrust levels provided in the tables are derived from the literature  
738 and are categorised in five groups, named idle, approach, cruise, climb and take-off, on the basis of  
739 the engine type. The thrust, expressed as  $F_{00}$ , is always provided along with the EIs. Additional  
740 tested thrust levels (if available) are also reported, along with fuel and analytical methodologies.

741

#### 742 **4.2.5** *Considerations about the EIs*

743 As indicated by the large number of studies in Tables 3 and 4, most of the literature provides results  
744 through the calculation of EIs. When applied to the specific testing studies on engines or airplanes,  
745 such methodology has the advantage of giving data easily comparable with EIs reported in the  
746 ICAO databank. This may allow a better evaluation of the differences amongst tested engines and  
747 technologies or, in case of the use of innovative analytical devices, allows a check the agreement  
748 between data obtained and certified values. In contrast, expressing the results as EIs from studies  
749 conducted during real-world operations at airports has both advantages and limitations. An  
750 advantage of the specific studies may be comparison of the results with the ICAO data to detect  
751 changes due to evolution of the exhaust plume, e.g. aging and gas-to-particle partitioning. Carslaw

752 et al. (2008) noticed that EIs do not give a clear indication of the absolute contribution of aircraft  
753 emissions to ground-level concentrations, which is important for assessing air quality at airports.  
754 Furthermore, they commented that the value of EIs may be substantially affected by limited  
755 knowledge of some important aircraft operational factors, such as the aircraft weight and thrust  
756 setting at take-off. A list of remaining studies conducted at airports and in their surroundings, which  
757 do not report data expressed as EIs, is provided in Table 5. In summary, Tables 3, 4 and 5 provide  
758 an overview of the most important studies reported in this review for the characterisation of aircraft  
759 emissions in both tests and real operations.

760

### 761 **4.3 Emissions at Cruise Altitudes**

762 Although injected at high altitudes, aircraft cruise emissions have been found to impact surface air  
763 quality through the mean meridional streamlines due to the polar, Ferrel, and Hadley cells (Barrett  
764 et al., 2010; 2012) and they are not currently regulated. Consequently, although this review focuses  
765 on airport emissions, a brief statement upon the aircraft emissions during cruise (8-12 km) is  
766 presented, as the majority of exhaust from aircraft is emitted at high altitudes (e.g., Gardner et al.,  
767 1997; FAA, 2005; Wilkerson et al. 2010; Whitt et al., 2011). A more exhaustive summary of the  
768 effects of both civil (subsonic) aviation in the upper troposphere and supersonic aircraft in the  
769 stratosphere is reported in two reviews by Lee and co-authors (Lee et al., 2009; 2010).

770

771 Impacts of aviation during cruising first focused the interest of the scientific community in the late  
772 1960s in relation to contrail generation at high altitudes and the relative effect on climate (Reinking,  
773 1968; Kuhn, 1970). Contrails are formed whenever the requisite conditions of either ice or water  
774 supersaturation exist within aircraft exhaust plumes (DeWitt and Hwang, 2005). Subsequently, in  
775 the early 1970s, concern grew over a possible role in stratospheric ozone depletion while interest in  
776 the impact of nitrogen oxide emissions on the formation of tropospheric ozone began in the late  
777 1980s (Lee et al., 2009, and references therein). Subsequent studies (e.g., Wahner et al., 1995;



778 Brasseur et al., 1996; Schumann, 1997) investigated a number of emissions other than CO<sub>2</sub>, and  
779 effects from aviation with potential effects on climate. To date there are a large number of studies  
780 characterising aircraft emissions during cruising (e.g., Fahey et al., 1995a,b; Busen and Schumann,  
781 1995; Schumann et al., 1996; Schlager et al., 1997; Paladino et al., 1998; Anderson et al., 1998a;  
782 Curtius et al., 1998; Brock et al., 2000; Schröder et al., 2000; Schumann et al., 2000; 2002; Curtius  
783 et al., 2002; Jurkat et al., 2011).

784

785 The RF of civil aviation emissions has been extensively studied (e.g., Prather et al., 1999; Wuebbles  
786 et al., 2007; Lee et al., 2009) and can be summarised in the following emitted compounds and  
787 processes, each having positive (+) or negative (–) forcing: H<sub>2</sub>O (+); CO<sub>2</sub> (+); the atmospheric  
788 chemistry of NO<sub>x</sub> causes the formation of tropospheric O<sub>3</sub> (+) but also the destruction of methane  
789 (–); oxidation of SO<sub>2</sub> results in sulphate particles (–); contrails (+); aviation-induced cloudiness  
790 (potentially +); soot, mainly composed of black carbon (+). Lee et al. (2009) estimated that  
791 aviation-induced RF in 2005 was ~55 mW m<sup>-2</sup>, which accounted for 3.5% of global anthropogenic  
792 RF. In addition, black carbon emissions generated by aircraft at altitude have been shown to have a  
793 role in the formation of contrails (Schumann, 1996) and contrail-induced cirrus clouds, which affect  
794 the Earth's radiation balance by reflecting incoming solar radiation and by absorbing and re-  
795 emitting long wave radiation. The result is an additional positive RF of a magnitude similar to that  
796 of CO<sub>2</sub> (IPCC, 1999; Sausen et al. 2005; Lee et al., 2010). Recently, Azar and Johansson (2012)  
797 also assessed the non-CO<sub>2</sub> climate impact of aviation, including NO<sub>x</sub> and contrails, and calculated  
798 the emissions weighting factors, i.e. the factor by which aviation CO<sub>2</sub> emissions should be  
799 multiplied to get the CO<sub>2</sub>-equivalent emissions for annual fleet average conditions. Recently,  
800 Gettelman and Chen (2013) reported the climate impact of aviation aerosol. Although such studies  
801 highlighted the climate impact of aviation, it should be borne in mind that the magnitude of the total  
802 emissions of pollutants from aviation in terms of mass with direct and/or indirect effects on climate  
803 are one to two orders of magnitude smaller than from road transport or shipping (Balkanski et al.,

804 2010; Eyring et al., 2010). The study of aircraft emissions at cruise altitudes is very challenging  
805 mainly due to the obvious difficulty of sampling. Thus, measurements are commonly performed  
806 indirectly or extrapolated from data collected on the ground or in the laboratory. For this reason, the  
807 assessment of cruise emissions at altitude offers unique challenges to understanding the impacts of  
808 atmospheric emissions and their processing (Herndon et al., 2008, and reference therein).  
809 Computational models are available to extrapolate the test stand EI data to cruise altitude conditions  
810 (Baughcum et al., 1996b; Sutkus et al., 2001).

811

#### 812 **4.4 Military Aircraft Emissions**

813 Despite most attention being given to civil aviation, a number of studies have also addressed  
814 emissions from military aircraft (e.g., Spicer et al., 1984; 1992; 1994; Heland and Schäfer,  
815 1997;1998; Gerstle et al., 1999; 2002; Miller et al., 2003; Anderson et al., 2005; Brundish et al.,  
816 2007; Corporan et al., 2008; Cheng, 2009; Cowen et al., 2009; Spicer et al., 2009; Cheng et al.,  
817 2009; Cheng and Corporan, 2010). Despite the relatively high potential impact of military aircraft  
818 emissions under particular circumstances, the task of studying military emissions is very difficult.  
819 Unlike civil aviation, military operations generally do not work to set flight profiles and do not  
820 follow fixed plans (Wahner et al., 1995). In addition, national and military authorities are reluctant  
821 to disclose sensitive information either about operations or in-use technologies. The lack of  
822 comprehensive data about military operations makes realistic assessments of the contribution of  
823 military aircraft in terms of fuel consumption extremely difficult. In addition, some aircraft may  
824 have a dual function, such as the C-130 Hercules, which can be engaged in both military and  
825 civilian operations. Henderson et al. (1999) reported a historical breakdown of aviation fuel burn for  
826 civil and military aviation: in 1976 fuel burned by civil aviation was 64%, while military was 36%.  
827 In 1992 the percentages were 82% and 18%, respectively. Subsequent studies stated that military  
828 aviation fleets used 11% (19.5 Tg) of fuel in 2002 and estimated that the military contribution is in  
829 the range of 10-13% of total aviation emissions (Eyers et al., 2004; Waitz et al., 2005). Table 2

830 provides estimates of fuel consumption and exhaust emissions from military aviation by the  
831 AERO2k model (Eyers et al., 2004). Among the large number of military aircraft, Cheng and  
832 Corporan (2010) stated that the three classes of military engines T56, TF33, and T700/ T701C fitted  
833 in the C130 Hercules, B-52 bomber and Apache/Blackhawk helicopters, respectively, consume  
834 70%–80% of the USA military aviation fuel each year.

835

#### 836 **4.5 Water Vapour**

837 Water is a key product of all hydrocarbon combustion and aircraft engines release H<sub>2</sub>O as vapour  
838 (Lewis et al., 1999). Water vapour is a greenhouse gas and its increase in the stratosphere (Solomon  
839 et al., 2010) and the free troposphere (Sherwood et al., 2010) tend to warm the Earth's surface  
840 (Prather et al., 1999). Water vapour, via latent heat released or absorbed during condensation and  
841 evaporation cycles also play an active role in dynamic processes that shape the global circulation of  
842 the atmosphere (Schneider et al., 2010). Moreover its effect on the formation of contrails and on the  
843 enhanced cirrus generation in the upper troposphere can be relevant for additional global RF with  
844 an indirect consequent potential increase of positive effects on global warming (Lee et al., 2009).  
845 The annual and global-mean RF due to present-day aviation water vapour emissions has been found  
846 to be 0.9 (range 0.3–1.4) mW m<sup>-2</sup> (Wilcox et al., 2012). The increased water vapour in the lower  
847 troposphere may have secondary effects on precipitation, fog, visibility and some microphysical  
848 processes.

849

850 An emission index of 1230±20 g H<sub>2</sub>O kg Fuel<sup>-1</sup> is commonly reported for completely burnt fuel  
851 (Lewis et al., 1999; Lee et al., 2010): this represents a little less than 30% of all combustion  
852 products in aircraft exhaust (Figure 3). No differences in emission indices during idle, take-off and  
853 cruise power settings are reported (Lewis et al., 1999), as emissions of H<sub>2</sub>O are a simple function of  
854 fuel consumption. The AERO2k inventories (Eyers et al., 2004) estimate a global emission of 217  
855 Tg H<sub>2</sub>O for 2002, 193 Tg from civil aviation and 24 Tg from military operations. Other more recent

856 estimates report 251 Tg H<sub>2</sub>O in 2005 (Kim et al., 2007) and 233 Tg H<sub>2</sub>O in 2006 (Wilkerson et al.,  
857 2010). However, the emissions of water by the global aircraft fleet into the troposphere are small if  
858 compared with fluxes within the natural hydrological cycle (IPCC, 1999) and thus water vapour  
859 from aircraft exhausts is not considered relevant for local air pollution and human health. An  
860 estimation of H<sub>2</sub>O produced by aircraft below 1000 m can be assessed by considering the global use  
861 of fuel reported in the literature for LTO cycles: considering the total consumption of 13.9 Tg fuel  
862 in 2005 (Kim et al., 2007), a total emission of ~17 Tg H<sub>2</sub>O can be estimated (Table 2). Considering  
863 the fuel burn breakdown provided by Simone et al. (2013) for the EU (3.1 Tg in 2005), a total of 3.8  
864 Tg y<sup>-1</sup> H<sub>2</sub>O are emitted within European countries.

865

#### 866 **4.6 Carbon Dioxide**

867 Carbon dioxide is recognised as the main greenhouse gas, has a primary role in the Earth's climate  
868 warming and its behaviour within the atmosphere is simple and well understood (IPCC, 1999). Its  
869 main anthropogenic source is the combustion of fossil fuels: CO<sub>2</sub> emissions from fossil fuel  
870 combustion, including small contributions from cement production and gas flaring, were estimated  
871 to be 8.7±0.5 Pg C yr<sup>-1</sup> in 2008 an increase of 2% from 2007, 29% from 2000 and 41% from 1990  
872 (Le Quéré et al., 2009). More recently, Peters et al. (2011) indicated that global CO<sub>2</sub> emissions from  
873 fossil-fuel combustion and cement production further grew by 5.9% in 2010, surpassing 9 Pg C yr<sup>-1</sup>  
874 principally due to the strong emissions growth in emerging economies. Once emitted, there are no  
875 important processes involving CO<sub>2</sub> formation or destruction and sinks occur principally at the Earth  
876 surface by exchange with the biosphere and the oceans (Solomon et al., 2007).

877

878 Carbon dioxide is the most abundant carbon-based effluent from aircraft engines (e.g., IPCC, 1999;  
879 Anderson et al., 2006; Lee et al., 2010) and Lewis et al. (1999) report that it accounts for ~72% of  
880 total combustion products (Figure 3). Typically, the EI(CO<sub>2</sub>) from modern aircraft engines is  
881 3160±60 g kg Fuel<sup>-1</sup> for complete combustion (Lewis et al., 1999; Lee et al., 2010) and emissions

882 of CO<sub>2</sub> are a simple function of fuel consumption (e.g., Owen et al., 2010). However, some studies  
883 reported that EI(CO<sub>2</sub>) decreases slightly at low thrust because incomplete combustion may result in  
884 a relative increase of CO and hydrocarbons in the exhaust (e.g., Wey et al., 2006; Stettler et al.,  
885 2011). The role of aviation in the rise of CO<sub>2</sub> emissions on a global scale may not be neglected and  
886 a list of estimates of CO<sub>2</sub> emissions is provided in Table 2. In 1992, global aviation emissions of  
887 CO<sub>2</sub> were about 2% of total anthropogenic sources and equivalent to about 13% of emissions from  
888 all transportation sources (IPCC, 1999). The AERO2k inventories (Eyers et al., 2004) estimated a  
889 global emission of 553 Tg CO<sub>2</sub> for 2002, 492 Tg from civil aviation and 61 Tg from military  
890 operations, while a higher global emission of 733 Tg y<sup>-1</sup> was reported for 2005 (Lee et al., 2009),  
891 accounting for approximately 3% of the total CO<sub>2</sub> emissions from the combustion of fossil fuels  
892 (Howitt et al., 2011). Other estimates reported are 641 Tg CO<sub>2</sub> in 2005 (Kim et al., 2007) and 595  
893 Tg CO<sub>2</sub> in 2006 (Wilkerson et al., 2010). As for H<sub>2</sub>O, an estimate of CO<sub>2</sub> produced by aircraft  
894 below 1000 m was derived by assuming a constant EI(CO<sub>2</sub>) of 3160 g kg Fuel<sup>-1</sup> and by considering  
895 the global use of fuel reported in the literature during LTO cycles in 2005 (Table 2). Results show a  
896 global emission of 44 Tg CO<sub>2</sub> of which about 9.8 Tg y<sup>-1</sup> are emitted within Europe.

897

#### 898 **4.7 Carbon Monoxide**

899 Carbon monoxide (CO) in the atmosphere is mainly generated by photochemical oxidation of  
900 methane and nonmethane hydrocarbons as well as direct emissions from anthropogenic combustion  
901 processes, such as vehicular exhaust, domestic heating, industrial emissions and biomass burning.  
902 In the troposphere, CO has a chemical lifetime varying from 30 to 90 days and its major sink is  
903 oxidation by hydroxyl radicals (Novelli et al., 1998; Seinfeld and Pandis, 2006). Its ability to form a  
904 strong bond with haemoglobin to form carboxyhaemoglobin can cause adverse effects on human  
905 health due to the reduction of blood oxygen-carrying capacity. At high exposure levels, CO can lead  
906 to asphyxia, whereas at low doses it may cause impaired neuropsychological performance and risk

907 for myocardial ischemia and rhythm disturbances in persons with cardiovascular diseases (Samoli et  
908 al., 2007; Bell et al., 2009).

909

910 Carbon monoxide is generally emitted in aircraft exhaust as result of incomplete combustion of jet  
911 fuel. Emissions of CO are regulated by ICAO international standards and engine manufacturers  
912 must provide emission indices for this pollutant during an LTO cycle (ICAO, 2008). In the last 40  
913 years, the improvement of engine technology has led to a significant reduction in CO emissions  
914 during the LTO cycle. Figure 6 shows a decrease in CO emissions at the end of the 1970s and  
915 nowadays most newly certified engines emit less than 10 kg CO per complete LTO cycle.

916

917 Carbon monoxide emissions indices are highest at low power settings where combustor  
918 temperatures and pressures are low and combustion is less efficient (Sutkus et al., 2001). Table SII  
919 summarises values of EI(CO) certified by ICAO for specific in-use aircraft engines and also lists  
920 EI(CO) for various military engines. Figure 7 reports the ICAO data (all in-use engines certified  
921 from 1976 to today) as a function of LTO stages and shows that CO emission indices are generally  
922 greater at lower thrusts. Generally, average EI(CO) for in-use commercial engines included in the  
923 ICAO databank vary from 0.6 g kg Fuel<sup>-1</sup> at take-off power to 31 g kg Fuel<sup>-1</sup> at idle. Anderson et al.  
924 (2006) observed large decreases in CO emissions with increasing engine power for various FSCs  
925 (by a factor of ~8 from idle to 61% F<sub>00</sub>) and reported that CO was observed to account for ~1% of  
926 the total carbon emissions at engine idle, but emissions drop off at cruise thrust (61% F<sub>00</sub>)  
927 contributing <0.1%. Cain et al. (2013) measured emissions from a turbo-shaft engine burning  
928 different types of fuel and observed a decrease of CO with increasing engine power mainly due to  
929 improved combustion efficiency at higher power settings. Because of their predominant emission at  
930 lower power settings, CO emissions from aircraft are of high relevance to air quality in the vicinity  
931 of airports because of idle and taxi phases conducted at low thrust and which take up most of the  
932 time aircraft spend at an airport. Figure 8 reports the total CO emissions for in-use engines during

933 the four LTO phases and shows that CO emissions during idle are generally two orders of  
934 magnitude higher than climb and take-off phases.

935

936 After emission, CO may undergo to a series of chemical reactions in the troposphere involving  
937 hydroxyl radical, O<sub>2</sub> and NO to form carbon dioxide, nitrogen dioxide, and ozone.

938

939 Some studies have derived EI(CO) directly from measurements during normal operation of idle and  
940 taxi at airports and have revealed some considerable differences compared to ICAO data, with  
941 results generally higher than those certified. For example, Heland and Schäfer (1998) reported an  
942 EI(CO) of  $51.8 \pm 4.6 \text{ g kg Fuel}^{-1}$  at idle for a CFM56-3 engine, which was about 27-48% higher than  
943 the ICAO data. Herndon et al. (2008) reported that EI(CO) observed in ground idle plumes was  
944 greater (up to 100%) than predicted by engine certification data for the 7% thrust condition. Since  
945 CO emissions increase with decreasing thrust, these studies seem to confirm that normal idle and  
946 taxi operations at airports occur at lower thrust than the standardised ICAO LTO cycle, resulting in  
947 more CO emitted than certified values (e.g., Schäfer et al., 2003).

948

949 Some studies have measured the carbon monoxide in ambient air at airports (e.g., Schürmann et al.,  
950 2007; Heland and Schäfer, 1998; Yu et al., 2004; Herndon et al., 2008 ). In a study carried out at  
951 two different airports, Yu et al. (2004) observed that aircraft are an important contributor to CO in  
952 Hong Kong airport, whereas emissions from ground vehicles going in and out of the airport  
953 dominated emissions at Los Angeles. A study carried out at Zurich airport (Schürmann et al., 2007)  
954 demonstrated that CO concentrations in the vicinity of the terminals are highly dependent on  
955 aircraft movements.

956

957

958

959 **4.8 Nitrogen Oxides and Nitrogen Acids**

960 Nitrogen oxides ( $\text{NO}_x = \text{NO} + \text{NO}_2$ ) in urban environments are principally emitted from fossil fuel  
961 combustion as NO, as described by the extended Zeldovich mechanism (Lavoie et al., 1970):



965 NO plays an important role in atmospheric chemistry by rapidly reacting with ambient ozone or  
966 radicals to form  $\text{NO}_2$  on a timescale of minutes (Finlayson Pitts and Pitts, 2000; Seinfeld and  
967 Pandis, 2006):



969 Other primary sources of  $\text{NO}_x$  in the troposphere are biomass burning, soil emissions, lightning,  
970 transport from the stratosphere and ammonia oxidation (IPCC, 1999).  $\text{NO}_2$  is a strong respiratory  
971 irritant gas and its effects on human health have been extensively reviewed (Samoli et al., 2006;  
972 Weinmayr et al., 2010; Chiusolo et al., 2011) indicating a relationship with cardiovascular and  
973 respiratory diseases and mortality.

974

975 Nitrogen oxides are produced in the high temperature regions of the combustor primarily through  
976 the thermal oxidation of atmospheric  $\text{N}_2$  and therefore  $\text{NO}_x$  formation is sensitive to combustor  
977 pressure, temperature, flow rate, and geometry (Sutkus et al., 2001). Additional  $\text{NO}_x$  may derive  
978 from the combustion of the fuel-bound nitrogen: nitrogen in the fuel is not controlled or typically  
979 measured, but it can range from near zero to perhaps 20 ppm (Chevron Corporation, 2006). Gardner  
980 et al. (1997) estimated that 93% of  $\text{NO}_x$  from aircraft is emitted in the Northern Hemisphere and  
981 ~60% at cruise altitudes. More recent estimates indicated that in 2005 the  $\text{NO}_x$  emitted during LTO  
982 was 0.23 Tg (Kim et al., 2007), accounting for ~8% of global emissions from aviation.

983



984 NO<sub>x</sub> is included in the parameters certified by ICAO. There is a difference in the molecular mass of  
985 NO and NO<sub>2</sub>, and in the ICAO methodology data are reported as NO<sub>2</sub> equivalent (unless otherwise  
986 specified). Being sensitive to combustor pressure, NO<sub>x</sub> emissions increase monotonically with  
987 engine thrust (Table S11, Figure 7). Generally, EI(NO<sub>x</sub>) for in-use engines included in the ICAO  
988 databank vary from 4±1 g NO<sub>x</sub> kg<sup>-1</sup> burned Fuel<sup>-1</sup> at idle to 29±12 g NO<sub>x</sub> kg<sup>-1</sup> burned Fuel<sup>-1</sup> at take-  
989 off power. However, despite the strong relationships to power settings, NO<sub>x</sub> total emissions per  
990 each standardised LTO phase are pretty constant during idle, approach and take-off operations  
991 (Figure 8). Carslaw et al. (2008) measured individual plumes from aircraft departing Heathrow  
992 Airport and found that engines with higher reported NO<sub>x</sub> emissions result in proportionately lower  
993 concentrations than engines with lower emissions. This result was hypothesised to be linked to  
994 aircraft operational factors, such as take-off weight and aircraft thrust setting, which therefore may  
995 have an important influence on concentrations of NO<sub>x</sub>. Furthermore, Carslaw and co-authors  
996 reported that NO<sub>x</sub> concentrations can differ by up to 41% for aircraft using the same airframe and  
997 engine type, while those due to the same engine type in different airframes can differ by 28%.  
998

999 In recent years there has been a growing concern over emissions of primary NO<sub>2</sub> as a fraction of  
1000 NO<sub>x</sub> from road traffic mainly because of the failure of NO<sub>x</sub> emission reductions to deliver an  
1001 improvement in urban NO<sub>2</sub> concentrations (e.g., Jenkin, 2004; Carslaw and Beevers, 2004; Carslaw,  
1002 2005; Hueglin et al., 2006; Grice et al., 2009; Mavroidis and Chaloulakou, 2011; Cyrus et al.,  
1003 2012). The ratio of NO<sub>2</sub> to NO<sub>x</sub> in aircraft emissions is diagnostic of combustor efficiency and  
1004 several studies reported that, unlike many other forms of combustion, the majority of the NO<sub>x</sub>  
1005 emitted from modern high bypass TF engines at idle is in the form of NO<sub>2</sub>. On the contrary, NO is  
1006 dominant at high power regimes. For example, Wormhoudt et al. (2007) performed ground  
1007 measurements and observed that emitted NO<sub>2</sub> may represent up to 80% of the total NO<sub>x</sub> emissions  
1008 for a modern engine at low thrust and 7% at the highest power setting. Other studies (Timko et al.,  
1009 2010b,c; Wood et al., 2008b) reported that the NO<sub>2</sub>/NO<sub>x</sub> ratio may vary between 75% and 98% at

1010 low thrust, while for approach, thrust may range from 12% to 20%. Presto et al. (2011) observed  
1011 that the NO/NO<sub>x</sub> ratio increases from 0.2-0.3 at 4% F<sub>00</sub> to 1 at 30% and 85% F<sub>00</sub>. Other  
1012 measurements carried out within 350 m of a taxiway and 550 m of a runway during common  
1013 airport operations indicated that 28–35% of NO<sub>x</sub> exists in the form of NO<sub>2</sub> (Herndon et al., 2004).  
1014 However it was reported that the relative abundance of NO and NO<sub>2</sub> are subject to large  
1015 uncertainties due to conversion in the plumes and the contribution of other sources. The results of a  
1016 study performed by Schäfer et al. (2003) using remote sensing methodologies suggested that NO  
1017 was rapidly converted to NO<sub>2</sub> in the exhaust plume. The NO<sub>2</sub> formation and destruction processes  
1018 of aircraft exhausts were investigated by Wood et al. (2008b), who observed that the NO<sub>2</sub>/NO<sub>x</sub>  
1019 fraction is significantly higher in advected measurements than in engine tests. The results suggested  
1020 that a significant portion of the NO in the exhaust can be converted into NO<sub>2</sub> by mechanisms that do  
1021 not involve ozone.

1022

1023 Nitrogen oxides may also be oxidised to other reactive nitrogen species and the complete family of  
1024 reactive nitrogen species is denoted as reactive odd nitrogen (NO<sub>y</sub>), which includes the sum of NO<sub>x</sub>  
1025 and its oxidation products (HNO<sub>3</sub>, HONO, NO<sub>3</sub><sup>·</sup>, N<sub>2</sub>O<sub>5</sub>, HNO<sub>4</sub>, peroxyacyl nitrates, alkyl nitrates  
1026 and others). Nitric acid is the major oxidation product and increasing atmospheric concentrations of  
1027 NO<sub>x</sub> favour nitric acid formation as a result of the daytime gas phase recombination reaction of  
1028 hydroxyl radical with NO<sub>2</sub>. NO<sub>x</sub> plays a key role in secondary inorganic aerosol formation  
1029 (Finlayson-Pitts and Pitts, 2000; Seinfeld and Pandis, 2006).

1030

1031 High levels of NO<sub>x</sub>, particularly NO<sub>2</sub>, are a matter of concern for air quality near major airports. For  
1032 example, current NO<sub>2</sub> concentrations breach the UK annual mean air quality objective (40 µg m<sup>-3</sup>)  
1033 at some locations around Heathrow, London (UK) (UK Department of Transport, 2006; UK  
1034 Statutory Instrument, 2007; HAL, 2011), while some exceedences of the Swiss annual mean NO<sub>2</sub>  
1035 limit value (30 µg m<sup>-3</sup>) have been observed near Zürich airport (Fleuti and Hofmann, 2005).

1036 However, as most airports are located in the vicinity of large cities, the contribution of airport-  
1037 related emissions to those exceedences is hard to quantify due to the major influence of other  
1038 sources, such as traffic and industry. For example, Yu et al. (2004) observed that ground vehicles  
1039 were the dominant source of NO<sub>x</sub> emissions at Los Angeles airport.

1040

1041 Although various studies have attempted to estimate the contribution of airport operations to  
1042 ambient NO<sub>x</sub> levels, the results are often conflicting. For example, Carslaw et al. (2006) estimated  
1043 that Heathrow operations accounted for ~27% of the annual mean NO<sub>x</sub> and NO<sub>2</sub> at the airfield  
1044 boundary and less than 15% (<10 µg m<sup>-3</sup>) at background locations 2-3 km downwind of the airport,  
1045 while Fleuti and Hofmann (2005) estimated the Zürich airport influence upon NO<sub>2</sub> to be below 1 µg  
1046 m<sup>-3</sup> at a distance of three or more kilometers. In both case studies concentrations of NO<sub>x</sub> close to  
1047 the airport were dominated by road traffic sources. A detailed emission inventory of UK airports  
1048 was computed by Stettler et al. (2011), who pointed out that LTO emissions at London Heathrow in  
1049 2005 accounted for about 8.19x10<sup>6</sup> kg NO<sub>x</sub>, of which more than 80% is in the form of NO. An  
1050 emission inventory study of NO<sub>x</sub> emissions at Zurich airport in 2003 (Unique, 2004) reported that  
1051 most nitrogen oxides were released from LTO operations, while minor contributions were  
1052 calculated for landside traffic, handling/airside traffic and airport infrastructure.

1053

#### 1054 **4.8.1 Nitrous oxide**

1055 Apart from NO<sub>x</sub>, other nitrogen species have been detected and analysed in aircraft exhaust plumes  
1056 and at airports. Few data are available for the emissions of nitrous oxide (N<sub>2</sub>O) and some are  
1057 contradictory. Wiesen et al.(1994) examined nitrous oxide emissions from different commercial jet  
1058 engines using different fuels and reported average EI(N<sub>2</sub>O) ranging from 97 to 122 mg kg Fuel<sup>-1</sup>.  
1059 Heland and Schäfer (1998) further analysed N<sub>2</sub>O using FTIR techniques and observed that N<sub>2</sub>O  
1060 emitted by a CFM56-family engine was under the detection limits at idle thrust and detectable at  
1061 higher power settings, with a related EI(N<sub>2</sub>O) of 1300 mg kg Fuel<sup>-1</sup>. Conversely, Santoni et al.

1062 (2011) measured N<sub>2</sub>O emissions from a CFM56-2C1 engine and concluded that at low thrust EI  
1063 N<sub>2</sub>O were 110±50 mg kg Fuel<sup>-1</sup> (mean±standard deviation), while a drop of emissions was  
1064 observed at higher thrust levels (32±18 mg kg Fuel<sup>-1</sup>).

1065

#### 1066 **4.8.2 Nitrous acid**

1067 HONO is generated in the gas turbines via reaction of hydroxyl radical with NO (Wormhoudt et al.,  
1068 2007; Brundish et al., 2007) and ~1.1% of the total NO<sub>y</sub> is in the form of HONO by the engine exit  
1069 (Lukachko et al., 1998). Anderson et al. (2005) measured nitrous acid (HONO) in the exhaust of a  
1070 B757 and observed a clear power dependence, increasing with increasing power; at high power,  
1071 over 2 ppmv of HONO was detected. The same authors (Wormhoudt et al., 2007) further reported  
1072 an increasing EI(HONO) at increasing thrust, but also reported that the EI(HONO)/EI(NO<sub>2</sub>) ratio  
1073 decreases with increasing engine regimes. They found that HONO is a minor constituent (up to 7%)  
1074 compared with NO<sub>x</sub>. Herndon et al. (2006) measured NO<sub>y</sub> at Logan airport in Boston (USA) and  
1075 reported that the emission index for a B737 increased from idle (2±1.9 g(NO<sub>y</sub>) kg Fuel<sup>-1</sup>) to take-off  
1076 (19.5±3.9 g(NO<sub>y</sub>) kg Fuel<sup>-1</sup>). Wood et al. (2008b) reported that HONO accounts for 0.5% to 7% of  
1077 NO<sub>y</sub> emissions from aircraft exhaust depending on thrust and engine type: 2–7% for low thrust and  
1078 0.5–1% for high thrust (65–100% F<sub>00</sub>). In conclusion, using data available in the literature, Lee et  
1079 al. (2010) proposed that EI(HONO) should range between 0.08 and 0.8 g kg Fuel<sup>-1</sup>. More recently,  
1080 Lee et al. (2011) performed measurements of HONO from a DC-8 aircraft equipped with CFM56-  
1081 series engines using both traditional and synthetic fuels and observed that the EI(HONO) increases  
1082 approximately 6-fold from idle to take-off conditions, but plateaus between 65 and 100% of  
1083 maximum rated engine thrust. This study also discussed the kinetics behind the HONO  
1084 formation/destruction.

1085

1086 Jurkat et al. (2011) measured the gaseous nitrogen emissions in young aircraft exhaust plumes  
1087 emitted by 8 different types of modern jet airliners in flight and calculated molar ratios of

1088 HONO/NO and HONO/NO<sub>y</sub> of 0.038±0.010 and 0.027 ± 0.005, respectively. The relative  
1089 EI(HONO) at cruise thrust was reported to be 0.31±0.12 g NO<sub>2</sub> kg Fuel<sup>-1</sup>.

1090

1091

### 1092 **4.8.3 Nitric acid**

1093 Most studies of HNO<sub>3</sub> emissions were performed using experimental measurements with chemical  
1094 ionisation mass spectrometry (CIMS) in both exhaust plumes at cruising altitudes (e.g., Arnold et  
1095 al., 1992;1998a; Tremmel et al., 1998; Miller et al., 2003) and simulated gas turbines (Katragkou et  
1096 al., 2004) or using plume models (e.g., Garnier et al., 1997; Kraabøl et al., 2002). Generation of  
1097 HNO<sub>3</sub> is generally lower than HONO: Lukachko et al. (1998) reported that only ~0.07% of the total  
1098 NO<sub>y</sub> is oxidised to HNO<sub>3</sub> by the engine exit, while Lee et al. (2010, and references therein) reported  
1099 EI(HNO<sub>3</sub>) of 0.003–0.3 g kg Fuel<sup>-1</sup>. Because of the very low levels expected in aircraft exhaust, few  
1100 studies have been carried out on the ground. There is consequently a lack of data about nitric acid  
1101 measured in engine exhaust plumes during real working conditions.

1102

## 1103 **4.9 Sulfur Oxides and Sulfuric Acid**

### 1104 **4.9.1 Sulfur oxides**

1105 Sulfur dioxide (SO<sub>2</sub>) is emitted into the atmosphere from both natural (volcanic activity, grassland  
1106 and forest fires) and anthropogenic sources, including crude oil and coal transformation processes,  
1107 fossil fuel combustion, metal smelting and various industrial processes (e.g., Seinfeld and Pandis,  
1108 2006; Smith et al., 2011). Exposure is associated with increased mortality and morbidity  
1109 (Katsouyanni et al., 1997; Sunyer et al., 2003a) including cardiovascular admissions, particularly  
1110 for ischemic heart disease (Sunyer et al., 2003b). Oxidation of SO<sub>2</sub> (S(IV)) is recognised as the  
1111 major channel for the formation of atmospheric sulfuric acid (S(VI)), and sulfur trioxide (SO<sub>3</sub>) is an  
1112 important intermediate in the oxidation processes (Vahedpour et al., 2011). Consequently, SO<sub>2</sub> has  
1113 an indirect effect on acid deposition and a key role in the aerosol system by acting as sulphate

1114 precursor. Since sulphate aerosol is known to modify the direct and indirect RF, SO<sub>2</sub> also has an  
1115 indirect influence on climate.

1116

1117 Sulfur dioxide is the overwhelmingly predominant S-containing species in aircraft exhaust  
1118 (Anderson et al., 2005; Lee et al., 2010) and originates mainly from the oxidation of fuel sulfur in  
1119 the engines (Brown et al., 1996a; Schumann et al., 2002). Therefore, SO<sub>2</sub> emissions may vary  
1120 greatly as a function of FSC. In the past, studies were carried out to analyse and model the sulfur  
1121 emissions of aircraft and to estimate their role in the formation of visible contrails (e.g., Busen and  
1122 Schumann, 1995; Schumann et al., 1996; Brown et al., 1996b; 1997; Arnold et al., 1998a).

1123 Generally an emission index of 0.8–1.3 g of SO<sub>x</sub> (as SO<sub>2</sub>) per kg Fuel was reported for complete  
1124 combustion (e.g., Lewis et al., 1999; Kim et al., 2007; Lee et al., 2010; Presto et al., 2011), however  
1125 measurements at flight altitudes have showed that sulfur dioxide varies with the average FSC (e.g.,  
1126 Arnold et al., 1998a; Schumann et al., 1998). For example, Hunton et al. (2000) reported that the  
1127 EI(SO<sub>2</sub>) varied from 2.49 g SO<sub>2</sub> kg fuel<sup>-1</sup> for a high-sulfur fuel (~1150 ppm S) in a test chamber  
1128 to less than 0.01 g SO<sub>2</sub> kg fuel<sup>-1</sup> for a low-sulfur fuel (~10 ppm S). They also reported that there is  
1129 no dependence of emission indices upon engine power.

1130

1131 In this context, it is very important to stress that no S is created or destroyed from the fuel to the  
1132 exhausts, therefore for every fuel S atom there is a molecule of SO<sub>2</sub> or SO<sub>3</sub> at the exhaust plane (the  
1133 SO<sub>3</sub> quickly converts to H<sub>2</sub>SO<sub>4</sub>). In this way the emission indices of total emitted S may vary  
1134 according to the FSC, whereas the only uncertainties are in the speciation between S(IV) to S(VI)  
1135 species, i.e. in the conversion efficiency, which is discussed fully later.

1136

1137 The importance of SO<sub>2</sub> emissions at local scale, i.e. near the airports, was highlighted by Yu et al.  
1138 (2004), who found that sulfur dioxide was a good tracer of aircraft emissions at both Los Angeles  
1139 and Hong Kong airports. However, on a global scale the aviation source is considered to be

1140 secondary with respect to other major sources of SO<sub>2</sub>: Kjellström et al. (1999) used a atmospheric  
1141 general circulation model including the atmospheric sulfur cycle to investigate the impact of aircraft  
1142 sulfur emissions on the global sulfur budget of the atmosphere and concluded that aviation  
1143 accounted for about 1% of the total sulphate mass north of 40°N, where aircraft emissions are  
1144 largest. In 2004, about 0.18 Tg of SO<sub>2</sub> was estimated to be emitted from aviation (Lee et al., 2010)  
1145 using an EI(SO<sub>2</sub>) of 0.8 g Fuel<sup>-1</sup>. An estimation of SO<sub>2</sub> produced by aircraft below 1000 m can be  
1146 computed by applying a constant EI(SO<sub>2</sub>) of 0.8 g kg Fuel<sup>-1</sup> and by considering the global use of  
1147 fuel reported by the literature during LTO cycles in 2005 (Table 2). Results show a global emission  
1148 of 11 Mg SO<sub>2</sub> of which about 2.5 Mg y<sup>-1</sup> are emitted within Europe.

1149

#### 1150 **4.9.2 Conversion of S(IV) to S(VI)**

1151 Despite SO<sub>2</sub> being the dominant S-species in aircraft exhaust emissions, a fraction can be further  
1152 oxidised to form S(VI) as SO<sub>3</sub> and H<sub>2</sub>SO<sub>4</sub> (Lee et al., 2010). The presence of SO<sub>3</sub> has been  
1153 established in gas turbine engine exhaust and as attributed mainly to the oxidation of SO<sub>2</sub> by O  
1154 atoms (Arnold et al., 1998a) or by hydroxyl radicals in exhaust plumes (Tremmel and Schumann,  
1155 1999). The further reaction with water vapour rapidly converts SO<sub>3</sub> to sulfuric acid, according to  
1156 Stockwell and Calvert (1983); Stockwell (1994); Brown et al., (1996a) and Seinfeld and Pandis,  
1157 (2006):



1161 Starik et al. (2002) computed that ~1% of the sulfur is converted into SO<sub>3</sub> within the combustor and  
1162 about 10% into SO<sub>3</sub> and H<sub>2</sub>SO<sub>4</sub> before the engine exit. Past numerical simulations of H<sub>2</sub>SO<sub>4</sub>  
1163 formation from atomic oxygen and hydroxyl radical in aircraft engines indicated that between 2%  
1164 and 10% of the fuel sulfur is emitted as S(VI) (Brown et al., 1996a; Lukachko et al., 1998).  
1165 However, current understanding indicates a more realistic value of 2% (or possibly less). These

1166 studies also indicate that S(VI) conversion in the turbine is kinetically limited by the level of atomic  
1167 oxygen, resulting in a higher oxidation efficiency at lower FSCs. Katragkou et al. (2004) report that  
1168 the limiting factor of this series of reactions is the oxidation of SO<sub>2</sub> by the hydroxyl radical, which  
1169 is somewhat uncertain at the high temperatures in gas turbine engines. The knowledge of the  
1170 mechanisms involving sulfur species and their interactions with H, O atoms and radicals occurring  
1171 within a combustor is far from complete and are the subject of discussion (e.g., Blitz et al., 2003;  
1172 Somnitz et al., 2005; DeWitt and Hwang, 2005; Yilmaz et al., 2006; Hindiyarti et al., 2007;  
1173 Rasmussen et al., 2007; Wheeler and Schaefer, 2009; Hwang et al., 2010).

1174

1175 Once emitted, the gaseous sulfuric acid may act as an important precursor for aerosol because of its  
1176 low vapour pressure. An understanding of the processes controlling sulphate aerosols is therefore  
1177 essential to the study of the mechanisms of formation of particles generated by aircraft (e.g., Starik  
1178 et al., 2004). For example, Arnold et al (1998a) reported no detectable levels of sulfuric acid in the  
1179 gas phase behind an in-flight commercial aircraft, leading to the inference that initially formed  
1180 H<sub>2</sub>SO<sub>4</sub> experiences a rapid gas-to-particle conversion at plume ages <1.6 s. Sulfuric acid was  
1181 measured in several other studies at cruising altitudes and for different FSCs (e.g., Fahey et al.,  
1182 1995b; Busen and Schumann, 1995; Schumann et al., 1996; Curtius et al., 1998; Arnold et al.,  
1183 1998a; Schröder et al., 2000; Schumann et al., 2000; Curtius et al., 2002) as well as in fuel  
1184 combustion experiments at ground-level (Frenzel and Arnold, 1994; Curtius et al., 1998; 2002;  
1185 Kiendler and Arnold, 2002; Sorokin et al., 2004) and during combustor testing (Katragkou et al.,  
1186 2004). Curtius et al. (2002) reported H<sub>2</sub>SO<sub>4</sub> concentrations measured in the plume were up to 600  
1187 pptv for a 56 ppm FSC, while the average concentration of H<sub>2</sub>SO<sub>4</sub> measured in the ambient  
1188 atmosphere outside the aircraft plume was 88 pptv and the maximum ambient atmospheric  
1189 concentration 300 pptv.

1190



1191 The abundance ratio, sometime named conversion factor ( $\epsilon = (\text{SO}_3 + \text{H}_2\text{SO}_4) / \text{total sulfur}$ ) has been  
1192 widely used to assess the ratio of S(VI) to total sulfur at the exit of engines. The literature offers  
1193 numerous estimates or measures of  $\epsilon$ . However, the results are often difficult to compare as they  
1194 are derived by different methods, ranging from direct measurements, indirect computations and  
1195 models. In addition, most studies take in account only particulate sulphate, while only a few studies  
1196 have measured both particulate and gaseous phases. Anyway, Timko et al. (2010b) demonstrated  
1197 that the conversion of S(IV) to S(VI) is independent of engine technology for most modern in-use  
1198 engines. Earlier values of  $\epsilon$  are well summarised in DeWitt and Hwang (2005), while most recent  
1199 measurements and modelling studies of aircraft plume chemistry reported other direct, indirect and  
1200 inferred values of  $\epsilon$ . Generally,  $\epsilon$  values between 1 and 3% are commonly reported. For example,  $\epsilon$   
1201 values between 6 and 31% have been calculated for a B757 aircraft (Miake-Lye et al., 1998), while  
1202 Schumann et al. (2002) observed  $\epsilon$  between 0.34 and 4.5% for an old engine (Mk501) and  $3.3 \pm 1.8\%$   
1203 for a modern engine (CFM56-3B1). For low FSC, they also reported that  $\epsilon$  was considerably  
1204 smaller than implied by the volume of volatile particles in the exhaust, while for  $\text{FSC} \geq 100$  ppm,  
1205 sulfuric acid is the most important precursor of volatile aerosols formed in aircraft exhaust plumes  
1206 of modern engines. Kiendler and Arnold (2002) inferred an  $\epsilon$  value of  $2 \pm 0.8\%$  for a M45H engine  
1207 on the ground, while Curtius et al. (1998; 2002) reported  $3.3 \pm 1.8\%$  in the plume of a B737-300  
1208 aircraft in flight by measuring the total  $\text{H}_2\text{SO}_4$  content in both gaseous and aerosol phases. The  
1209 sulfur conversion fraction of an RB211 engine was computed by Starik et al. (2002) using a model  
1210 and results showed that increases in FSC cause a minor reduction in  $\epsilon$ , reporting values  $\approx 9\%$ , and  
1211  $\approx 8.4\%$  for FSC of 0.04% and 0.3%, respectively. Wilson et al. (2004) and Sorokin et al. (2004)  
1212 observed  $\epsilon$  of  $2.3 \pm 1.2\%$  in an A310 equipped with a CF6-series engine at an exhaust age of about 5  
1213 ms from the combustor exit, while Jurkat et al (2011) derived  $\epsilon$  for various in-flight aircraft and  
1214 reported an average value of  $2.2 \pm 0.5\%$ , varying from a minimum of 1.2% for a Trent-series and a  
1215 maximum of 2.8% for a CMF56-series engines. Wong et al. (2008) modelled the microphysical  
1216 processes involved and suggested conversion efficiency of 1–2%. Timko et al. (2010b) reported  $\epsilon$

1217 ranging from 0.08% to 0.01%, while Kinsey et al. (2011) suggest a median value of 2.4%. Petzold  
1218 et al. (2005b) reported that sulfur partitioning at 150°C was 97 %  $\text{SO}_2 \leq 2.7\%$  gaseous  $\text{H}_2\text{SO}_4 <$   
1219  $0.3\%$  chemisorbed  $\text{H}_2\text{SO}_4$  at soot particle surface. Regarding the relative abundance of the two  
1220 S(VI) species, during the COMS experiments Sorokin et al. (2004) reported that  $\text{SO}_3$  represented  
1221 the major fraction of S(VI) in the exhaust behind the combustor and that  $\text{SO}_3$  conversion to  $\text{H}_2\text{SO}_4$   
1222 takes place in the sampling line where the exhaust gases spend a sufficiently long time and where  
1223 the temperature is markedly lower than in the hot exhaust. Other experimental measurements made  
1224 during the EXCAVATE experiment by Anderson et al. (2005) led to the conclusion that the fraction  
1225 of total sulfur that existed as  $\text{SO}_3$  would have to be less than 0.005%.

1226

1227 According to the conversion factors for sulfur species and taking in account the mass conservation  
1228 of S in the exhaust plumes (no S is created or destroyed from the fuel to the exhausts), the  
1229 computation of the EIs can be assessed by applying:

1230 
$$\text{EI}(\text{SO}_2) = (\text{M}(\text{SO}_2)/\text{M}(\text{S})) \cdot \text{FSC} \cdot (1-\varepsilon)$$

1231 and

1232 
$$\text{EI}(\text{SO}_4^{2-}) = (\text{M}(\text{SO}_4^{2-})/\text{M}(\text{S})) \cdot \text{FSC} \cdot \varepsilon$$

1233 where  $\text{M}(\ )$  represents the molecular weights of sulfur species, FSC is the fuel sulfur content and  $\varepsilon$   
1234 is the S(IV) to S(VI) conversion efficiency as a fraction, e.g. 0.02 and a unit conversion may be  
1235 necessary (e.g. if FSC is in expressed ppm, etc).

1236

1237 Another important consideration concerning the sulphate derived from aircraft engines was pointed  
1238 out during the APEX-1 project, which was primarily developed to investigate the effects of fuel  
1239 composition on emissions at various power settings (e.g., Wey et al., 2006; Knighton et al., 2007;  
1240 Yelvington et al., 2007; Onash et al., 2009). General results from the testing of a CFM56-series  
1241 engine showed a strong linear relationship ( $r^2=0.93$ ) between FSC and emission indices for

1242 sulphate, which can be approximately described by the linear equation  $EI(\text{sulfur in mg kg}$   
1243  $\text{Fuel}^{-1})=0.0136 \cdot \text{FSC}(\text{in ppm})+4.4952$  (Kinsey, 2009).

1244

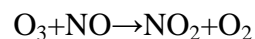
#### 1245 **4.10 Ozone**

1246 Ozone ( $\text{O}_3$ ) is a reactive oxidant gas playing a key role in photochemical air pollution and in  
1247 atmospheric oxidation processes. Ozone is associated with decrements in respiratory function and  
1248 death from respiratory causes (Jerrett et al., 2009; Yang and Omaye, 2009). Although in the upper  
1249 atmosphere it acts as a barrier for ultraviolet radiation, in the lower troposphere is a secondary air  
1250 pollutant generated through a series of complex photochemical reactions involving reactive  
1251 hydrocarbons, solar radiation and  $\text{NO}_2$  (Finlayson-Pitts and Pitts, 2000; Seinfeld and Pandis, 2006).

1252

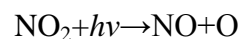
1253 Ozone is not primarily produced by aircraft engines, however some ozone precursor such as CO,  
1254  $\text{NO}_x$  and VOCs are emitted from the exhaust and may subsequently increase the boundary layer  $\text{O}_3$   
1255 pollution. Note that, amongst the ozone precursors, both CO and many VOCs are mainly emitted at  
1256 low power settings during airport taxi and idle operations, while  $\text{NO}_x$  is mainly released during  
1257 take-off and climb phases, when engines reach higher thrusts. It is reported that NO emissions,  
1258 which are dominant at highest thrusts, initially cause local ozone reductions in aircraft plumes  
1259 (Kraabøl et al., 2000a,b) following:

1260



1261 but subsequently the photolysis of  $\text{NO}_2$  may form atomic oxygen which reacts with molecular  $\text{O}_2$  to  
1262 form  $\text{O}_3$ :

1263



1264



1265 where M is  $\text{N}_2$ ,  $\text{O}_2$  or another molecule absorbing the excess energy to stabilise the ozone formed  
1266 (Seinfeld and Pandis, 2006). A contrary effect, i.e. a decrease in  $\text{O}_3$  concentrations, may also occur  
1267 due to the reaction of ozone with other compounds emitted from aircraft. For example, it is

1268 recognised that alkenes, which are emitted in the exhaust plumes, are susceptible to reaction with  
1269 ozone forming primary carbonyls and bi-radicals (e.g., Grosjean et al., 1994; Seinfeld and Pandis,  
1270 2006) and consuming O<sub>3</sub>.

1271

1272 Although the effects of aircraft emissions on ozone depletion in the upper troposphere and  
1273 stratosphere have been addressed by IPCC (1999) and the European 6th Framework ‘ATTICA’  
1274 (Assessment of Transport Impacts on Climate Change and Ozone Depletion) project (Lee et al.,  
1275 2010), less attention has been given to the effects within the boundary layer due to emissions during  
1276 LTO operations.

1277

#### 1278 **4.11 Hydrocarbons**

1279 Unburned hydrocarbons (UHC) are emitted as a result of the inefficiency of jet turbine engines to  
1280 completely convert fuel to CO<sub>2</sub> and H<sub>2</sub>O (Knighton et al., 2009). Although the levels of UHC  
1281 emitted by aviation are considered negligible relative to emissions from surface transportation  
1282 systems such road traffic, they may cause adverse health effects on exposed people, including  
1283 workers and travellers at airports, and residents who live near large hubs. Therefore, UHC are  
1284 included as parameter to be monitored during the LTO cycles by ICAO (ICAO, 2008). Analyzing  
1285 the data provided by the ICAO databank (EASA, 2013), a large range in the magnitude of UHC  
1286 emissions between different engine models can be observed. Moreover, ICAO data clearly show  
1287 that the emission of UHC during complete LTO cycles have fallen considerably since the 1970s  
1288 (Figure 6), mainly due to the development of more efficient technologies.

1289 Unfortunately, the UHC parameter used by ICAO only refers to the lump sum of all hydrocarbons,  
1290 including contributions from methane, and no corrections are made for background levels within the  
1291 engine intake air (Anderson et al., 2006; Lee et al., 2010). Consequently, UHC data give no  
1292 information on the large number of specific non-methane hydrocarbons (NMHCs) nowadays  
1293 identified, and in some cases quantified, in aircraft exhaust plumes (Wilson et al., 2004; Anderson

1294 et al., 2006; Lobo et al., 2007; Agrawal et al., 2008; Herndon et al., 2009). This fact clearly  
1295 represents a significant gap in the knowledge of impacts of aircraft on both environmental and  
1296 human health endpoints, because of the very different physicochemical and toxicological properties  
1297 of each class of organic compounds. Most emitted VOC are known ozone precursors, many are  
1298 particle precursors and can impact visibility after particle formation. Some compounds are known  
1299 or are suspected to have adverse effects on human health and the environment. Among the  
1300 hydrocarbons emitted in aircraft exhaust, 14 species (12 compounds and two groups of complex  
1301 organic compounds) are present in the Hazardous Air Pollutants (HAP) list compiled by the  
1302 USEPA (Federal Aviation Administration, 2003). These compounds are 1,3-butadiene, *n*-hexane,  
1303 acetaldehyde, xylene, acrolein, propionaldehyde, benzene, styrene, ethylbenzene, toluene,  
1304 formaldehyde, lead compounds and polycyclic organic matter as 7 and 16 PAH groups.

1305

1306 In the last 20 years, various research programmes and experiments have been carried out to give  
1307 more detailed data on the speciated hydrocarbon emissions of aircraft engines. Among others, some  
1308 milestones are listed hereafter. Spicer et al. (1984;1994) measured detailed organic emissions for  
1309 the CFM56- class engines burning various JP-grade fuels; Gerstle et al. (1999; 2002) reported UHC  
1310 emission rates for several military engines not included in the ICAO databank; the EXCAVATE  
1311 campaign (Anderson et al., 2005; 2006) investigated the speciated-hydrocarbon emissions from an  
1312 RB211-535-E4 engine at two different fuel sulfur levels; Herndon et al. (2006) investigated a set of  
1313 hydrocarbons from in-use aircraft at Boston Logan International Airport; the APEX-1 campaign  
1314 (Wey et al., 2006) reported the hydrocarbon speciation for a CFM56-2C1 engine using fuels with  
1315 differing FSC (Knighton et al., 2007; Yelvington et al., 2007); Schürmann et al. (2007) sampled  
1316 volatile organic compounds in diluted exhausts; the JETS/APEX-2 and APEX-3 campaigns (Lobo  
1317 et al., 2007; Kinsey, 2009) reported data for speciated hydrocarbons in both a staged aircraft test  
1318 (Yelvington et al., 2007; Wey et al., 2007; Agrawal et al., 2008; Timko et al., 2010c) and at airports  
1319 (Wood et al., 2008b; Herndon et al., 2009); Knighton et al. (2009) consolidated earlier data from

1320 Spicer et al. (1984;1994), EXCAVATE and APEX studies; Cain et al. (2013) measured speciated  
1321 hydrocarbon emissions from a TS engine burning various (conventional, alternative and surrogate)  
1322 fuels.

1323

1324 Although those studies have yielded much useful information for characterizing the emissions of  
1325 hydrocarbons, to date there is still a great deal of work to be done, many chemical and physical  
1326 characteristics remain unclear, and some conflicting results need to be further investigated. Firstly,  
1327 Spicer et al. (1984) reported that a significant percentage (30%–40%) of the total hydrocarbon  
1328 emissions at idle are made up of a large number of exhaust compounds with aliphatic,  
1329 cycloaliphatic and aromatic structures, predominantly ethylene, propylene, acetylene, 1-butene,  
1330 methane, and formaldehyde. This latter carbonyl was found to be the predominant aldehyde present  
1331 in the exhaust. In addition to byproducts of combustion, some studies (Spicer et al., 1992;1994;  
1332 Slemr et al., 2001) also observed that unburned/unreacted fuel compounds are emitted in the engine  
1333 exhaust from fuel cracking and incomplete combustion. Spicer et al. (1984) reported that  
1334 compounds from unburned fuel may represent a major component of exhausts and that they are  
1335 mainly composed of normal C<sub>10</sub>-C<sub>16</sub> paraffins with smaller amounts of alkyl substituted aromatics,  
1336 cycloparaffins, and branched alkanes. The unburned fuel component was also observed to be  
1337 virtually eliminated at the 30% and 80% F<sub>00</sub> conditions, when concentrations of all of the individual  
1338 hydrocarbons are very low. Similar results were reported by Slemr et al. (2001) in both modern  
1339 commercial high bypass TF engines (CFM56-2C1) and older technology engines (Rolls Royce  
1340 M45H Mk501) with emissions dominated by alkenes and alkynes due to fuel cracking and aromatic  
1341 compounds arising from unburned fuel.

1342

1343 These pioneering results were largely confirmed by more recent studies, which generally reported  
1344 that emitted hydrocarbons are composed of relatively light weight (C<sub>2</sub>–C<sub>6</sub>) species, including  
1345 alkanes and alkenes, formaldehyde, methanol, ethylene, acetaldehyde, acetic acid, benzene, toluene,

1346 phenol, styrene, naphthalene and methylnaphthalenes (Slemr et al., 2001; Anderson et al., 2006;  
1347 Knighton et al., 2007; Yelvington et al., 2007; Schürmann et al., 2007; Kinsey, 2009). The results  
1348 of the whole APEX study (Kinsey, 2009) partially confirmed previous data, indicating that  
1349 generally the gaseous hydrocarbon emissions of various engines primarily consist of formaldehyde  
1350 (16-28% of total gaseous emissions), ethylene (8-23%), acetaldehyde (5-13%), acetylene (5-15%),  
1351 propene (2-8%) and glyoxal (3-8%), with significant quantities of acrolein (<4%), benzene (<3%),  
1352 1,3-butadiene (<3%), and toluene (<1%), while 16-42% of total non-methane volatile compounds  
1353 remained unresolved. The sum of HCHO, ethylene, acetaldehyde, and propene may account for  
1354 roughly 75% of the volatile organic compounds, while benzene, toluene, xylenes, and other  
1355 substituted benzene compounds, oxygenates (acetone, glyoxal, and propanal), olefins (butene,  
1356 pentene, hexane), and naphthalenes constitute the remaining 20% (Timko et al., 2010c). In addition  
1357 to the numerous papers published, US Environmental Protection Agency (US EPA, 2009) also  
1358 created a companion spreadsheet including data on speciated hydrocarbon from APEX projects.  
1359 Figure 9 summarises the data from APEX campaigns in terms of profile (mass fraction) of the  
1360 emitted hydrocarbons.

1361

1362 The total hydrocarbon EIs are highest at low power settings, where combustor temperatures and  
1363 pressures are low and combustion is less efficient (Sutkus et al., 2001; Yelvington et al., 2007).  
1364 UHC data provided by ICAO also confirm this behaviour for in-use TF engines (Figure 7).  
1365 Similarly, many studies have reported the same behaviour for individual hydrocarbon species.  
1366 Spicer et al. (1992; 1994) and Slemr et al. (2001) first reported that the emissions of many  
1367 hydrocarbon species dropped at higher engine power by a factor of 20–50 and unburned fuel  
1368 components disappeared. The EXCAVATE campaign (Anderson et al., 2006) also highlighted that  
1369 most hydrocarbon species are strongly power dependent, with EIs at high thrusts dramatically lower  
1370 than at idle. During APEX-1,2,3 campaigns, Knighton et al. (2007) observed that at engine power  
1371 conditions significantly higher than 15%  $F_{00}$ , the engine combustion efficiency is close to 100%,

1372 resulting in hydrocarbon emissions often below the detection levels for many individual  
1373 compounds. The inverse dependence of UHC upon thrust has a high relevance for air quality at  
1374 airports, where idle and taxi phases are conducted at low thrusts and take up most of the time.  
1375 Figure 8 shows that the cumulative UHC emission spans over two order of magnitude for in-use  
1376 engines passing from idle to take-off during standardised LTO cycles.

1377

1378 Despite these interesting studies, the scientific literature still offers poor information on the  
1379 hydrocarbon speciation and the few available data are often conflicting. For example, the potential  
1380 changes in the hydrocarbon profiles at varying power are still unclear and deserve further  
1381 investigation. Despite the large dependence of the magnitude of total UHC emitted from different  
1382 engines, Knighton et al. (2009) observed that the ratios between the formaldehyde versus other  
1383 hydrocarbon species were constant and independent of power settings. Although this result  
1384 indicates constant hydrocarbon profiles with varying thrust, these results are inconsistent with other  
1385 studies showing clear shifts of the hydrocarbon speciation with power. For example, during the  
1386 EXCAVATE campaign, Anderson et al. (2006) observed that alkenes (mainly ethene) constituted  
1387 more than 70% of the observed total NMHC emissions at idle, while at 61%  $F_{00}$  aromatic species  
1388 (mostly toluene) accounted for over 50% of the total. There is currently a lack of information about  
1389 the emitted hydrocarbons and this gap is mainly evident for emissions at power settings below the  
1390 ICAO 7% idle. The behaviour and data for the most important classes of organics are discussed  
1391 hereafter in separate sub-subsections.

1392

#### 1393 **4.11.1 Methane**

1394 Methane ( $\text{CH}_4$ ) is a radiatively active gas and is estimated to be 25 times more effective on a per-  
1395 molecule level than  $\text{CO}_2$  in terms of greenhouse effect at hundred-year time scales (Lelieveld et al,  
1396 1998). Moreover, its roles in atmospheric chemistry to produce tropospheric ozone and  
1397 stratospheric water vapour indirectly enhance its climate forcing effects. Although natural emissions



1398 from wetlands are largely recognised as dominant sources of methane at global scales,  
1399 anthropogenic sources, such as energy, agriculture, waste and biomass burning can further  
1400 contribute to its load in the atmosphere (Dlugokencky et al., 2011 and references therein). Most  
1401 studies report that that turbine engines are not a significant source of CH<sub>4</sub> and have concluded that  
1402 most engines tend to produce minor amounts of methane at idle and may consume it at higher  
1403 engine power (Spicer et al., 1992, 1994; Vay et al., 1998; Slemr et al., 2001; Anderson et al., 2006;  
1404 Santoni et al., 2011). Wiesen et al.(1994) examined methane emissions from different commercial  
1405 jet engines (PW 305 and RB 211) under various flight conditions using different fuels and  
1406 concluded that air traffic does not contribute significantly to the global budget of methane. Santoni  
1407 et al. (2011) measured methane emissions from a CFM56-2C1 engine aboard a NASA DC-8  
1408 aircraft and reported that the EI for CH<sub>4</sub> was (mean±standard deviation) 170±160 mg kg Fuel<sup>-1</sup> at  
1409 4% and 7% F<sub>00</sub>, while negative values (54±33 mg kg Fuel<sup>-1</sup>) were reported for higher thrust  
1410 settings, indicating consumption of methane by the engine.

1411

#### 1412 **4.11.2 Alkanes, alkenes and alkynes**

1413 During the EXCAVATE campaign, Anderson et al. (2006) reported that the alkene species  
1414 constituted over 90% of the observed total NMHC at idle but less than 20% at higher engine power  
1415 settings. They also observed large decreases in alkane and alkene emissions with increasing engine  
1416 power for various FSCs. In particular, EXCAVATE results showed that propylene underwent the  
1417 most dramatic decrease, exhibiting a drop of mixing ratios by a factor ~280 from 7 to 61% F<sub>00</sub>. In  
1418 the same manner, isoprene dropped from ~2.5 ppbv to less than ~5 pptv (i.e., below the detection  
1419 limit). On the other hand, these results reported decreases in alkane compounds which were much  
1420 more modest, typically under a factor of 10. Schürmann et al. (2007) revealed that though isoprene  
1421 was not directly found in emissions from kerosene refuelling, it was detected in considerable  
1422 amounts in the aircraft exhaust which indicates that isoprene is most likely formed in the  
1423 combustion process of a jet engine.

### 1424 **4.11.3 Carbonyls**

1425 Due to their known adverse effects on human health, some carbonyls (formaldehyde, acetaldehyde,  
1426 propionaldehyde and acrolein) have been included in the HAP list (Federal Aviation  
1427 Administration, 2003). However, nowadays there is a gap in the current state of knowledge  
1428 regarding the toxicity of many other aldehydes (including glyoxal, methylglyoxal and  
1429 crotonaldehyde) which are detected in sizeable quantities in aircraft exhaust plumes and have  
1430 potential toxic effects (Wood et al., 2008). APEX results (Kinsey, 2009) clearly showed that  
1431 carbonyls generally account for most of the gaseous hydrocarbons emitted by common aircraft  
1432 engines. Agrawal et al. (2008) reported that the major three contributors to carbonyl emissions are  
1433 formaldehyde, acetaldehyde and acetone, and showed that carbonyl emissions are significantly  
1434 higher during the idle mode than at higher thrusts. However, measurements of carbonyl EIs were  
1435 also found to be very variable since they are sensitive to changes in ambient temperature  
1436 (Yelvington et al., 2007; Knighton et al., 2007; Agrawal et al., 2008). Similar results were obtained  
1437 for TS engines: Cain et al. (2013) observed that the EIs for the most prevalent aldehydes emitted at  
1438 various engine power combinations were formaldehyde, acetaldehyde, and propionaldehyde and  
1439 also reported a decrease with increasing engine power. The results of such engine tests seem to be  
1440 confirmed by ambient measurements. For example, Fanning et al. (2007) and Zhu et al. (2011)  
1441 reported that the time averaged concentrations of formaldehyde and acrolein were elevated at the  
1442 Los Angeles International airport relative to a background reference site.

1443

### 1444 **4.11.4 Aromatic compounds**

1445 Benzene, toluene, ethylbenzene, and *ortho*-, *meta*-, and *para*-xylenes are an important group of  
1446 VOCs collectively known as BTEX. In urban environments BTEX are principally emitted by  
1447 vehicle exhaust gases because of their presence in fuels, lubricating and heating oil, while minor  
1448 sources include gasoline evaporation, use of solvents and paint, leakage from natural gas and  
1449 liquefied petroleum gas. The adverse health effects of benzene are well known (e.g., WHO, 2000;

1450 Saillenfait et al., 2003; Pariselli et al., 2009, and reference therein) and it is included as a known  
1451 human carcinogen by the IARC classification system. BTEX are highly reactive in the troposphere  
1452 playing a key role in atmospheric chemistry as important photochemical precursors for tropospheric  
1453 ozone and secondary organic aerosol generation (Atkinson, 2000; Atkinson and Arey, 2003).

1454

1455 Aromatic compounds are present in jet fuels, and can therefore be emitted as both unburned  
1456 material and byproducts of incomplete hydrocarbon combustion, but also from fuel evaporation and  
1457 refueling (Anderson et al., 2005; 2006). In this context, the benzene to toluene ratio (B/T) was often  
1458 proposed to identify the fuel vs combustion origin of hydrocarbon mixtures. For example,  
1459 Schürmann et al. (2007) observed that the B/T ratio at an airport is well below 1 for refuelling  
1460 emissions and engine ignition while in the exhaust this value reaches up to 1.7. The US EPA (2009)  
1461 mass fraction profiles (Figure 9) clearly show that BTEX account for ~4% of identified compounds,  
1462 while other relevant aromatics (in order of decreasing mass fraction) are phenol, 1,2,4-  
1463 trimethylbenzene, styrene, m-ethyltoluene and 1,2,3-trimethylbenzene. Generally, the literature  
1464 shows large decreases in benzene and toluene emissions with increasing engine power, both for TF  
1465 (Anderson et al., 2006) and TS engines (Cain et al., 2013). In particular, by studying the  
1466 hydrocarbon emissions from a TS engine operating with conventional (JP-8), alternative and  
1467 surrogate fuels, Cain et al. (2013) hypothesised that fuel composition and structure may play a  
1468 significant role in the aromatic emissions of aircraft. They speculated that the propensity of the  
1469 molecular structure of paraffins in fuels to produce benzene or toluene was observed to follow  
1470 cycloparaffin > iso-paraffin > n-paraffin. This study also attempted to depict the chemical processes  
1471 at the basis of their observations and hypothesised that iso- and n-paraffins must first undergo either  
1472 ring closure or decomposition to combustion/pyrolytic intermediates prone to ring formation (e.g.,  
1473 propargyl radicals and propylene) to ultimately form cyclic and aromatic compounds. In addition,  
1474 Cain et al. (2013) reported that an increased branching ratio of iso-paraffins resulted in higher

1475 production rates of the C<sub>3</sub>-intermediates, which further contribute to ring/aromatic formation and  
1476 growth.

1477

#### 1478 **4.11.5 Polycyclic aromatic hydrocarbons**

1479 Among the large number of hydrocarbon species emitted by aircraft engines, the polycyclic  
1480 aromatic hydrocarbons (PAHs) deserve particular attention because most congeners are known,  
1481 probable or possible human carcinogens (WHO, 2000; Armstrong et al., 2004; IARC, 2010) and  
1482 because of their ubiquitous presence in the urban atmosphere (Ravindra et al., 2008; Zhang and  
1483 Tao, 2009). PAH are semi-volatile and partition between the gaseous and particulate phases; lighter  
1484 PAHs (2 to 3 aromatic rings) are present almost exclusively in the vapour-phase, whereas PAHs  
1485 with higher molecular weights (>4 rings) are almost totally adsorbed on particles. Although PAHs  
1486 may undergo oxidation by several atmospheric oxidants, their potential for long range transport  
1487 cannot be disregarded (e.g., Keyte et al., 2013).

1488

1489 Agrawal et al. (2008) showed that lighter congeners such naphthalene and its 1-methyl and 2-  
1490 methyl derivatives contribute strongly to the total PAH mass in various aircraft (TF) emissions at  
1491 differing thrust modes. Moreover, they also reported that the EI(naphthalene) increased as power  
1492 increased from idle mode falling off as the engine operated at the highest power. Chen et al. (2006)  
1493 characterised the PAH emissions of the TS engine of a helicopter at five power settings and  
1494 reported a mean total PAH concentration in the exhaust of 843  $\mu\text{g m}^{-3}$ , with a maximum of 1653  $\mu\text{g}$   
1495  $\text{m}^{-3}$  emitted during ground idle. The emission level of total PAHs during a complete LTO cycle was  
1496 estimated to be 1.15 g PAHs LTO<sup>-1</sup>. Even if the results provide evidence for high mass  
1497 concentrations of total emitted PAH, the speciation revealed that lighter congeners, which have  
1498 generally lower carcinogenic potencies, were dominant: 59.7% of total PAHs emissions were made  
1499 up of naphthalene, 37.8% of three-ring congeners, while the remaining 2.5% of PAHs had four- to

1500 seven-rings. The emission factor revealed U-shaped behaviour: maximum at idle (50%), minimum  
1501 at fly idle (67%) and increasing until max thrust (100%  $F_{00}$ ).

1502

1503 Although the PAH pollution at airports can be overwhelmed by external sources, such as vehicular  
1504 traffic and industrial emissions, a number of studies have indicated airport emissions cannot be  
1505 neglected. Cavallo et al. (2006) measured the concentrations of 23 PAH in three areas (airport  
1506 apron, building and terminal/office) of a major Italian airport (Fiumicino, Rome). The airport apron  
1507 was found to be suffering the highest levels of total PAHs ( $27.7 \mu\text{g m}^{-3}$ ) with a prevalence of 2–3  
1508 ring PAH such as methylnaphthalenes and acenaphthene presumably associated with jet fuel  
1509 combustion. However, they also showed that PAH levels were lower than the threshold limit value  
1510 proposed for occupational exposure by ACGIH ( $0.2 \text{ mg m}^{-3}$ ). Similar results were obtained by Zhu  
1511 et al. (2011), who observed that the semi-volatile PAHs (from phenanthrene to chrysene) were  
1512 consistently higher at both blast fence and downwind sites from the take-off runway of Los Angeles  
1513 airport than at a background site. This study also indicated naphthalene as the most abundant gas-  
1514 phase PAH (80-85% of the total PAHs).

1515

#### 1516 **4.11.6 Organic sulfur, nitrogen and chlorinated species**

1517 Since jet fuels contain variable FSC, some organic sulfur species may form during combustion.  
1518 Anderson et al. (2006) measured the emissions of OCS,  $\text{CS}_2$  and dimethyl sulphide (DMS) from a  
1519 RB211-series TF engine at varying engine power and burning two different FSC fuels. Results  
1520 showed no consistent trends for OCS and  $\text{CS}_2$  with varying thrust settings and suggested that the  
1521 sources of those gases are insensitive to the FSC. In contrast, this study revealed that levels of DMS  
1522 are dramatically reduced from approximately ambient levels at idle to near the instrument detection  
1523 limit as engine power is increased and speculated that ambient DMS is essentially burned (oxidised)  
1524 out of the exhaust stream at combustor temperatures associated with high engine power.

1525

1526 The presence of organic nitrogen species in aircraft exhaust may derive from the presence of  
1527 nitrogen in fuels and from the potential reaction between alkanes and NO<sub>x</sub> within the exhaust  
1528 plume. During the EXCAVATE campaign, alkyl nitrate species were observed in exhaust plumes  
1529 with methyl nitrate, iso-propyl nitrate, and 2-butyl nitrate accounting for 80–90% of the total N-  
1530 containing organic species (Anderson et al., 2006). In particular, methyl nitrate was observed to  
1531 follow U-shaped curves of EI vs. fuel flow, with minimum emissions at mid-range thrust, slightly  
1532 increased emissions at low thrust and strongly increased at higher powers.

1533

1534 Chlorinated organic compounds can form in aircraft exhaust as by-products of fossil fuel  
1535 combustion in the presence of chlorine. Chlorine can be present in fuels because refineries can use  
1536 salt driers to remove water from fuels (Anderson et al., 2006), and in certain circumstances may be  
1537 present in ambient air as sea salt, such as in coastal environments. Despite the lack of available data  
1538 in the literature, there is no evidence to date that chlorinated compounds are produced by aircraft  
1539 engines. For example, Agrawal et al. (2008) observed that the emissions of dioxins from various  
1540 aircraft engines are below the detection limit.

1541

#### 1542 **4.12 Chemi-ions**

1543 Aircraft exhausts also contain gaseous ions, the so called chemi-ions (CIs), have been measured in  
1544 several studies (e.g., Reiner and Arnold, 1993;1994; Arnold et al., 1998b; Yu and Turco, 1997;  
1545 Kiendler and Arnold, 2002; Eichkorn et al., 2002; Haverkamp et al., 2004; Sorokin et al., 2004;  
1546 Miller et al., 2005; Anderson et al., 2005). Their formation was also found in various mobile  
1547 sources (e.g., Seigneur, 2009) and is attributed to the radical–radical reactions during combustion  
1548 processes. Once emitted, CIs may evolve chemically via ion-ion recombination and ion-molecule  
1549 reactions involving trace gas molecules present in the exhaust (Kiendler and Arnold, 2002) and may  
1550 act as aerosol precursors (Sorokin and Mirabel, 2001; Eichkorn et al., 2002). Starik (2008) provides  
1551 a scheme of ion formation in hydrocarbon flames and inside the combustor.

1552 Relatively high number concentrations of CIs have been measured: in the SULFUR experiments  
1553 (Schumann et al., 2002 and reference therein)  $10^9$  ions  $\text{cm}^{-3}$  were reported at ground level, i.e., of  
1554 the order of  $10^{17}$  CIs  $\text{kg Fuel}^{-1}$ , but it was also reported that CIs decrease rapidly with increasing  
1555 plume age (Arnold et al., 2000; Sorokin and Mirabel, 2001). Haverkamp et al. (2004) measured EI  
1556 for the total (positive and negative) ions of  $1.2 \times 10^{16}$  -  $2 \times 10^{16}$  CIs  $\text{kg Fuel}^{-1}$  and observed number  
1557 concentrations of the same order of magnitude for both negative and positive ions: negative CIs  
1558 varied from  $6 \times 10^7$  and  $2.1 \times 10^8$  molecules  $\text{cm}^{-3}$ , while positive ions ranged from  $4 \times 10^7$  to  $1.7 \times 10^8$   
1559 molecules  $\text{cm}^{-3}$ . About 50% of the measured ions have masses heavier than 100 amu and the most  
1560 massive ions show masses up to 1500-3000 amu, depending on the fuel flow (thrust) and FSC  
1561 (Haverkamp et al., 2004). Schumann et al. (2002) reported masses also exceeding 8500 amu.  
1562 Identified negative CIs include many organic ions and cluster ions containing sulfuric acid, e.g.,  
1563  $\text{HSO}_4^-(\text{H}_2\text{SO}_4)_n$ ,  $\text{HSO}_4^-(\text{H}_2\text{SO}_4)_n(\text{SO}_3)_m$  ( $n < 3$ ,  $m = 0, 1$ ),  $\text{NO}_3^-(\text{HNO}_3)_m$  and  $\text{HSO}_4^-(\text{HNO}_3)_m$   
1564 ( $m = 1, 2$ ). Kiendler and Arnold (2002) further reported a low stability of  $\text{HSO}_4^-(\text{H}_2\text{SO}_4)_n$  ( $n \geq 3$ )  
1565 against thermal detachment of  $\text{H}_2\text{SO}_4$  at high temperatures, indicating the presence of gaseous  
1566  $\text{H}_2\text{SO}_4$  in exhaust plumes. Positive CIs are mostly oxygen-containing organic compounds  
1567 (Schumann et al., 2002) and considering the heavy masses of most CI, Haverkamp et al. (2004) also  
1568 hypothesized the presence of large organic molecules, such as PAHs.

1569

1570 The generation of CIs in the combustor, their physico-chemical characteristics and the changes  
1571 occurring along with plume aging are not yet well understood and merit further investigation as  
1572 these ions may play a key role in the formation of numerous volatile aerosol particles (e.g., Yu and  
1573 Turco, 1997; Arnold et al., 2000; Sorokin and Mirabel, 2001; Haverkamp et al., 2004; Miller et al.,  
1574 2005).

1575

1576

1577

#### 1578 **4.13 Particulate Matter**

1579 Particulate matter (PM) is emitted by a great variety of both natural and anthropogenic sources. The  
1580 latter include a large variety of anthropogenic processes, which emit particles with very different  
1581 chemical composition and physical properties. Nowadays, PM composition and sources have been  
1582 extensively investigated in a large number of different environments (e.g., Viana et al., 2008;  
1583 Harrison et al., 2012; Amato et al., 2013). However, few data on PM emissions are historically  
1584 available for aircraft engines (Wayson et al., 2009, Kinsey et al., 2011). In addition, ICAO has not  
1585 yet defined any emission standard for PM to be applied during LTO cycles and is therefore  
1586 interested in setting a certification limit for this pollutant to address related air quality and climate  
1587 issues (Kinsey, 2009). In this context, there are some current programmes aiming to describe the  
1588 PM emissions from aircraft engines, e.g., the Society of Automotive Engineers (SAE) E-31  
1589 Committee is developing a standard PM test method for aircraft engine certification (SAE, 2009).

1590

1591 Despite a number of studies which have been published recently on PM emissions from gas turbine  
1592 engines from both a physical and a chemical point of view (e.g., Corporan et al., 2008; Whitefield et  
1593 al., 2008; Herndon et al., 2008; Agrawal et al., 2008; Westerdahl et al., 2008; Kinsey et al., 2010;  
1594 2011), current data on aircraft-generated PM are still wholly inadequate and many open questions  
1595 wait to be addressed. This gap appears to be a pressing issue because many epidemiological studies  
1596 have found a strong correlation between the exposure to PM and some significant adverse human  
1597 health effects (e.g., Pope and Dockery, 2006; Valavanidis et al., 2008; Polichetti et al., 2009;  
1598 Karakatsani et al., 2012; Anderson et al., 2012; Heal et al., 2012; Martinelli et al., 2013). PM  
1599 inhalation can affect morbidity and can lead to an increase in hospital admissions, and is  
1600 significantly associated with mortality and to a substantial reduction in life expectancy (Pope et al.,  
1601 2009; Hoek et al., 2010; Sapkota et al., 2012; Raaschou-Nielsen et al., 2013).

1602

1603



#### 1604 **4.13.1 Volatile and non-volatile PM**

1605 PM generated from aircraft engines can be classified into two major fractions: non-volatile and  
1606 volatile PM (e.g., Kinsey et al., 2009; Presto et al., 2011), while the combination of both volatile  
1607 and non-volatile PM is commonly referred as total PM. Non-volatile PM is directly emitted by  
1608 engines and is mainly composed of graphitic/elemental/black carbon with traces of metals, which  
1609 are stable at the high temperatures and pressures normally reached in the exhaust plumes. Volatile  
1610 PM is instead formed through the gas-to-particle partitioning and conversion processes of sulfur and  
1611 various organic gases (Robinson et al., 2010; Timko et al., 2010b), which occur after the emission  
1612 in the near-field plume downstream of the engine (Kinsey et al., 2011). Since the most volatile PM  
1613 components are partitioned into the gas- and particulate-phases, their behaviour is sensitive on the  
1614 changes in the environmental conditions with respect to the near-plume and in any case many  
1615 compounds can remain in equilibrium between the two phases. This component is therefore very  
1616 sensitive to the sampling conditions (Wey et al., 2006; Wong et al., 2011; Presto et al., 2011). In  
1617 particular, the organic component of the volatile PM undergoing partitioning between the two  
1618 phases is named organic aerosol (OA) and can be composed of a large number of different  
1619 hydrocarbon classes. Moreover, as the reactive compounds can be affected by oxidation by a  
1620 number of atmospheric oxidant species (mainly hydroxyl, nitrate radicals and ozone), it can be  
1621 expected that the composition and the quantity of volatile PM changes progressively away from the  
1622 plume, after natural cooling, dilution and chemical processes occur in the atmosphere. Many  
1623 hydrocarbons of high volatility, such as BTEX, low molecular weight PAHs, alkanes and many  
1624 others, may be easily oxidised to species with substantially lower volatilities (Kroll and Seinfeld,  
1625 2008) and, thus, may act as precursors for the formation of the secondary organic aerosol (SOA).  
1626 The formation and the properties of the SOA, including their gas/particle partitioning, are an intense  
1627 area of research (e.g., Pandis et al., 1992; Pankov, 1994; Odum et al., 1996; Kroll and Seinfeld,  
1628 2008; Hallquist et al., 2009) and the common way to describe the partitioning of a constituent *i*

1629 between the gas- and the condensed- phases with mass concentration  $C_{OA}$  can be described by a  
1630 partitioning coefficient,  $\xi_i$ :

$$1631 \quad \xi_i = 1/[1+(C_i^*/C_{OA})]$$

1632 where  $C_i^*$  is the effective saturation concentration of the compound, i.e. a semi-empirical property  
1633 describing the partitioning of complex mixtures. Donahue et al. (2009) proposed three different  
1634 classes of compounds on the basis of their  $C^*$  values: (i) the low volatility organic compounds,  
1635 showing  $C^*$  from  $10^{-2}$  to  $10^{-1} \mu\text{g m}^{-3}$  and mostly remaining in the condensed phase under common  
1636 atmospheric conditions; (ii) the SVOCs, exhibiting  $C^*$  between  $10^0$  and  $10^2 \mu\text{g m}^{-3}$  and undergoing  
1637 significant partitioning and (iii) the intermediate volatility organic compounds (IVOCs), having  $C^*$   
1638 in the order of magnitude of  $10^3$ — $10^6 \mu\text{g m}^{-3}$ , which are almost entirely in the gas-phase. Recently,  
1639 some studies have pointed out that most hydrocarbons emitted by aircraft engines are thought to be  
1640 important SOA precursors (Miracolo et al., 2011; Presto et al., 2011), being in the IVOC and SVOC  
1641 classes. However, the potential of hydrocarbons emitted by aircraft exhaust to form secondary  
1642 components is currently poorly understood.

1643

#### 1644 **4.13.2 Particulate mass**

1645 Generally, the emission indices of PM mass range from approximately 10 to 550 mg PM kg Fuel<sup>-1</sup>  
1646 (Kinsey, 2009). U-shaped curves of PM emissions versus thrust are commonly reported in the  
1647 literature, showing elevated emissions at low power settings, a decrease to a minimum at midrange  
1648 power, and then an increase at high or full power (Whitefield et al., 2008; Kinsey, 2009; Kinsey et  
1649 al., 2010; 2011). Agrawal et al. (2008) noted a 10 to 40-fold increase in the EI(PM) as the engine  
1650 power increased from idle to climb thrust. However, there are deviations from this behaviour: the  
1651 PM mass emission indices at varying thrusts have been shown to depend on various factors,  
1652 including engine families, technology, FSC, operating power, cold and warm engine conditions and  
1653 environmental conditions (e.g., Kinsey, 2009) and real-time emission rates for PM for a typical TF  
1654 engine have revealed significant PM spikes during changes in power settings (Agrawal et al., 2008).

1655 The measurements of PM from aircraft exhaust are heavily dependent on the adopted methodology  
1656 (e.g., Presto et al., 2011). Since the volatile PM may undergo rapid changes in time and space, the  
1657 sampling protocol, such as the distance from the engine exit, and other parameters having  
1658 implications on the aging of plumes play a key role in the mass of sampled particles. In addition, the  
1659 environmental conditions (e.g., temperature, humidity, sunlight, wind, etc.) can also affect PM  
1660 mass, particularly through the potential for particle formation, coagulation, and growth (e.g.,  
1661 Herndon et al., 2005). Timko et al. (2010b) reported that soot is the only type of particle detected at  
1662 the engine exit plane, while volatile particles are only detected downwind (15–50 m) due to the  
1663 nucleation of sulphate and organic materials in the cooling exhaust plume. Kinsey et al. (2010)  
1664 indicated that a variable amount (40% to 80%) of the total PM can be composed of volatile matter,  
1665 mainly in the form of sulfur and organics. Lobo et al. (2012) measured the specific PM emissions  
1666 during normal LTO operations at a distance of 100-300 m downwind of an active taxi-/runway at  
1667 the Oakland International Airport and reported EI(PM) between 100 and 700 mg PM kg Fuel<sup>-1</sup>  
1668 under both the idle/taxi and take-off conditions for various aircraft/engine combinations.

1669

#### 1670 **4.13.3 Particle number concentration**

1671 During the APEX campaigns, the observed EI(#) varied from approximately  $1 \cdot 10^{15}$  to  $1 \cdot 10^{17}$   
1672 particles kg Fuel<sup>-1</sup> (Kinsey, 2009; Kinsey et al., 2010) and are therefore comparable on a per unit  
1673 fuel burn basis to the number of particles generated from other combustion sources, such as ship  
1674 emissions, biomass burning and forest fires (Kumar et al., 2013). Generally most TF engines tested  
1675 during APEX projects exhibited EI(#) strongly correlated with fuel flow (Kinsey et al., 2010), with  
1676 higher EI at low power settings following a logarithmic relationship of EI(#) to thrust:

$$1677 \quad EI(\#) = m \cdot [\ln(\text{fuel flow})] + b$$

1678 where  $m$  represents the slope of the regression line with values ranging from  $-2 \cdot 10^{15}$  to  $-3 \cdot 10^{16}$  and  $b$   
1679 is the intercept of the regression line varying from  $2 \cdot 10^{16}$  to  $2 \cdot 10^{17}$  (Kinsey, 2009). Similarly to  
1680 EI(PM) the particle number indices were however observed to be sensitive to engine technology,

1681 FSC, operating power and environmental conditions: Kinsey (2009) also reported a completely  
1682 different behaviour for a TJ engine (CJ610-8ATJ), with EI(#) lower at idle and relatively constant at  
1683 higher  $F_{00}$ .

1684

1685 It was shown that EI(#) tends to increase moving away from the engine exit plane. EXCAVATE  
1686 results (Anderson et al., 2005) reported increases by a factor of 10 at 25 to 35 m than at 1 m  
1687 downstream of the exhaust plane. Timko et al. (2010b) further observed differences in particle  
1688 number emissions sampled at engine exit plane and downwind (15-50 m) of the engine. They  
1689 reported that soot is the main species detected at the engine exit plane, while the nucleation of  
1690 volatile particles in the cooling exhaust gases measured downwind further led to increases in the  
1691 particle number of 1-2 orders of magnitude.

1692

1693 Cheng and Corporan (2010) reported particle number emissions from military engines operated  
1694 with JP-8 fuel in various thrust settings. They observed that a common TF engine emits increasing  
1695 number of particles at increasing thrust with particle number emission indices of  $5.5 \cdot 10^{15}$ ,  $5.3 \cdot 10^{15}$ ,  
1696  $9.6 \cdot 10^{15}$ , and  $8.9 \cdot 10^{15}$  particles  $\text{kg Fuel}^{-1}$  for the idle, 80%, 90% and 95% power setting,  
1697 respectively. A inverse pattern with decreasing emissions at increased power settings was instead  
1698 reported for a common TP engine equipping the widespread used military cargo C-130 Hercules:  
1699 averaged EI were  $1.8 \cdot 10^{16}$ ,  $1.4 \cdot 10^{16}$ ,  $1.4 \cdot 10^{16}$ ,  $1.0 \cdot 10^{16}$ , and  $1.2 \cdot 10^{16}$  particles  $\text{kg-fuel}^{-1}$  for 4%, 7%,  
1700 20%, 41% and max thrusts, respectively. This study also examined two common TS engines used in  
1701 most helicopters and aircraft and reported increasing emissions of particles with increasing thrust:  
1702  $3.1 \cdot 10^{15}$  (idle),  $3.3 \cdot 10^{15}$  (75%) and  $5.5 \cdot 10^{15}$  (max thrust) particles  $\text{kg-fuel}^{-1}$  and  $1.1 \cdot 10^{14}$  (idle)  
1703  $1.8 \cdot 10^{15}$  (75%) and  $3.0 \cdot 10^{15}$  (max thrust), respectively. Similar results were observed by Cain et al.  
1704 (2013) in a TS engine burning various types of fuel: JP-8 fuel emissions were between  $10^{15}$  and  $10^{16}$   
1705 particles  $\text{kg-fuel}^{-1}$ , while emissions from other alternative and surrogate fuels were 1 to 2 order of  
1706 magnitude lower.

1707 Measurements of EI(#) at airports indicated similar results. Lobo et al. (2012) measured the specific  
1708 PM emissions during normal LTO operations at a distance 100-300 m downwind of an active taxi-  
1709 /runway at the Oakland International Airport and associated the data with various aircraft/engine  
1710 combinations. They observed similar EI(#) for both idle/taxi ( $7 \cdot 10^{15}$ - $3 \cdot 10^{17}$  particles kg Fuel<sup>-1</sup>) and  
1711 take-off ( $4 \cdot 10^{15}$ - $2 \cdot 10^{17}$  particles kg Fuel<sup>-1</sup>) phases. Klapmeyer and Marr (2012) reported that the  
1712 EI(#) for in-use aircraft at a regional airport varied from  $1.4 \cdot 10^{16}$  to  $7.1 \cdot 10^{16}$  particles kg Fuel<sup>-1</sup> and  
1713 observed slightly higher concentrations during taxi phases than during take-offs.

1714

1715 The beneficial effects of alternative fuels upon particle emissions are nowadays under discussion.  
1716 Although this review does not focus on such effects, it is interesting to note that some studies have  
1717 highlighted potential positive effects on the EI(#) and EI(PM). For example, Lobo et al. (2011)  
1718 reported reduced emissions of PM number emissions of about one third using 50% FT/50% Jet-A1  
1719 blend instead of Jet-A1.

1720

#### 1721 **4.13.4 *Size distributions***

1722 Size distributions of airborne particles influence their residence time and dispersion (Allen et al.,  
1723 2001). In addition, the dimensions of particles are directly related to their emission sources, as  
1724 mechanically generated particles (e.g., wind-blown dust, sea spray) are generally largest than 1 µm,  
1725 while combustion-generated (high-temperature processes, traffic, many industrial activities) are  
1726 typically smaller than 1 µm (e.g., Lewis and Schwartz, 2004; Seinfeld and Pandis, 2006; Ning and  
1727 Sioutas, 2010). Ultrafine particles (UFPs, diameter <100 nm) typically constitute ~90% or more of  
1728 particle number count in areas influenced by vehicle emissions (Morawska et al., 2008). UFPs have  
1729 larger surface area per unit mass with respect to larger particles and can potentially contain high  
1730 proportions of organic material such as polycyclic aromatic hydrocarbons. Moreover, UFPs can  
1731 penetrate deeper into the respiratory tract and into cells possibly posing an elevated risk for human

1732 health (Oberdorster et al., 2004; Delfino et al., 2005; Bräuner et al., 2007; Belleudi et al., 2010;  
1733 Knibbs et al., 2011).

1734

1735 A large number of studies (e.g., Herndon et al., 2005; Wey et al., 2007; Westerdahl et al., 2008;  
1736 Cheng et al., 2008; Mazaheri et al., 2009; Dodson et al., 2009; Kinsey, 2009; Kinsey et al., 2011;  
1737 Zhu et al., 2011; Presto et al., 2011; Hsu et al., 2013) have provided evidence that AEs may lead to  
1738 increased concentrations of UFPs. However, the nature of semi-volatile compounds emitted by  
1739 aircraft, the possible mechanisms of secondary aerosol formation and the dilution effect, make it  
1740 difficult to associate a measured size distribution with a specific source. Studies performed at the  
1741 exhaust exit-plane or directly downstream of the engine cannot usefully be compared with data  
1742 obtained in ambient air sampled at airports. However, even if differences and limitations exist,  
1743 some trends and recurring modes have been identified in most studies.

1744

1745 A study by Schumway (2002) used scanning electron microscopy to analyse individual particles  
1746 emitted from military engines and reported predominant particles with dimensions ranging from 22  
1747 to 120 nm. It was observed that emitted particles were discrete at low thrust (approach and idle),  
1748 while they tended to agglomerate at higher power (intermediate and military modes). Similar results  
1749 have recently been reported by Mazaheri et al. (2013), who analyzed the aircraft emissions during  
1750 normal takeoff and landing operations at an international airport by using the transmission electron  
1751 microscopy technique. They reported particles in the range of 5–100 nm in diameter with a  
1752 dominant nucleation mode (18–20 nm) and semisolid spherical shapes. Nowadays most studies  
1753 measure particle size distributions using automatic instruments, such as scanning mobility particle  
1754 sizers (SMPS), electrical low pressure impactors (ELPI), and differential mobility spectrometers  
1755 (DMS). A comprehensive review of these devices is provided elsewhere (Kumar et al., 2010).  
1756 Anderson et al. (2005) reported that exhaust exit-plane measurements on engines mounted in test  
1757 cells and B757 aircraft in run-up facilities produce of the order of  $10^{15}$  soot particles per kg of fuel

1758 burned with a mean mass diameter of 40 to 60 nm. Using an improved version of the nanometre  
1759 aerosol size analyser (nASA), they also reported that the aerosol size distribution at 1 m from a  
1760 B757 engine is a combination of volatile and non-volatile particles with a bimodal distribution. The  
1761 first (non-volatile) mode was measured by heating the aerosol to 300°C before analysis with the  
1762 nASA and was found to be around 20 nm; this mode was thought to be primarily composed of soot  
1763 and other components including zinc, aluminium, and titanium which are from the abrasion of  
1764 engine components or the trace metal impurities in the fuel. The second (volatile) mode was  
1765 observed at 7 nm and comprised particles that vaporise below 300°C.

1766

1767 During the APEX campaigns (e.g., Wey et al., 2007; Kinsey, 2009; Kinsey et al., 2010), the particle  
1768 size distributions of the emissions were generally found to be unimodal and log-normally  
1769 distributed, with electrical mobility diameters ranging from ~3 nm to >100 nm and a geometric  
1770 number mean diameter (GMD) of ~10–35 nm. A slight dependence of GMD on thrust was  
1771 detected, with GMD of 10–20 nm at low fuel flow rates, a decrease at mid-power and then an  
1772 increase at higher thrust. These studies also reported the presence of a prominent nucleation mode  
1773 mainly on samples collected farther from the engine exit (30 m) with respect to gases sampled at 1  
1774 or 10 m. This second mode was attributed to the secondary aerosol generation caused by the  
1775 expansion and cooling of the exhaust plume and is composed of sulfuric acid and low-volatility  
1776 hydrocarbons (Wey et al., 2007). APEX results detected changes in both the GMD and related  
1777 geometric standard deviation (GSD) of the particle size distributions at varying engine and fuel  
1778 type, thrust, and environmental conditions.

1779

1780 While APEX reported size distributions for commercial in-use airliner engines, we report data from  
1781 other studies on differing engine types and technologies. Rogers et al. (2005) showed that the  
1782 particles measured in the exhaust of two military engines (a FT with afterburner and a TS) were  
1783 unimodally distributed with peaks at 20–40 nm. Cheng et al. (2008) observed that the particle

1784 number size distributions downstream of a C-130 Hercules showed peaks between 50 and 80 nm for  
1785 engine power settings ranging from idle to maximum thrust. They also observed a clear trend of  
1786 increasing particle diameter with increasing engine power setting and distance from the engine exit.  
1787 Cheng et al. (2008) detected the presence of another peak corresponding to the lower instrumental  
1788 limit, presumed to be an additional mode below 20 nm. Cheng and Corporan (2010) reported  
1789 unimodal size distributions for military turbofan, turboprop and turboshaft emissions sampled at the  
1790 engine exhaust plane. They observed that both the total particle number concentration and GMD  
1791 increased as the engine power increased for all tested engines. In particular, the observed GMD  
1792 ranged from 55 nm (at idle) to 85 nm (at 95%  $F_{00}$ ) in turbofan, from 51 nm (at idle) to 67 nm (at  
1793 max thrust) in turboprop and from 20 nm (at idle) to 42 nm (at max thrust) in a turboshaft engine.

1794

#### 1795 **4.13.5 *Changes of particle number and size after the dilution of plumes***

1796 The effects of the aircraft-related emissions of UFP at airports have received increasing attention in  
1797 recent years and some studies have demonstrated a clear dependence of UFP concentrations and  
1798 size distributions upon aircraft operations. In addition, UFP measurements upwind and downwind  
1799 of airports are of particular importance because they are performed under ambient conditions, i.e.  
1800 after the plume has been diluted by air and the particle coagulation and gas-to-particle condensation  
1801 processes have occurred.

1802

1803 Hu et al. (2009) studied the effect of aircraft movements in a neighbourhood adjacent to the  
1804 regional airport of Santa Monica and observed that spikes in the particle number concentration  
1805 related to the take-off phase were 440 times elevated above background and reached  $2.2 \times 10^6$   
1806 particles  $\text{cm}^{-3}$ . At a site located at the blast fence of Los Angeles International Airport, Zhu et al.  
1807 (2011) reported that total UFPs counts exceeded  $10^7$  particles  $\text{cm}^{-3}$  during take-offs. This study  
1808 further investigated temporal profiles in particle concentration of 30 nm mobility diameter  
1809 (corresponding to the mean geometric mode of emitted particles) due to isolated aircraft take-off



1810 events: dramatic increases of particle concentrations (from  $1.6 \cdot 10^3$  to  $1.7 \cdot 10^4$  particles  $\text{cm}^{-3}$ ) were  
1811 reported when aircraft engines are accelerated to the 100% thrust power for take-off, followed by  
1812 decreases of number concentrations showing an exponential decay. Similar findings have been  
1813 reported by Hsu et al. (2012), who observed that departures of jet engine aircraft on a runway may  
1814 contribute to  $1 \cdot 10^3$  to  $7 \cdot 10^4$  particles  $\text{cm}^{-3}$ . The same authors further revealed significant higher  
1815 increases of UFP at Los Angeles International airport (Hsu et al., 2013) due to the LTO activity:  
1816  $2 \cdot 10^6$ – $7 \cdot 10^6$  particles  $\text{cm}^{-3}$  increase at a monitor at the end of the departure runway,  
1817  $8 \cdot 10^4$ – $1.4 \cdot 10^5$  particles  $\text{cm}^{-3}$  at a site 250 m downwind from the runway.

1818

1819 Changes in the particle size distributions can also occur after plumes are diluted in ambient air due  
1820 to coagulation. However, most studies have shown that particle size distributions at airports are  
1821 comparable with those measured during engine tests. Air monitoring carried out in the surroundings  
1822 of the Los Angeles International Airport found that the upwind site was dominated by particles of  
1823 approximately 90 nm diameter whereas downwind sites were dominated by finer particles, peaking  
1824 at approximately 10–15 nm (Westerdahl et al., 2008), which corresponds to the size reported during  
1825 APEX campaigns for many in-use engines (Kinsey et al., 2010). Similarly, Fanning et al. (2007)  
1826 and Zhu et al. (2011) reported very high number concentrations of UFPs collected at the blast fence  
1827 site, with the highest numbers found at a particle size of approximately 14 nm. The same study  
1828 further observed that the UFP number concentrations measured in a residential community  
1829 approximately 2–3 km downwind of the airport were intermediate in concentration between the  
1830 airport runway and the background reference site. This finding was associated with aircraft take-off  
1831 activities and the authors noted the significant exposure and possible health implications for people  
1832 living near the airport. Mazaheri et al. (2009) revealed that size distributions exhibit similar  
1833 modality during all phases of the LTO cycles with particles predominantly in the range of 4–100 nm  
1834 in diameter. This latter study also reported two distinct modes: a nucleation mode at diameters <30  
1835 nm observed in all LTO modes and an accumulation mode between 40 and 100 nm more

1836 pronounced during take-offs. While the nucleation mode exhibited the highest number  
1837 concentration of all modes, the accumulation mode dominated the particle mass size distributions.  
1838 Lobo et al. (2012) measured the specific PM emissions during normal LTO operations at a distance  
1839 of 100-300 m downwind of an active taxi-/runway at the Oakland International Airport and  
1840 associated the data with various aircraft/engine combinations. The size distributions were typically  
1841 bimodal with a nucleation mode composed of freshly nucleated PM and an accumulation mode  
1842 mostly made up of soot with some condensed volatile material. These observations closely parallel  
1843 the mechanisms and size distribution of particles in diesel exhaust (Harrison et al., 2011).

1844

#### 1845 **4.14 Chemical Composition of PM**

1846 Although the chemical composition of PM may include most of the periodic table of the elements  
1847 and many thousands of different organic compounds, it is principally composed of few major  
1848 components, which usually represent several percent of the total mass of particles, and some of  
1849 those may remain in thermodynamic equilibrium between gaseous and particle phases. The  
1850 particulate matter emitted directly by aircraft is mostly composed of soot (e.g., Anderson et al.,  
1851 2005; Timko et al., 2010b), while sulphate and semi-volatile hydrocarbons may further coat the  
1852 particles after the plume dilution. However, aircraft PM may also contain traces of metals and ions,  
1853 which are mainly the result of: (i) fuel impurities; (ii) corrosion and wear of mechanical  
1854 components of engines; (iii) pre-existing PM drawn in the combustor. The following sub-  
1855 subsections discuss the various components separately.

1856

##### 1857 **4.14.1 Carbonaceous PM**

1858 Carbonaceous PM consists of a complex mixture of elemental carbon (EC) and organic carbon  
1859 (OC) (jointly referred to as soot) and commonly accounts for a large fraction of ambient fine  
1860 particle mass in both rural and urban environments. Soot is primarily generated by incomplete  
1861 combustion processes through the pyrolysis of organic fuels used in combustion processes. Many

1862 studies have discussed the various types of such particles; however there are still controversies and  
1863 open discussion about the terminology to adopt. The terms used to identify the various fractions of  
1864 carbonaceous aerosols, such as soot, black carbon (BC), elemental carbon (EC), equivalent black  
1865 carbon and refractory black carbon are mainly associated with the corresponding measurement  
1866 methods (e.g., Pöschl, 2003; Andreae and Gelencésr, 2006; Bond and Bergstrom, 2006; Kondo et  
1867 al., 2011; Buseck et al., 2012; Long et al., 2013; Novakov and Rosen, 2013) and more generally  
1868 refer to the most refractory and light-absorbing component of carbonaceous combustion particles,  
1869 even if the underlying definitions and measurement methods are different (Petzold et al., 2013).  
1870 Without going into the merits of this discussion, this section provides an overview of the data  
1871 concerning the carbonaceous fraction and the terms used (soot, BC and EC) are the same as  
1872 reported by the original authors. In any case, Lee et al. (2010) indicated that BC is often used  
1873 interchangeably with soot in the literature relating to aircraft emissions, although in the strictest  
1874 sense they are different.

1875

1876 The airliners of 1960s and 1970s emitted visible and dark exhaust plumes, especially during take-  
1877 off. In recent decades, a great effort has been made by most engine manufacturers to reduce such  
1878 emissions, which consisted mainly of soot and organics, and nowadays most modern airliners do  
1879 not emit visible plumes. However, soot is still the primary form of non-volatile PM emitted by jet  
1880 engines (e.g., Timko et al., 2010b), even if its contribution represents only few percent of the global  
1881 atmospheric BC emission (Hendricks et al., 2004).

1882

1883 From a morphological point of view, soot particles emitted by aircraft engines have nearly spherical  
1884 shapes with lognormal size distributions peaking at 30–60 nm (Petzold et al., 2003, 2005a;  
1885 Popovicheva et al., 2004). However, once emitted soot particles quickly build complex  
1886 agglomerates causing a second mode of larger particles between 100 and 500 nm, which are totally  
1887 amorphous (Petzold et al., 1998; Popovicheva et al., 2000; 2004; Demirdjian et al., 2007). Despite

1888 the structural characteristics of soot being of primary importance in relation to its atmospheric  
1889 properties, there is a lack of experimental data on microstructure, composition and hygroscopicity  
1890 of original soot emitted from aircraft engines. Some studies conducted at cruise height (Kärcher et  
1891 al., 1996; Gleitsmann and Zellner, 1998) have assumed that all the soot particles in exhausts are  
1892 hydrophobic. Demirdjian et al. (2007) used a combination of several analytical methods to study the  
1893 microstructure and the composition of soot agglomerates sampled in an aircraft engine combustor  
1894 and reported that soot was in two main fractions having quite different physicochemical properties.  
1895 A major fraction of particles was found to be made up of amorphous carbon with small amounts of  
1896 oxygen, sulfur and iron and was rather hydrophobic, while a second fraction was characterised by  
1897 various structures and a large amount of impurities and was highly hydrophilic. Vander Wal et al.  
1898 (2010) compared the physical structure and the chemical composition of soot produced by different  
1899 sources, including a modern TF engine, using high resolution transmission electron microscopy and  
1900 X-ray photoelectron spectroscopy. The results showed that some physical characteristics of jet  
1901 engine soot, such as the lamella length distributions, are intermediate between soot produced by  
1902 other sources such as wildfires and diesel, while other characteristics are singular. Jet soot was  
1903 reported to have the highest  $sp^3$  carbon content, in fact higher than the  $sp^2$  (graphitic) content, the  
1904 greatest oxygen content in the form of phenolic and carbonyl groups and the widest range of hetero-  
1905 elements, including S, Na, N, Zn, Ba.

1906

1907 From a chemical point of view, soot is mainly made up of graphitic BC (Petzold et al., 1999;  
1908 Popovicheva et al., 2004), but some particles can be also coated with organic materials and sulfur  
1909 species (e.g., Petzold et al., 2003). For example, the hygroscopic properties of jet engine  
1910 combustion particles have been investigated in several rig-tests and results have confirmed that the  
1911 water uptake by combustion particles is generally independent of combustor operating conditions,  
1912 but increases significantly with increasing FSC level, which is attributed to an increasing amount of  
1913 sulfuric acid adsorbed on the particles (Gysel et al., 2003). The uptake of sulfuric acid and organics

1914 seems to be enhanced by the surface irregularities in the soot. The typical fractal agglomerate  
1915 structure of soot may offer a large specific surface area for adsorption and chemical reactions  
1916 (Popovitcheva et al., 2000). Recently, Loukhovitskaya et al. (2013) also investigated the uptake of  
1917 HNO<sub>3</sub> on aviation soot.

1918

1919 The EIs of elemental and organic carbon were investigated during APEX campaigns (Kinsey, 2009;  
1920 Onasch et al., 2009): results showed that EC ranged from 21 to 98 mg kg Fuel<sup>-1</sup> and OC between 37  
1921 and 83 mg kg Fuel<sup>-1</sup>. Most studies indicated that BC emissions are a function of engine thrust  
1922 settings (Anderson et al., 2005; Wey et al., 2007; Kinsey, 2009; Kinsey et al., 2011), but are nearly  
1923 independent of FSC (e.g., Wilson et al., 2004; Kinsey, 2009). During the EXCAVATE campaign,  
1924 Anderson et al. (2005) concluded that black carbon emission indices increase significantly from idle  
1925 to cruise power. These findings are also consistent with the results of the APEX campaigns: Wey et  
1926 al. (2007) and Kinsey et al. (2011) reported that BC emissions are minimum at low power and  
1927 increase with thrust settings, reaching values more than 0.3 g kg Fuel<sup>-1</sup> at power levels higher than  
1928 85% F<sub>00</sub> and dominating the total mass emissions. Agrawal et al. (2008) reported that the  
1929 carbonaceous PM composition (EC+OC mass) significantly increases with power and shifts from  
1930 OC-rich at idle to EC-rich with rising thrust regimes. Similar findings were observed by Petzold  
1931 and Schröder (1998), who indicated that the ratio of BC to total carbon ranged from 11% at idle to  
1932 >80% at take-off thrust. This result is predictable when considering that the highest emissions of  
1933 hydrocarbons occurs at low power. Presto et al. (2011) recently investigated both the elemental  
1934 carbon and the organic aerosol emitted by a CFM56-series engine at varying thrust settings after the  
1935 exhaust using a smog chamber. Their findings confirmed the U-shaped curves of PM emissions  
1936 versus thrust commonly reported in the literature, but also added new important knowledge on the  
1937 relative contributes of EC and OA. At low power (4%–7% F<sub>00</sub>), most PM is composed of OA, while  
1938 at 30% thrust very low emissions of both elemental and organic components were observed. At

1939 climb power (85%), an abrupt increase of EI(PM) occurred, mainly driven by EC, which accounted  
1940 for about two thirds of the total PM.

1941

1942 The chemical characterisation of the organic component of the PM indicated that over 70% of the  
1943 particle-phase organic compounds are made up of SVOC compounds in the *n*-alkane (mainly C<sub>23</sub> to  
1944 C<sub>33</sub>), PAH, and sterane/hopane compound classes (Kinsey et al., 2011). Besides the lighter PAHs,  
1945 which mainly partition in the gaseous phase, the heavier congeners are principally in the particulate  
1946 phase and generally also have the highest carcinogenic and mutagenic potencies (Delgado-Saborit  
1947 et al., 2011). Hu et al. (2009) studied the effect of aircraft movements at a site located 100 m  
1948 downwind of the regional airport of Santa Monica and reported spikes in concentration of particle-  
1949 bound PAHs occurring during jet take-offs (440 ng m<sup>-3</sup>, i.e. 90 times the local background levels),  
1950 however they did not detect significantly higher average levels of PAHs at airports. It is interesting  
1951 to note that PAH emissions at airports may also undergo local deposition. In a study carried out at  
1952 Delhi International Airport, Ray et al. (2008) observed that PAH contamination in the <2 mm  
1953 surface soil layer reached maximum levels at a site near the landing area. The presence of PM-  
1954 bound hopanes and steranes is also intriguing because these compounds are present in crude oil and  
1955 are also largely used as molecular markers of vehicle emissions (e.g., Zielinska et al., 2004; Kam et  
1956 al., 2012). Additional insights are therefore necessary for the characterisation of these organic  
1957 compounds, which can derive either from the unburned fuel or from the emission of lubricating oils,  
1958 which was hypothesised to have an important role in the mass of organic PM (Yu et al., 2010).

1959

1960 The emission of carbonaceous PM was also reported in further studies conducted at airports. For  
1961 example, Dodson et al. (2009) performed continuous BC measurements at five monitoring sites in  
1962 close proximity to a small regional airport in Warwick, Rhode Island. By coupling BC data with  
1963 real-time flight activities (departures and arrivals) and meteorological data, they reported that  
1964 aircraft departures and arrivals (and other sources coincident in space and time) contribute

1965 approximately 24-28% of the total BC concentrations. Further, they also indicated that aircraft take-  
1966 off makes a greater contribution to BC levels than landing. Hu et al. (2009) studied the effect of  
1967 aircraft movements in a neighbourhood adjacent to the regional airport of Santa Monica and  
1968 generally did not observe elevated average levels of BC, although spikes in concentration of this  
1969 pollutant were observed associated with jet take-offs. At a site located 100 m downwind of the take-  
1970 off area, jet departures resulted in short time (60 s) peaks with average concentrations of up to 30  
1971  $\mu\text{g m}^{-3}$ , i.e. 100 times elevated above the local background.

1972

#### 1973 **4.14.2 The smoke number (SN)**

1974 Despite soot corresponding to the majority of the non-volatile mass of PM emitted by aircraft, this  
1975 component is not directly certified by ICAO. However, the ICAO databank requires that an exhaust  
1976 opacity metric called the smoke number (SN) is measured for TF engines. SN was defined as a  
1977 “dimensionless term quantifying smoke emission level based upon the staining of a filter by the  
1978 reference mass of exhaust gas sample and rated on a scale of 0 to 100” (ICAO, 2008). SN was  
1979 firstly collected on a filter by flowing a defined volume of the exhaust gas (12 to 21 kg of exhaust  
1980 gas per square meter of filter) by a sample probe positioned directly behind the engine nozzle and  
1981 inside the exhaust jet. The degree of attenuation of the filter before and after the sampling was thus  
1982 measured using a reflectometer, and the SN was computed as:

$$1983 \quad \text{SN} = 100 \cdot (1 - R_f / R_0)$$

1984 where  $R_0$  and  $R_f$  are the absolute reflectance of the filter before and after the sampling, respectively.  
1985 Unfortunately, SN gives only a qualitative estimate of particle emission and was recognised to be  
1986 dependent on sampling conditions, soot characteristics and morphology, and therefore was assumed  
1987 to have little value for estimating atmospheric impacts (Anderson et al., 2005). Moreover, it was  
1988 reported that particles with a diameter less than 300 nm passed through the filter and therefore only  
1989 the larger particles are collected resulting in a relative weak accuracy of measurement (Kugele et  
1990 al., 2005).

1991 Several studies have attempted to correlate SN to BC mass concentration (e.g., Champagne, 1971;  
1992 Whyte, 1982; Girling et al., 1990; Petzold and Döpelheuer, 1998; Wayson et al., 2009; Peck et al.,  
1993 2013; Stettler et al., 2013a,b) and today an interim methodology named first-order approximation  
1994 3.0 (FOA3) was developed and used to estimate BC mass emissions normalised by fuel burn  
1995 EI(BC) from SN (Wayson et al., 2009). Although this calculation was reported to be dependent  
1996 upon the mode-specific SN recorded in the engine databank (e.g., Stettler et al., 2011), recently  
1997 Stettler et al. (2013b) observed that the correlation between BC and SN depends on the particle size  
1998 distribution and that the methods suggested to convert SN to BC could lead to heavy  
1999 underestimations of BC concentrations. An alternative method independent of the SN (FOX) was  
2000 also recently developed and first studies reported an improved estimation of BC (Stettler et al.,  
2001 2013a), but it needs to be further tested. To fill this gap, recently an group of experts was called to  
2002 define new standard procedures for BC measurement at ground level for regulatory purposes (SAE,  
2003 2009). In the absence of defined standards, the scientific literature offers a number of studies on the  
2004 emission of soot, BC and EC.

2005

#### 2006 **4.14.3 Inorganic ions**

2007 The analysis of the major inorganic ions in aircraft exhaust has a clear dependence on the adopted  
2008 sampling methodology and can be affected by many artefacts. As for most hydrocarbons, ions may  
2009 undergo gas-to-particle partitioning and some species may further derive from chemical reactions in  
2010 the atmosphere or on the filter surface. For example, the concentrations of aerosol nitrate can be  
2011 affected by the adsorption of nitric acid gas on pre-existing particles, while evaporative losses occur  
2012 at temperatures  $>20$  °C and the exhaust plumes largely exceed this temperature. In addition,  
2013 sulphate may form quickly due to the oxidation of SO<sub>2</sub>, coating soot particles. In view of this,  
2014 Anderson et al. (2005) firstly reported that the concentration of sulphate aerosol rose considerably  
2015 as sampling was performed progressively downstream of the engine, suggesting that sulphate  
2016 particles may originate or undergo rapid growth within aircraft exhaust plumes. These findings were



2017 further confirmed by APEX campaigns. Agrawal et al. (2008) noted that the mass of the ions  
2018 collected at 1 m from the engine exit plane were below the detection limit for most ions, while only  
2019 sulphate was detectable. On the contrary, APEX samplings at 30 m reported EI(ions) in the range of  
2020 30-40 mg kg Fuel<sup>-1</sup> dominated by sulphate (53%–72% of the total ion EIs) and ammonium (Kinsey  
2021 et al., 2011). In summary, there is a lack of data on the ionic component of exhaust emissions of  
2022 aircraft and this merits further investigation.

2023

#### 2024 **4.14.10 Elemental composition**

2025 There is a severe shortage of data on the elemental composition of PM emitted by aircraft.  
2026 Kinsey et al. (2011) reported that PM<sub>2.5</sub> emissions are composed of various trace elements mainly  
2027 originating from fuels, lubricating oils, engine wear and corrosion, although release from the  
2028 sampling line and fugitive dust may contribute to the total load. During the APEX campaigns, the  
2029 elemental composition of PM emitted from aircraft engines was analyzed for a number of different  
2030 aircraft engines. The total elemental emissions (sum of Mg, Si, P, S, Cl, K, Ca, Ti, Cr, Mn, Fe, Ni,  
2031 Cu, Zn, Br, Ag, In, Sb, Te, I, Tl) were in the range of 6.3—27.5 mg elements kg Fuel<sup>-1</sup>,  
2032 corresponding to 2–7% of the total emitted PM and were dominated by sulfur (54%-80% of total  
2033 element mass) (Kinsey, 2009; Kinsey et al., 2011). As expected, sulfur was well correlated with  
2034 sulphate and most of the sulfur on the filter exists as sulphate (Agrawal et al., 2008). Moreover, the  
2035 variability in the metal emissions was observed to be much greater between different engines than  
2036 between engine thrust settings (Agrawal et al., 2008).

2037

2038 Recently, Mazaheri et al. (2013) investigated the physical and chemical characteristics of individual  
2039 particles collected in the exhausts of in-use aircraft during landing and takeoff by using  
2040 transmission microscopy and energy dispersive X-ray spectroscopy. They reported that most of the  
2041 measured particles have a spherical shape in the nucleation mode (18–20 nm) and only contain C,  
2042 O, S, Cl, and in some cases K. They also reported fewer particles having a more irregular shape

2043 resulting in a larger average aspect ratio and a much greater and diverse range of elements. While  
2044 the small spherical particles have been linked to the combustion processes of engines, the latter  
2045 irregular particles have been linked to a diverse range of sources, including tyre wear, fine dusts,  
2046 vehicular traffic, and possibly engine wear.

2047

#### 2048 ***4.14.12 Secondary aerosol***

2049 Despite the potential role of aircraft emissions in forming SIA and SOA, there is a lack of  
2050 information on the chain of processes affecting aircraft emissions once emitted in ambient air. A  
2051 recent study by Miracolo et al. (2011) used a smog chamber to simulate the aging of the particulate  
2052 matter emitted from a TF engine under typical (summertime) atmospheric conditions. Their  
2053 findings pointed out the key role of the photo-oxidation processes in forming both SIA and SOA.  
2054 They reported that after several hours of photo-oxidation, the ratio of secondary-to primary PM  
2055 mass was on average  $35\pm 4.1$ ,  $17\pm 2.5$ ,  $60\pm 2.2$  and  $2.7\pm 1.1$  for increasing thrusts settings (4%, 7%,  
2056 30% and 85%  $F_{00}$ , respectively). Miracolo et al. (2011) also observed that SOA dominates the  
2057 secondary PM at low thrust, while secondary sulphate becomes the main secondary component at  
2058 higher power.

2059

2060 It is not clear if aircraft emissions can influence the amount of secondary aerosol on a large scale. In  
2061 this regard, a recent study by Woody and Arunchalam (2013) used the Community Multiscale Air  
2062 Quality (CMAQ) model to investigate the impacts of aircraft emissions on SOA at the Hartsfield-  
2063 Jackson Atlanta International Airport. By applying the model at various spatial resolutions, they  
2064 reported that aircraft emissions reduced SOA by ~6% at 36 and 12-km due to the chemistry of the  
2065 free radicals with aircraft  $\text{NO}_x$ , while at smaller resolution the interaction between the aircraft  
2066 emissions and external biogenic SOA precursors enhanced SOA (~12%).

2067

2068

2069 **5. AIRCRAFT NON-EXHAUST EMISSIONS**

2070 Although the vast majority of studies have focussed upon the exhaust emissions from engines, there  
2071 are other aircraft-related emissions that may influence the air quality within an airport. These  
2072 include emissions from the power units, i.e. APUs and GPUs, primary particles from tyre erosion  
2073 and brake wear, oil leaks and corrosion of aluminium alloys, all of which have been recognised to  
2074 impact air quality near airports but at date have received only limited consideration.

2075

2076 **5.1 Tyre, Brake and Runway Surface Wear**

2077 Tyre and brake wear during landing and runway dust re-suspension have been estimated to be major  
2078 sources of particulate matter. This is expected as smoke is clearly visible to the naked eye when  
2079 aircraft wheels contact the ground and spin up to the landing velocity. Despite that, the proportion  
2080 of the mass lost from aircraft tyres and brakes that becomes suspended as fine PM has not been  
2081 extensively studied; the few available data indicate that the rubber lost from tyre wear can vary  
2082 from few grams to ~0.8 kg per landing (Morris, 2006; Bennett et al., 2011 and references therein).  
2083 Particulate emissions from tyres have been suggested to be dependent upon the maximum take-off  
2084 weight, but other factors may have a role in the rubber wear, e.g., number of wheels, weather  
2085 conditions, engine type, airport runway length and taxiway layout and operating procedures  
2086 (Morris, 2006). The subsequent activation of brakes to bring the aircraft to a stop may further  
2087 abrade brake lining material from discs and pads and may release fine particles as for road vehicles  
2088 (e.g., Pant and Harrison, 2013). From a physicochemical point of view, it is plausible that brake  
2089 wear includes both the emission of material from the abrasion of discs and the volatilisation and  
2090 condensation of brake pad materials, while soot may arise from the thermal degradation of tyre  
2091 polymers. This was confirmed by experimental data collected at a major European airport: Amato et  
2092 al. (2010) reported unusually high levels of both organic carbon and metals possibly sourced from  
2093 tyre detritus/smoke in runway dust (Ba, Zn, Mo) and from brake dust in ambient PM<sub>10</sub> (Cu, Sb). In

2094 addition to tyre and brake wear, landing field wear and re-suspension can also occur, as usually  
2095 aircraft land on a runway generally constructed of asphalt, concrete, gravel or grass.

2096

2097 For example, studies at Gatwick airport estimated that tyre and brake wear are dominant sources of  
2098  $PM_{10}$ , accounting about 22 and 4.5 tonnes  $y^{-1}$ , respectively, i.e. about 60% and 12% of all aircraft-  
2099 related emissions, respectively (British Airports Authority, 2006). However, these emissions are  
2100 subject to large uncertainties as they are dependent on many factors, including speed at landing,  
2101 some aircraft characteristics (weight, number of wheels, brake material if carbon or steel) and  
2102 runway characteristics (length, weather conditions) (Underwood et al., 2004).

2103

2104 Bennett et al. (2011) collected landing and braking dust samples from the undercarriage (oleo legs)  
2105 and wheel hubs of aircraft and reported that they have bimodal distributions, with peaks at  
2106 aerodynamic diameters of about 10 and 50  $\mu m$ . A further SEM-EDS analysis has revealed that  
2107 particles may contain various materials embedded in a carbonaceous substrate: (i) soot arising from  
2108 the burning of the tyre rubber, from the asphalt tar or from brake abrasion; (ii) runway dust mainly  
2109 composed of typical crustal materials (quartz and feldspar particles) which are lifted mechanically  
2110 from the ground surface; (iii) small droplet (35  $\mu m$ ) of Fe, associated with Co and other transition  
2111 metals (Mn, Ni, V, Zn) which are commonly found in asphalt concrete and (iv) irregular Fe  
2112 particles (<10  $\mu m$ ). This study also reported that aluminium, which is typically used as tracer for  
2113 crustal materials from runway wear, can also derive from Al hydroxide included in some tyre  
2114 formulations.

2115

## 2116 **5.2 Other Mechanical Components**

2117 High-strength aluminium alloys are commonly used as the aircraft fuselage materials in the body  
2118 and wings, while minor amounts of other elements (Cu, Zn, Mg) may be also present in various  
2119 airframe components (Wei et al., 1998). Aluminium alloys have a microstructure that can be highly

2120 susceptible to intergranular and pitting corrosion, and weathering is recognised as a major cause of  
2121 structural damage to aircraft structure and coatings (Usmani and Donley, 2002; Russo et al., 2009;  
2122 Knight et al., 2011), along with long term operations (Ostash et al., 2006), runway de-icing  
2123 chemicals (Huttunen-Saarivirta et al., 2011) and atmospheric pollution and salts (Cole and Paterson,  
2124 2009). The degradation of aircraft mechanical components is also connected with mechanical, and  
2125 corrosion-mechanical (macrocracks) defects, which lead to a decrease in its load-bearing capacity  
2126 (Ostash et al., 2006). Corrosion has many forms and affects most structural alloys found in  
2127 airframes: of particular importance is pitting and intergranular corrosion, which can develop into  
2128 fatigue cracks, stress corrosion cracks or exfoliation (Liao et al., 2008). In this light, it is plausible  
2129 that corrosion and mechanical stress of some aircraft components may release metallic particles into  
2130 the environment. For example, using scanning electron microscopy techniques, Amato et al. (2010)  
2131 founded the relatively common presence of platy aluminous particles derived from airframe  
2132 corrosion in the ambient PM<sub>10</sub> samples collected near the El Prat airport in Barcelona.

2133

### 2134 **5.3 Oil Leaks**

2135 In addition to exhaust from jet fuel combustion, oil escaping or burning from lubricated parts may  
2136 be vented overboard from aircraft engines and therefore may further contribute to the total  
2137 emissions of aircraft (Onash et al., 2009; Timko et al., 2010b; Yu et al., 2010; 2012). Aircraft  
2138 lubricating oils are usually composed of a mixture of synthetic C<sub>5</sub>-C<sub>10</sub> fatty acid esters of  
2139 pentaerythritol and dipentaerythritol with specialised additives (Yu et al., 2010; 2012). Some of  
2140 these, such as tricresyl phosphate, are recognised as toxic to humans (Craig and Barth, 1999; Van  
2141 Netten, 1999; Winder and Balouet, 2002; Marsillach et al., 2011) and have been detected in ambient  
2142 air and aircraft cabins, posing a risk for aviation technicians, loaders, crew and passengers in case of  
2143 release into the environment (e.g., Solbu et al., 2010; Liyasova et al., 2011; Denola et al., 2011;  
2144 Schindler et al., 2013). Yu et al. (2010) reported that the degree of degradation of lubrication oil  
2145 during aircraft engine operations as a result of friction and/or pyrolysis might be negligible,

2146 suggesting that most emitted oil is unburned. Because of its low volatility, unburned lubricating oil  
2147 may exit from engines as vapour or submicrometre droplets and may further condense and add mass  
2148 to the organic PM in the wake of the aircraft. Results of exhaust characterisation measurements  
2149 suggest that the contribution of lubrication system releases to the organic PM may be greater than  
2150 the engine exhaust (Timko et al., 2010b): they estimated that the contribution of oil leaks to the total  
2151 mass of organics generally lies within the range 10-20% for low thrust and 50% for high thrust  
2152 settings. A recent study (Yu et al., 2012) has identified and quantified the lubricating oil in the  
2153 particulate matter emissions from various engines of in-service commercial aircraft at two airports.  
2154 This study used the characteristic mass marker of lubricating oil (ion fragment intensity between  
2155  $m/z = 85$  and  $71$ ) to distinguish lubricating oil from jet engine combustion products. Results  
2156 revealed that lubricating oil is commonly present in organic PM emissions in association with  
2157 emitted soot particles, unlike the purely oil droplets observed at the lubrication system vent. The  
2158 contribution from lubricating oil in aircraft plumes was observed to vary from 5% to 100% in  
2159 measured aircraft plumes.

2160

2161 Yu et al. (2010) measured the size distributions of submicrometre unburned lubricant oil released  
2162 from engines with C-TOF-AMS and UHSAS and reported a shift to larger sizes with increasing  
2163 power. At idle thrust they observed a C-TOF-AMS vacuum aerodynamic diameter ( $D_{va}$ ) of  $260 \pm 3$   
2164 nm, while the UHSAS volume equivalent diameter ( $D_{ve}$ ) was  $281 \pm 9$  nm. At higher engine power,  
2165 they observed modes at  $272 \pm 4$  nm and  $350 \pm 8$  nm for C-TOF-AMS and UHSAS, respectively.

2166

## 2167 **6. OTHER AIRPORT-RELATED EMISSIONS**

2168 Apart from aircraft exhaust and non-exhaust emissions, other sources can be present within an  
2169 airport and can contribute to the total pollutant load in the atmosphere. Among others, the emissions  
2170 of the power units providing power to the aircraft (APUs and GPUs), the GSEs, additional sources

2171 on the modern terminals, intermodal transportation systems and road traffic are further considered  
2172 as impacting upon the air quality and must be taken in account in airport emission measurements.

2173

## 2174 **6.1 Auxiliary and Ground Power Units**

2175 The APUs are small on-board gas-turbine engines burning jet fuel coupled with an electrical  
2176 generator capable of supplying electrical power to aircraft systems when required on the ground or  
2177 providing pneumatic or hydraulic power to start the main engines. Despite APUs being installed in  
2178 all modern airliners so as to be energetically independent, their use is becoming less significant over  
2179 time due to the increasing trend toward mains supplied Ground Power Units (GPU) (Mazaheri et  
2180 al., 2011). This ground equipment is supplied by the airports and includes diesel powered tugs of  
2181 various types, ground carts, and also APUs installed on ground carts (e.g., Kinsey et al., 2012b).  
2182 Some airports also provide electrical power to the aircraft by connecting directly to the ground  
2183 network and by using fixed ground electrical power (FGEP) units. This system avoids the use of  
2184 fuelled power units, with a subsequent reduction in local emissions and is thus very useful in  
2185 airports not complying with air quality standards.

2186

2187 The role of the APUs on the air quality at airports is nowadays widely discussed and an increasing  
2188 number of studies have estimated their contribution. However, the results are often conflicting.  
2189 Schäfer et al. (2003) indicated that APU emissions at airport service buildings cannot be neglected  
2190 in comparison to the main engine emissions. The emission inventory of the airport of Zurich in  
2191 2004 (Fleuti and Hofmann, 2005) reported that although the aircraft exhaust accounted for most of  
2192 CO, hydrocarbons and NO<sub>x</sub> (89%, 45%, 82%, respectively of total emissions), a significant percent  
2193 was from APUs, GPUs, start-up-idle, handling/GSE, airside traffic and stationary sources, with  
2194 APUs accounting for about half of the total non-aircraft engine emissions. HAL (2011) reported  
2195 that 19% of the total NO<sub>x</sub> emissions of London Heathrow airport are due to the use of APUs. A  
2196 survey over 325 airports in the USA (Ratliff et al., 2009) estimated the emissions from APUs and

2197 LTO cycles and stated that the greatest percentage that APUs contributed to total aircraft emissions  
2198 was 10-15% for CO and between 15 and 30% for NO<sub>x</sub> and SO<sub>x</sub>. However, this study also reported  
2199 that the airports used by a higher percentage of small and business jets tend to be affected by higher  
2200 emissions from the APUs. Stettler et al. (2011) estimated that APUs contribute 6% to total PM<sub>2.5</sub>  
2201 emissions at major UK airports. The effect of the APUs upon public health was recently estimated  
2202 by Yim et al. (2013), who calculated the emissions from aircraft LTO activity, aircraft APUs and  
2203 GSE at the top 20 UK airports, ranked by passenger numbers. Their findings concluded that the ban  
2204 on the use of APUs would prevent about 11 averted early deaths per year (90% confidence interval  
2205 7-16).

2206

2207 Unlike aircraft engines, APU emissions are not certificated by ICAO, and the manufacturers  
2208 generally consider information on APU emissions rates as proprietary (ICAO, 2011), therefore there  
2209 are today few data available on APU emissions. Emissions from APU depend on many factors and  
2210 are subject to change through provision of GPU facilities from the airport. Some airports have  
2211 implemented policies to encourage the use of the GPU instead of APUs (Mazaheri et al., 2011 and  
2212 reference therein), however in the absence of GPU availability, the use of APUs is still the only  
2213 alternative to provide the energy for aircraft operations with engines off and for the ignition of the  
2214 engines. The first studies of APU emissions started in the 1970s by the US Army (Kinsey et al.,  
2215 2012b and references therein) and our literature search has found very few data in comparison to  
2216 those on the jet engine emissions. However, the main studies reporting (or reprocessing) data on the  
2217 APU emissions are increasing nowadays (Slogar and Holder, 1976; Williams and Lee, 1985;  
2218 Gerstle et al., 1999; 2002; Wade, 2002; O'Brien and Wade, 2003; Schäfer et al., 2003; Watterson et  
2219 al., 2004; EASA, 2011; Anderson et al., 2011; Blakey et al., 2011; Kinsey et al., 2012b; Williams et  
2220 al., 2012).

2221

2222



## 2223 **6.2 Ground Service Equipment Emissions, Vehicular Traffic and Other Sources**

2224 As they are strictly linked to the airport operations, the amount of GSE vehicles clearly reflects the  
2225 airport layout and traffic in terms of both cargo and passengers. Moreover, the operation duration is  
2226 expected to increase with increasing aircraft size. Other factors include the type of engines installed  
2227 and the quality of fuels used and the status of the vehicle fleet (age, wear and tear). Therefore, it is  
2228 not possible to identify the unique characteristics common to all the airports and ICAO databanks  
2229 not include any information about GSE emissions. Similarly, the amount of road traffic in the form  
2230 of private cars, taxis, shuttle bus and trucks for transporting people and goods in and out to the  
2231 airport depends on the airport layout, on the quality of the road links and intermodal transport  
2232 systems and, finally, is directly related to the number of passengers and goods that the airport  
2233 handles. As both the airport-induced vehicular traffic and most of the GSEs have gasoline or diesel  
2234 engines, it is reasonable to consider them as common traffic. The traffic source is recognised to be  
2235 dominant in many urban environments. Its chemical and physical characteristics are reported  
2236 elsewhere, in a large number of studies and reviews (e.g., Hueglin et al., 2006; Thorpe and  
2237 Harrison, 2008; Johansson et al., 2009; Gietl et al., 2010; Kumar et al., 2011; Harrison et al., 2012;  
2238 Pant and Harrison, 2013; Amato et al., 2013).

2239  
2240 Some studies have indicated that GSE may contribute a major fraction of the total AEs. For  
2241 example, a study carried out at the McCarran airport in Las Vegas reported that approximately 60%  
2242 of the total airport emissions are related to GSE (Nambisan et al., 2000). Schürmann et al. (2007)  
2243 calculated that NO concentrations at Zurich airport were dominated by emissions from ground  
2244 support vehicles, while Unal et al, (2005) estimated that the impacts on ozone and PM<sub>2.5</sub> of GSE at  
2245 the Hartsfield–Jackson Atlanta International airport are small compared to the aircraft impacts. In  
2246 addition, other miscellaneous sources may be also present at airports and may further increase the  
2247 total pollutant load, including maintenance work, heating facilities, fugitive vapours from refuelling  
2248 operations, kitchens and restaurants for passengers and operators, etc. Despite being intermittent

2249 and depending on the airport layout, these emissions may be dominant in certain circumstances. For  
2250 example, Amato et al. (2010) reported that the local construction work for a new airport terminal in  
2251 a major European airport (El Prat, Barcelona) was an important contributor to PM<sub>10</sub> crustal dust  
2252 levels along with road dust and aircraft re-suspension, with a clear drop during the weekends.

2253

## 2254 **7. AIRPORT EMISSIONS AND PUBLIC HEALTH**

2255 While aircraft emissions at cruising altitudes are an air pollution issue at global scale (Barrett et al.,  
2256 2010; Koo et al., 2013), the emissions within the planetary boundary layer due to the LTO  
2257 operations are certainly more local and it is plausible to believe they may have a more direct effect  
2258 on human health. Nevertheless, the potential subsidence of air masses due to the Ferrell and Hadley  
2259 circulations, which may displace high altitude emissions toward the ground cannot be disregarded  
2260 (Barrett et al., 2010).

2261

2262 Air quality degradation in the locality of airports is considered by some to pose a real public health  
2263 hazard (Barrett et al., 2013) and some recent estimates of the aviation contribution to premature  
2264 mortality have been reported (e.g., Ratliff et al., 2009; Levy et al., 2012; Ashok et al., 2013, Yim et  
2265 al., 2013). Although at the current time, no specific target toxic compound has been identified to be  
2266 used as a marker or indicator for human exposure to jet engine fuels and their combustion products  
2267 (Tesseraux, 2004), it has been estimated that over 2 million civilian and military personnel per year  
2268 are occupationally exposed to jet fuels and exhaust gases (Pleil et al., 2000; Ritchie, 2003; Cavallo  
2269 et al., 2006). Kerosene-based fuels have the potential to cause acute or persistent neurotoxic effects  
2270 from acute, sub-chronic, or chronic exposure of humans or animals (Ritchie et al., 2001), although  
2271 evidence is lacking that current levels of exposure are harmful. Occupational exposure can occur by  
2272 dermal, respiratory or oral ingestion routes of raw fuel, vapour, aerosol or exhausts. It has been  
2273 postulated that chronic exposure to vapours and exhaust fumes could affect the operators inside the  
2274 airport (Cavallo et al., 2006) and aircraft crew (Denola et al., 2011; Schindler et al., 2013), while

2275 occasional exposure can affect all passengers in transit (Liyasova et al., 2011). In addition, also the  
2276 population living in the vicinity of airports can be exposed (Jung et al., 2011).

2277

2278 However, the impact of LTO emissions on surface air quality and human health is poorly quantified  
2279 (Barrett et al., 2010) even though most governments have recently focused attention on  
2280 management and reduction the environmental impacts of aviation. Some studies have attempted to  
2281 estimate the direct and indirect effects of aviation to support environmental policy assessments and  
2282 to evaluate many possible future scenarios. A global-scale study by Barrett et al. (2010) estimated  
2283 that ~8000 premature deaths per year can be attributed to aircraft emissions at cruising altitudes,  
2284 representing ~80% of the total impact of aviation (including LTO emissions) and ~1% of air  
2285 quality-related premature mortalities from all sources.

2286

2287 A series of more local studies have been conducted to assess the impact of AEs on human health.  
2288 Generally the results have highlighted the potential adverse effects of AEs on public health and also  
2289 revealed the need for more extensive information about this source. Three estimates were given for  
2290 US airports in 2005: Ratliff et al. (2009) analysed aircraft LTO emissions at 325 US airports with  
2291 commercial activity and estimated that 160 (90% confidence interval 64-270) premature deaths  
2292 occurred due to ambient particulate matter exposure attributable to the aircraft emissions; Levy et  
2293 al. (2012) estimated about 75 early deaths using activity data from 99 US airports; Ashok et al.  
2294 (2013) estimated that aviation LTO emissions caused about 195 (90% confidence interval 80-340)  
2295 early deaths, while the same emissions were forecast to cause ~350 (90% confidence interval 145-  
2296 610) deaths in 2018. Arunachalam et al., (2011) used the Community Multiscale Air Quality model  
2297 (CMAQ) to estimate the incremental contribution to  $PM_{2.5}$  due to commercial aviation emissions  
2298 during LTO cycles in two major and one mid-sized US airport and reported that 8-9, 11-15 and 5  
2299 (depending on model resolution) premature deaths per year can be estimated for Atlanta, Chicago  
2300 and Providence airports, respectively. In Europe, Yim et al. (2013) estimated that 110 (90% CI:72-

2301 160) early deaths occur in the UK each year (based on 2005 data) due to airport emissions. The  
2302 same study also assessed that up to 65% of the health impacts of UK airports could be mitigated by  
2303 replacing current fuel with low FSC fuel, by electrifying GSE, avoiding use of APUs and use of a  
2304 single engine during the taxi phase. Lin et al. (2008) estimated that residents living within five miles  
2305 of Rochester and La Guardia airports are affected by an increased relative risk of hospital admission  
2306 of 1.47 and 1.38 respectively compared to resident living >5 miles distant. Jung et al. (2011)  
2307 characterised the levels of BTEX in the vicinity of the Teterboro airport, New York/New Jersey  
2308 metropolitan area, by exposing passive samplers for 48 h at the end of airport runways, in  
2309 households close to the airport and out-of-neighbourhood locations. Results indicated that the  
2310 average concentrations of benzene, toluene, ethylbenzene, m-/p-xylenes and o-xylene in  
2311 neighbourhood concentrations (0.8, 3.8, 0.4, 1.2 and 0.4  $\mu\text{g m}^{-3}$ , each BTEX respectively) were not  
2312 significantly different to those measured at the airport runways (0.8, 3.2, 0.3, 1, and 0.3  $\mu\text{g m}^{-3}$ ,  
2313 respectively) and higher than the out-of-neighbourhood locations (0.5, 1.1, 0.2, 0.8, and 0.4  $\mu\text{g m}^{-3}$ ,  
2314 respectively). Cavallo et al. (2006) characterised the exposure to PAHs in airport personnel and  
2315 evaluated the genotoxic and oxidative effects in comparison with a selected control group. They  
2316 analysed 23 PAHs collected from various areas over five working days and urinary 1-  
2317 hydroxypyrene (1-OHP) following five working days as a biomarker of exposure. They reported an  
2318 induction of sister chromatid exchange due to PAH exposure, although its health significance was  
2319 not quantified.

2320

## 2321 **8. CONCLUSIONS**

2322 The main goal of this review is to give an overview on the current state of knowledge of airport-  
2323 related emissions and to summarise the key characteristics of pollution and the impacts on local and  
2324 global air quality. After thoroughly reviewing the latest available scientific literature, it can be  
2325 concluded that the currently available information on the impact of AEs upon air quality is  
2326 inadequate and the consequences of future growth in the volume of air traffic are very hard to

2327 predict. Most work has focussed upon aircraft engine exhaust during LTO cycles which accounts  
2328 for a large proportion of the total emitted pollutants. However other sources such as the auxiliary  
2329 power units, vehicular traffic and ground service equipment are known sources that may seriously  
2330 affect air quality near to airports. In this way, it is apparent from the literature that while aircraft  
2331 exhaust may account for most of the pollution at some airports, there are other sources that need to  
2332 be addressed in more detail in the future, such as:

2333

- 2334 • tyre, brake, asphalt wear and the re-suspension of particles due to the turbulence created by  
2335 aircraft movements;
- 2336 • the emissions from the units providing power to the aircraft when required on the ground  
2337 (APUs and GPUs);
- 2338 • the ground support equipment that an airport offers as a service for flights and passengers,  
2339 including passenger buses, baggage and food carts, container loaders, refilling trucks,  
2340 cleaning, lavatory servicing and de/anti-icing vehicles, and tugs;
- 2341 • the effects of the intermodal transportation systems, and road traffic for transporting people  
2342 and goods in and out to the airport.

2343

2344 Most studies report that airport operations are responsible for significant emissions of a series of  
2345 non-volatile, gaseous and semi-volatile species. Non-volatile emissions are made up of refractory  
2346 material such as soot, which is emitted as PM even at high temperatures, but is also comprised of  
2347 many organics and sulfur compounds, the latter mainly in the form of sulphate. Volatile emissions  
2348 include compounds that exist as vapour at the engine exit plane and are made up of gaseous and  
2349 vapour-phase pollutants, such as CO, NO<sub>x</sub>, SO<sub>2</sub> and many organics (i.e. aromatics, alkanes, alkenes  
2350 and a number of other VOCs). The less volatile fraction is of especial interest as it can react in the  
2351 atmosphere and undergo gas-to-particle conversion by forming new particles or condensing on pre-  
2352 existing ones.

2353

2354 The volatile emissions have mostly been fairly well characterised, but a comprehensive chemical  
2355 speciation of the hydrocarbons and complete knowledge of their chemical processing in the  
2356 atmosphere is still lacking. Detailed information on the non-volatile and semi-volatile compounds is  
2357 also scarce. In spite of the increasing attention given to AEs, many issues remain unaddressed and  
2358 represent a serious gap on which scientific research should focus. A list of the key characteristics  
2359 of AEs that need to be carefully addressed should include:

2360

- 2361 • a careful quantification of sulfuric acid, HONO and HNO<sub>3</sub> directly emitted by aircraft for a  
2362 large variety of engines. Currently available data refer only to few engine types and the  
2363 changes of EI at varying thrusts are not completely clear. This should also include seeking a  
2364 better knowledge of the characteristics and the evolution of emitted chemi-ions and a better  
2365 understanding of their role as a source of sulfur and nitrogen species in plumes;
- 2366 • a more realistic quantification of emission inventories for nitrogen oxides and organic  
2367 compounds, which includes the variability induced by the common practices of take-off and  
2368 taxi phases at reduced thrust;
- 2369 • quantification of the effects of ozone-precursors emitted from aircraft and other AEs on the  
2370 levels of ground-level ozone at airports, which to date have not been thoroughly investigated.  
2371 In particular, since well established atmospheric photochemical reactions of many VOCs are  
2372 known as potential sources of elevated ozone concentrations in the troposphere, improved  
2373 chemical speciation of organic compounds is much needed. Better apportionment of ozone  
2374 formation potential from aircraft emissions during LTO cycles and from other AEs should be  
2375 also estimated;
- 2376 • standardization of procedures for measurement of engine exhaust at ground level for  
2377 regulatory purposes, which appear to be lacking mainly for PM and speciated hydrocarbon  
2378 emissions. Such methodologies should take into account the semi-volatile components, which

2379 have been recognised to make a major contribution to the total mass of emitted PM.

2380 Achievement of this objective is vital to be able to obtain data that are comparable across

2381 different studies;

2382 • further quantitative knowledge of the chemical and physical modifications affecting many

2383 compounds and particulate matter in the atmosphere, including the oxidation of hydrocarbons

2384 to less volatile species and the formation of sulphate on the surface of pre-existing particles;

2385 • chemical and physical characterization of PM. Far fewer data exist for PM than for the main

2386 gaseous pollutants. The chemical speciation of PM is not fully understood and the role of

2387 plumes aging on PM mass and composition is largely unknown. The role of lubrication oils,

2388 fuel type and engine technology, age and maintenance upon aircraft PM emissions also needs

2389 to be investigated;

2390 • a more detailed assessment of the health effects of the AEs within and in the surroundings of

2391 major airports;

2392 • the identification of particular chemical species to be used as a tracers for most of the AE

2393 sources;

2394 • the significance of airport operations for emission reduction and management should be

2395 investigated in more depth. There is a lack of information on the effects of time-in-modes,

2396 aircraft waiting/idling durations, aircraft weight, and use of APU/GPU/FGEP on the actual

2397 emission of pollutants. A more detailed knowledge of such operations will lead to a more

2398 reliable assessment of the quantities of exhaust pollutants emitted into the air;

2399 • the relative importance of near-airport, regional, and global scale air quality impacts of airport

2400 and aircraft emissions need to be further investigated. Most studies focus on local or global

2401 effects of the AEs, but there is no comprehensive view of air pollution over a full range of

2402 scales.

2403

2404 Quantification of the impact of airport emissions on local air quality is very difficult due to the  
2405 complexity of airport emissions and the presence of substantial levels of pollution from other  
2406 sources, with many airports being located near to urban settlements, major highways and roads or  
2407 industrial installations. This makes the signal of the AEs and, in particular, of aircraft emissions  
2408 very hard to distinguish. This is a serious gap because development of cost-effective strategies to  
2409 improve air quality to meet regulatory requirements demands a clear quantification of the  
2410 contribution of AEs to the total air pollution.

2411

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3993 **TABLE LEGENDS**

3994 **Table 1:** Engine-family mounted in the most popular aircraft. The number of engines for each  
3995 aircraft in given within brackets. This list represents ~75% of total in-use turbofan  
3996 engines provided by the ICAO databank at August 2013 and does not report data for  
3997 regional jets. Average data (mean±standard deviation) for fuel consumption and  
3998 emissions per LTO cycle are also reported per each engine family.

4000 **Table 2:** Total annual fuel burned by aviation and emissions of H<sub>2</sub>O, CO<sub>2</sub>, NO<sub>x</sub>, CO, HC, SO<sub>x</sub>  
4001 and soot (when available) provided by recent studies. Forecasts for 2020 and 2025 are  
4002 also provided. Global emission data for 2008 and forecasts for 2025 were calculated  
4003 starting from fuel data of Chèze et al. (2011) and emission indices of Lee et al. (2010).  
4004 Kim et al. (2007) provided fuel burn and NO<sub>x</sub> emission during LTO for the 2000-2005  
4005 period; LTO emissions of H<sub>2</sub>O, CO<sub>2</sub> and SO<sub>2</sub> were calculated starting from fuel data of  
4006 Kim et al. (2007) and emission indices of Lee et al. (2010). Note that all emissions  
4007 calculated in this review are in italics.

4009 **Table 3:** List of recent studies in the literature that measure EIs directly from engine or airplane  
4010 tests. The table also reports studies on hydrocarbon profiles. Some information about  
4011 tested aircraft and engine models, selected thrust and sampling methodologies and  
4012 analytical techniques, type of fuel, date and location of experiments is also given.

4014 **Table 4:** List of recent studies available in the literature reporting EIs during real aircraft  
4015 operation. The table also reports supplementary information (if available) about the  
4016 target of the study, period and location of experiments, tested aircraft or engine models,  
4017 measured pollutants, analysed LTO phases and sampling methodologies. The list of  
4018 acronyms is provided in Table 3.

4020 **Table 5:** List of recent studies available in the literature conducted at airports or in their  
4021 surroundings. The table also reports supplementary information (if available) about the  
4022 target of the study, period and location of experiments, tested aircraft or engine models,  
4023 measured pollutants, analysed LTO phases and sampling methodologies. The list of  
4024 acronyms is provided in Table 3.

4026 **FIGURE LEGENDS**

4029 **Figure 1:** Absolute growth of aviation (1930–2012) recorded by ICAO in terms of RPK, RTK and  
4030 aircraft kilometres. Data refers to ICAO (2013) and were taken from Airlines for  
4031 America (2013).

4033 **Figure 2:** Simplified diagram of a turbofan engine (upper left); products of ideal and actual  
4034 combustion in an aircraft engine (upper right); and related atmospheric processes,  
4035 products, environmental effects, human health effects and sinks of emitted compounds  
4036 (bottom). Adapted from Prather et al. (1999), Wuebbles et al. (2007) and Lee et al.  
4037 (2009).

4039 **Figure 3:** Division of the combustion products from an aircraft engine, adapted from Lewis et al.  
4040 (1999).

4042 **Figure 4:** Geographical and vertical distributions of aviation: a) column sum of global fuel burn  
4043 from scheduled civil aviation in 2005, as reported by Simone et al. (2013) using AEIC

4044 model (Stettler et al., 2011); b) annual global vertical distribution of commercial  
4045 aviation fuel burn for the NASA-Boeing 1992 and 1999 (Baughcum et al., 1996a,b;  
4046 Sutkus et al., 2001), QUANTIFY 2000 (Owen et al., 2010), AERO2k (Eyers et al.,  
4047 2004) and AEDT 2006 (Roof et al., 2007) datasets, taken from Olsen et al. (2013).  
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4049 **Figure 5:** Standard ICAO LTO cycle. Adapted from ICAO (2011).

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4051 **Figure 6:** Burned fuel and emissions for complete standardised LTO cycle. Data from ICAO  
4052 databank at April 2013 (EASA, 2013). All engines certified in each period were  
4053 included in the statistics, without distinction of type, manufacturer, model or  
4054 technology.

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4056 **Figure 7:** EIs provided by the ICAO databank (EASA, 2013). All in-use engines certified from  
4057 1976 to today (April 2013) are included.

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4059 **Figure 8:** Fuel burned and emissions of CO, NO<sub>x</sub> and total unburned hydrocarbons during the four  
4060 LTO phases. Data were calculated from the EIs and fuel consumption provided by the  
4061 ICAO databank (EASA, 2013). All in-use engines certified from 1976 to today (April  
4062 2013) were included and reprocessed as a function of LTO stages and standard times  
4063 (i.e., 0.7 min for take-off, 2.2 min for climb-out, 4 min for approach and 26 min for  
4064 idle).

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4066 **Figure 9:** Results of the APEX campaigns. Profile (mass fractions) of individual hydrocarbon  
4067 species. The single compounds are ordered to show decreasing fractions.

4068 **Table 1.** Engine-family mounted in the most popular aircraft. The number of engines for each aircraft is given within brackets. This list represents ~75% of  
 4069 total in-use turbofan engines provided by the ICAO databank at August 2013 and does not report data for regional jets. Average data (mean±standard  
 4070 deviation) for fuel consumption and emissions per LTO cycle are also reported per each engine family.

Manufacturer	Engine family	Main aircraft and number of engines	Fuel and emissions per LTO cycle (kg)			
			Fuel	CO	NO <sub>x</sub>	HC
General Electric	CF6 series	A300 (2); A310 (2); A330 (2); B747 (4); B767 (2); MD DC-10 (3); MD-11 (3)	811±76	11±5	12±2	2.3±2.2
	GE90 series	B777 (2)	1159±141	14±7	25±5	1.1±0.8
	GEnx series	B747 (4); B787 (2); replacing CF6 series	827±74	7±1	10±3	0.2±0.1
CMF International	CFM56 series	A318 (2); A319 (2); A320 (2); A321 (2); A340 (4); B737 (2); MD DC-8 (4)	419±46	6±2	5±1	0.6±0.4
Pratt & Whitney	JT8D series	B707 (4); B727 (3); B737 (2); MD DC-9 (2); MD80 (2)	477±35	5±2	4±1	1±0.9
	JT9D series	A300 (2); A310 (2); B747 (4); B767 (2); MD DC-10 (3)	842±45	19±10	13±1	7±4.8
	PW 4000 series	A300 (2); A310 (2); B747 (4); B767 (2); B777 (2); MD DC-11 (3)	966±150	8±3	17±6	1±0.8
Rolls-Royce	RB211 series	B747 (4); B757 (2); B767 (2); L1011 (3); Tu-204 (2)	852±128	15±15	15±5	7.1±11.1
	Trent series	A330 (2); A340 (4); A380 (4); B777 (2); B787 (2)	817±370	5±2	19±4	0.2±0.3
BMW Rolls-Royce	BR700 series	B717 (2)	332±32	4±1	4±1	0.1±0.1
International Aero Engines	V2500 series	A319 (2); A320 (2); A321 (2); MD-90 (2)	452±35	3±0.4	6±1	0.04±0.01
Aviadvigatel' Solov'ëv	D30 series	Tu-154 (3)	622±110	21±6	5±1	5.5±2.4

4071 B (Boeing); A (Airbus); MD (McDonnell Douglas); L (Lockheed); Tu (Tupolev).

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4079 **Table 2.** Total annual fuel burned by aviation and emissions of H<sub>2</sub>O, CO<sub>2</sub>, NO<sub>x</sub>, CO, HC, SO<sub>x</sub> and soot (when available) provided by recent studies.  
 4080 Forecasts for 2020 and 2025 are also provided. Global emission data for 2008 and forecasts for 2025 were calculated starting from fuel data of Chèze et al.  
 4081 (2011) and emission indices of Lee et al. (2010). Kim et al. (2007) provided fuel burn and NO<sub>x</sub> emission during LTO for the 2000-2005 period; LTO  
 4082 emissions of H<sub>2</sub>O, CO<sub>2</sub> and SO<sub>2</sub> were calculated starting from fuel data of Kim et al. (2007) and emission indices of Lee et al. (2010). Note that all emissions  
 4083 calculated in this review are in italics.

Global										
Year	Fleet <sup>a</sup>	Fuel	H <sub>2</sub> O	CO <sub>2</sub>	NO <sub>x</sub> <sup>b</sup>	CO	HC	SO <sub>x</sub> <sup>c</sup>	Soot	Reference
					Tg				Mg	
1999	Scheduled air traffic which includes turboprops, passenger jets, and jet cargo aircraft	128	—	—	1.7	0.685	0.189	—	—	Sutkus et al. (2001)
2000	Scheduled and non-scheduled commercial aviation	214 <sup>d</sup>	—	677	2.9	—	—	—	—	Owen et al. (2010)
2000	Civil and military aircraft	169	—	—	2.15	—	—	—	—	Gauss et al. (2006)
	Civil aircraft	152	—	—	1.95	—	—	—	—	Gauss et al. (2006)
	Military (difference)	44	—	—	0.2	—	—	—	—	Gauss et al. (2006)
	Commercial aviation	181	224	572	2.51	0.541	0.076	0.145	—	Kim et al. (2007)
2001	Commercial aviation	170	210	536	2.35	0.464	0.063	0.136	—	Kim et al. (2007)
2002	Commercial aviation	171	211	539	2.41	0.480	0.064	0.137	—	Kim et al. (2007)
	Civil aviation	156	193	492	2.06	0.507	0.063	—	3.9	Eyers et al. (2004)
	Military aviation	19.5	24.1	61	0.178	0.647	0.066	—	—	Eyers et al. (2004)
	Civil + Military aviation	176	217	553	2.24	1.150	0.129	—	>3.9	Eyers et al. (2004)
2003	Commercial aviation	176	218	557	2.49	0.486	0.062	0.141	—	Kim et al. (2007)
2004	Commercial aviation	188	233	594	2.69	0.511	0.063	0.151	—	Kim et al. (2007)
	Commercial aviation <sup>e</sup>	174	215	550	2.456	0.628	0.090 <sup>f</sup>	0.102 <sup>g</sup>	6.1	Wilkerson et al. (2010)
2005	Commercial aviation	203	251	641	2.9	0.554	0.065	0.163	—	Kim et al. (2007)
2006	Commercial aviation	188	233	595	2.656	0.679	0.098 <sup>f</sup>	0.111 <sup>h</sup>	6.8	Wilkerson et al. (2010)
2008	From ICAO commercial air carriers—traffic database	229	282	725	3.21	0.688	0.092	0.183	5.7	Fuel demand by Chèze et al. (2011)
<b>Forecasted trend</b>										

2020	Scheduled and non-scheduled commercial aviation	336	—	1062	4	—	—	—	—	Owen et al. (2010)
2025	—	317	390	1001	4	0.951	0.127	0.253	7.9	Fuel demand forecast by Chèze et al. (2011)

#### Emission indices

EI	Mean emission indices	—	1230	3160	14	3	0.4	0.8	0.025	Lee et al. (2010)
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#### LTO cycles

2000	Commercial aviation	12.9	15.9	40.8	0.197	—	—	0.010	—	Kim et al. (2007)
2001	Commercial aviation	12.3	15.1	38.9	0.191	—	—	0.010	—	Kim et al. (2007)
2002	Commercial aviation	12.2	15.0	38.6	0.194	—	—	0.010	—	Kim et al. (2007)
2003	Commercial aviation	12.4	15.3	39.2	0.199	—	—	0.010	—	Kim et al. (2007)
2004	Commercial aviation	12.9	15.9	40.8	0.21	—	—	0.010	—	Kim et al. (2007)
2005	Commercial aviation	13.9	17.1	43.9	0.227	—	—	0.011	—	Kim et al. (2007)

a) Type of fleet, as specified in different estimates; b) NO<sub>x</sub> is expressed as NO<sub>2</sub> in Sutkus et al. (2001), Gauss et al. (2006) and Wilkerson et al. (2010); c) SO<sub>x</sub> expressed as SO<sub>2</sub>; d) normalized to the IEA total aviation fuel sales figure (see Owen et al. (2010)); e) corrected global fuel burn results (see Wilkerson et al. (2010)); f) HC expressed as CH<sub>4</sub>; g) expressed as S-SO<sub>x</sub>, assuming that 96.3% of the SO<sub>x</sub>-S was partitioned to SO<sub>2</sub>-S and 3.7% to S(VI)-S (particle); h) expressed as S-SO<sub>x</sub>, assuming that 98% of the SO<sub>x</sub>-S was partitioned to SO<sub>2</sub>-S.

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**Table 3.** List of recent studies in the literature that measure EIs directly from engine or airplane tests. The table also reports studies on hydrocarbon profiles. Some information about tested aircraft and engine models, selected thrust and sampling methodologies and analytical techniques, type of fuel, date and location of experiments is also given.

Airframe/Engine	Analyzed compounds	Sampling and experimental (sampling system [analytical methods])	Tested regimes and [fuels]	References
F101 (Military TF with reheat used on the B-1B aircraft); F110 (Military TF with reheat used on the F-16C and F-16D aircraft)	CO <sub>2</sub> , CO, NO <sub>x</sub> , total hydrocarbons, individual organic species	Samples collected from each engine using a probe positioned just behind the exhaust nozzle	Four power settings from idle to intermediate power	<a href="#">Spicer et al. (1992)</a>
TF-39 (Military TF of Lockheed C-5) and CFM-56 (TF)	CO, NO, NO <sub>x</sub> , total hydrocarbons, C <sub>2</sub> to C <sub>17</sub> organics, PAHs, aldehydes	Sampling: sampling rake behind the engine. Experimental: non-dispersive infrared instruments, chemiluminescence, FID, polymeric adsorbent (XAD) and DNPH cartridges[GC/MS, GC/FID], On-Line Cryogenic Trap/GC, canister[GC/MS], Total Hydrocarbon Analyzer	Idle, 30%, 80%; [JP-4; JP-5; JP-8]	<a href="#">Spicer et al. (1984;1994)</a>
PW 305 (TF in small business jets)	N <sub>2</sub> O, CH <sub>4</sub>	Sampling: gas samples collected in the core of the engine without any bypass air. Experimental: infrared absorption spectroscopy	5.5%; 23.5%; 33.4%; 71.4%; 95.6%	<a href="#">Wiese et al. (1994)</a>
Various military aircraft: T56-A-7; TF39-GE-1C ; GTCP85-180; GTCP-165-1 ; T700-GE-700; J69-T-25; J85-GE-5A; F110-GE-100; F108-CF-100 ; TF33-P-7/7A; F101-GE-102 ; TF33-P-102; F117-PW-100; AFB F118-GE-100; F404-GE-F102/400; F110-GE-129; F100-PW-100; F100-PW-229; T64-GE-100; TF34-GE-100A (All Military)	CO <sub>2</sub> ; CO; NO <sub>x</sub> ; NMHCs; Aldehydes and ketones; VOCs; filterable and condensable particulate	Sampling: various test cells, hush house exhaust rate determined using three methods: carbon balance, tracer gas and F-factor. Experimental: various US-EPA' methods, including continuous emissions monitoring system; canister [GC/MS; GC/FID]; HI-VOL [lab analysis]	Idle; Approach; Intermediate; Military; Afterburner; [JP-8]	<a href="#">Gerstle et al. (1999)</a>
Research aircraft: VFW-Fokker 614 ATTAS. Engine: Rolls-Royce/SNECMA M45H Mk501 (TF)	Aerosol size distribution and chemical composition (total carbon, BC)	Sampling: ground-based measurements (also report in-flight measurements). Experimental: filter substrates[thermal technique], PCASP-100X	Different engine thrust levels: idle run and take-off	<a href="#">Petzold and Schröder (1998); Petzold et al. (1999)</a>
Fighter aircraft: F-22 Raptor (Military); Engine: F119-PW-100 (TF with reheat)	CO <sub>2</sub> ; CO; NO <sub>x</sub> ; NMHCs; Filterable and condensable particulate; Aldehydes and ketones; VOCs	Sampling: engine exhaust sampling rake system; augmentor tube slipstream sampling system. Experimental: various US-EPA' methods: continuous emissions monitoring system; canister [GC/MS; GC/FID]; HI-VOL [lab analysis]	Idle (10%); approach (20%); Intermediate (70%); Military (100%); Afterburner (150%); [JP-8]	<a href="#">Gerstle et al. (2002)</a>
NASA Boeing 757; Engine: RB-211-535E4 (TF)	CO <sub>2</sub> , H <sub>2</sub> O, HONO, HNO <sub>3</sub> , SO <sub>2</sub> , SO <sub>3</sub> , H <sub>2</sub> SO <sub>4</sub> , nonmethane hydrocarbons, aerosol size, BC	Sampling: 1 m down stream of the turbine exhaust, aerosol-sampling probe was also affixed to the blast fence 25 m downstream of the engine exhaust plane. Experimental: IR spectrometer, DMA, OPC, aethalometer, grab samples, tunable diode laser, AMS	A range of power settings from idle to near take-off thrust; [JP-5, low and high S (810 and 1820 ppm S)]	EXCAVATE: <a href="#">Anderson et al. (2005;2006)</a>

Jet trainer: T-38A Talon; Engine: 85-GE-5A (TJ)	CO <sub>2</sub> , aerosol size, BC, nonmethane hydrocarbons, SO <sub>2</sub> , CO <sub>2</sub> , SO <sub>3</sub> , H <sub>2</sub> O, HONO, H <sub>2</sub> SO <sub>4</sub> , HONO, HNO <sub>3</sub>	Sampling: 1 m down steam of the turbine exhaust. Experimental: IR spectrometer, DMA and OPC, aethalometer, grab samples, tunable diode laser, AMS	A range of power settings from idle to near take-off thrust; [JP-5 (810 ppm S)]	EXCAVATE: <a href="#">Anderson et al. (2005)</a>
Fighter: F-18 (Military). Engine: F404-GE-400 in twin-engine (TF with reheat)	Particle mass concentration, PAHs, BC	Sampling: Navy jet engine exhaust emissions from tethered aircraft, measurements at a site on the active flightline tarmac, directly from the exhausts of tethered aircraft. Experimental: DustTrak particle mass monitor, PAS, photoacoustic analyzer, Gundel denuder sampler (with PUF/XAD/PUF “sandwich” cartridges), SMPS, MOUDI cascade impactor	Power-setting increases from 65% to 70%, and from 70% to 80%	<a href="#">Rogers et al. (2005)</a>
Engine: dismantled T700-GE-401 (TS), which is fitted in Seahawk, Super Cobra, and Jayhawk helicopters (Military)	Particle mass concentration, PAHs, BC	Sampling: Navy jet engine exhaust emissions from engine maintenance test cells, measurements at Aircraft Intermediate Maintenance Department facility. Experimental: DustTrak particle mass monitor, PAS, photoacoustic analyzer, Gundel denuder sampler (with PUF/XAD/PUF “sandwich” cartridges), SMPS, MOUDI cascade impactor	Power-setting increases from idle to 98%	<a href="#">Rogers et al. (2005)</a>
NASA Boeing 757; Engine: RB211-535-E4 (TF)	Gaseous carbon species	Sampling: 10 m behind the engine exit plane. Experimental: Canister, analyses of whole air samples [GC/FID, GC/ECD, GC/MS]	4–7%; 26%; 47%; 61%; [JP-5 low and high S]	EXCAVATE <a href="#">Anderson et al. (2006)</a>
Bell helicopter; UH-1H (TS)	22 PAHs	Sampling: engine placed in a testing chamber, exhaust samples collected from the stack of the chamber using an isokinetic sampling system. Experimental: GC/MS	Five power settings: idle (50%), fly idle (67%), beed band check (79%), inlet guide vane (95%), and take off (100%); [JP-4]	<a href="#">Chen et al. (2006)</a>
Military jet fighters: F-15 Eagle and the F-16 Falcon aircraft. Engines: PW F-100-PW-100 (TF with reheat)	Automatic measurements: CO <sub>2</sub> , CO, NO, NO <sub>2</sub> , total hydrocarbons	Sampling: extractive sampling at 23 m behind the exhaust exit plane for tests at idle through military power, and at 38 m for afterburner tests; optical remote sensing measurements 23 m behind the engine exit plane. Experimental: automatic measurements; canisters [GC/MS]; DNPH-coated cartridges [HPLC/UV detector]; OP-FTIR; UV-DOAS	Ground idle (65–70%), low intermediate (80%), high intermediate (85%), military (91–93%) and afterburner (reheat); [JP-8+100]	<a href="#">Cowen et al. (2009)</a>
Aircraft: Boeing DC-8. Engine: CFM-56-2C1 (TF)	CO, CO <sub>2</sub> , NO, NO <sub>2</sub> , HONO, total VOCs, gas-phase speciated hydrocarbons, particle number concentration, particle size distribution, PM <sub>2.5</sub> [mass, EC/OC, SVOCs, inorganic ions, elemental composition]	Sampling: the exhaust plume was sampled at 1, 10 and 30 m downstream of the engines. Experimental: continuous and time-integrated instruments: IR absorption, TILDAS, PTR-MS, AMS, canister[GC/MS, GC/FID], DNPH cartridges[HPLC], TEOM, CPC, SMPS, DMA, PM-2.5 cyclones [47mm PTFE filter], PM-2.5 cyclones [47mm QFF+PUF], ELPI, aethalometer, PAH analyzer; lab analyses on filters and PUF [GC/MS, TOA@NIOSH, ion chromatography, XRF]	“EPA test matrix” (typical LTO); “NASA test matrix” including 11 power settings); [3 fuels: base fuel, high sulfur (1639 ppm), high aromatic]	APEX-1: <a href="#">Wey et al (2006)</a> ; <a href="#">Knighton et al. (2007)</a> ; <a href="#">Wormhoudt et al. (2007)</a> ; <a href="#">Yelvington et al. (2007)</a> ; <a href="#">Wong et al. (2008)</a> ; <a href="#">Onash et al. (2009)</a> ; <a href="#">Kinsey (2009)</a>

Aircraft: B737-700; B737-300. Engines: CFM56-7B24, CFM56-3B1, CFM56-3B2 (all TF)	CO <sub>2</sub> , gas-phase speciated hydrocarbons, particle number concentration, particle size distribution, PM <sub>2.5</sub> [mass, EC/OC, SVOCs, inorganic ions, elemental composition, PAHs]	Sampling: on-wing at the ground run-up enclosure; 1, 30 and 54 m from the exhaust nozzle exit. Experimental: continuous and time-integrated instruments: IR absorption, canister[GC/MS, GC/FID], DNPH cartridges[HPLC], TEOM, CPC, SMPS, EEPS, DMA, PM-2.5 cyclones [47mm PTFE filter, 47mm QFF+PUF], ELPI, aethalometer, PAH analyzer; lab analyses on filters and PUF [GC/MS, TOA@NIOSH, ion chromatography, XRF], AMS	4%, 7%, 30%, 40%, 65%, 85%; [Jet-A]	APEX-2: <a href="#">Agrawal et al. (2008)</a> ; <a href="#">Kinsey (2009)</a> ; <a href="#">Timko et al. (2010b;c)</a>
Aircraft: B737-300, Embraer ERJ-145, A300, B775, plus Learjet Model 25. Engines: CFM56-3B1, AE3007A1E, AE3007A1/1, PW4158, RB211-535E4-B (all TF), plus CJ610-8ATJ (TJ)	CO <sub>2</sub> , gas-phase speciated hydrocarbons, particle number concentration, particle size distribution, PM <sub>2.5</sub> [mass, EC/OC, SVOCs, inorganic ions, elemental composition]	Sampling: the exhaust plume was sampled at a location 1, and 30 m downstream of the engines (sometimes at 15 and 43 m); Sampling was done at the centre-line using a single probe. Experimental: continuous and time-integrated instruments: IR absorption, TILDAS, quantum cascade-TILDAS, canister[GC/MS, GC/FID], DNPH cartridges[HPLC], TEOM, CPC, SMPS, EEPS, DMA, PM-2.5 cyclones [47mm PTFE filter, 47mm QFF+PUF], ELPI, aethalometer, PAH analyzer; lab analyses on filters and PUF [GC/MS, TOA@NIOSH, ion chromatography, XRF], AMS	4%, 7%, 15%, 30%, 45%, 65%, 85%, 100% [slightly varying for some engines, see <a href="#">Kinsey (2009)</a> ]; [Jet-A]	APEX-3: <a href="#">Knighton et al. (2007)</a> ; <a href="#">Kinsey (2009)</a> ; <a href="#">Timko et al. (2010b;c)</a>
Military helicopters: Blackhawk, Apache: T700-GE-700 and T700-GE-701C (TS)	CO <sub>2</sub> , H <sub>2</sub> O, CO, NO, and N <sub>2</sub> O (FTIR); particle number, mass and size distributions, smoke number (automatic); elements, ions, EC, OC (on PM filters)	Sampling: extractive sampling at the engine nozzle, plus extractive sampling (4.14 m) and remote-sensing at a predetermined distance downstream of the engine exhaust plane. Experimental: FTIR, TDLAS, UV DOAS, OP-FTIR; CPC, DMA, SMPS, TEOM, smoke machine, sandwiched PM <sub>1</sub> impaction-style sampler [XRF, ion chromatography, TOA@NIOSH]	Idle, 75%, max; [JP-8, FT]	<a href="#">Cheng (2009)</a> ; <a href="#">Cheng et al. (2009)</a> ; <a href="#">Cheng and Corporan (2010)</a>
Military transport (cargo) aircraft: Lockheed C-130 Hercules. Engine: T56-A-15 (TP)	CO <sub>2</sub> , H <sub>2</sub> O, CO, NO, and N <sub>2</sub> O (FTIR); particle number, mass and size distributions, smoke number (automatic); elements, ions, EC, OC (on PM filters)	Sampling: at the engine exit plane and at 5 and 15 m downstream of the engine exit. Experimental: remote sensing: FTIR, TDLAS, UV DOAS, OP-FTIR; Extractive measurements: on-line gas analyzer, cross-filter correlation spectroscopy, chemiluminescence, CPC, SMPS, TEOM, smoke machine, PM <sub>1</sub> sampler [XRF, ion chromatography, carbon analyzer]	Low speed ground idle (4%); high speed ground idle (7%); flight idle (20%); cruise (41%); max (100%); [JP-8, FT]	<a href="#">Cheng et al. (2008)</a> ; <a href="#">Corporan et al. (2008)</a> ; <a href="#">Cheng (2009)</a> ; <a href="#">Cheng and Corporan (2010)</a>
Military bomber: B-52. Engine: TF33-P-3/103 (TF)	CO <sub>2</sub> , H <sub>2</sub> O, CO, NO, and N <sub>2</sub> O (FTIR); particle number, mass and size distributions, smoke number (automatic); elements, ions, EC, OC (on PM filters)	Sampling: extractive sampling at the engine nozzle, plus extractive sampling and remote-sensing at a predetermined distance downstream of the engine exhaust plane. Experimental: FTIR, TDLAS, UV DOAS, OP-FTIR; CPC, SMPS, TEOM, smoke machine, PM <sub>1</sub> sampler [XRF, ion chromatography, carbon analyzer]	TF33 (idle, 80%, 90%, 95%); [JP-8, FT]	<a href="#">Cheng (2009)</a> ; <a href="#">Cheng and Corporan (2010)</a>
Update and consolidation of the existing HAPs profile using data from <a href="#">Spicer et al. (1994)</a> , EXCAVATE and APEXs campaigns	Hydrocarbons, EIs and profiles (mass fraction)	Data analysis	Various	<a href="#">Knighton et al. (2009)</a>



Military transport (cargo) aircraft: Lockheed C-130 Hercules. Engine: Allison T56 (TP)	CO <sub>2</sub> , CO, NO <sub>x</sub> , total hydrocarbons, organic gases including carbonyls	Experimental: non-dispersive IR, cross-filter correlation spectroscopy, chemiluminescence, FID, PTR-MS, canister[GC/MS], DNPH cartridges[HPLC]	Low speed ground idle, High speed ground idle, Flight idle Cruise, Maximum power; [JP-8]	<a href="#">Spicer et al. (2009)</a>
Jet fighter: F-15. Engine: PW F100-PE-100 (TF with reheat)	CO <sub>2</sub> , CO, NO <sub>x</sub> , total hydrocarbons, organic gases including carbonyls	Experimental: non-dispersive IR, cross-filter correlation spectroscopy, chemiluminescence, FID, PTR-MS, canister[GC/MS], DNPH cartridges[HPLC]	Idle, Low intermediate, High intermediate, Military, Afterburner; [JP8+100]	<a href="#">Spicer et al. (2009)</a>
Summary of the APEX1–3 campaigns: CFM56-2C1, CFM56-7B24, CFM56-3B1, CFM56-3B2, AE3007A1E, AE3007A1/1, P&W 4158, RB211-535E4-B (all TF), and CJ610-8ATJ (TJ)	Physical and chemical characterization of PM; PM mass, particle number concentrations and size, BC, surface-bound PAHs; inorganic ions, EC, OC, SVOCs, elements	As for APEX1–3 campaigns	LTO and others	<a href="#">Kinsey et al. (2010; 2011)</a>
Pratt & Whitney; PW three high-bypass TF, representing two different distinct engine model types	Total particulate mass, chemical composition and size distributions of the emitted oil	Sampling: Particulate matter emitted from the lubrication system overboard breather vent with a self-designed collecting and diluting apparatus. Experimental: C-TOFAMS, TEOM, engine exhaust particle sizer, CPC and ultra high sensitivity aerosol spectrometer	Cycles from idle to 65-70% thrust	<a href="#">Yu et al. (2010)</a>
NASA DC-8; CFM56-2C1 (TF)	CO <sub>2</sub> , CO, NO <sub>x</sub> , SO <sub>2</sub> , CH <sub>4</sub> , N <sub>2</sub> O, HONO, total and speciated hydrocarbons, hazardous air pollutants; particle measurements included number density, size distribution, mass, aerosol chemical composition, and black carbon composition	Sampling: from inlet probes positioned 1 and 30 m downstream of the aircraft's engines; aged plumes at 145 m away from the engine output in the direction of the predominant wind, 1.3 m above the ground. Experimental: NDIR, CPC, SMPS, EEPS, DMS, MAAP, PAS 2000, AMS, CCN, TILDAS, PTR-MS, conventional gas analyzers, TEOM	7 thrusts: LTO + 4%(idle); 45%(approach); 65%(cruise); [JP-8, FT (Shell), FT (Sasol)]	AAFEX: <a href="#">Anderson et al. (2011)</a> , <a href="#">Santoni et al. (2011)</a>
KC-135T Stratotanker (Military); CFM56-2B1 (TF)	CO <sub>2</sub> , CO, O <sub>2</sub> , NO <sub>x</sub> , total hydrocarbon; PM, particle number concentration and size (after exhausts dilution in smog chamber)	Sampling: exhaust sampled using a rake inlet installed 1 m downstream of the engine exit plane; a dilution sampler and portable smog chamber were also used. Experimental: five-gas exhaust gas analyzer; canister[GC/MS], PM <sub>2.5</sub> cyclone[QFF and PTFE filters, Tenax TA sorbent, GC/MS, OC/EC analyzer], SMPS, AMS	4%, 7%, 30%, 85%; [JP-8]	<a href="#">Presto et al. (2011)</a> ; <a href="#">Miracolo et al. (2011)</a>

Helicopters; Allison T63-A-700 (TS)	CO <sub>2</sub> , CO, NO <sub>x</sub> , CH <sub>4</sub> , and C <sub>2</sub> H <sub>4</sub> , unburned hydrocarbons, number and size of particles, BC	Samples were extracted from the engine exit plane via temperature-controlled probes, charcoal tubes, DNPH tubes; NDIR, FTIR, FID, CPC, SMPS, MAAP, GC/MS	3% (low-speed idle), 7% (high-speed idle), 15% (intermediate), 85% (cruise); [JP-8, a synthetic paraffinic kerosene, and four two-component surrogate mixtures] <a href="#">Cain et al. (2013)</a>
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4089 **Used acronyms:** AMS=aerosol mass spectrometer; BAM=beta-attenuation mass monitor; CPC=condensation particle counter; C-TOF AMS=time-of-flight aerosol mass spectrometer; DMA=differential  
4090 mobility analyser; EEPS=engine exhaust particle sizer; ELPI=electrical low pressure impactor; FTIR=Fourier transform infrared spectroscopy; GC/ECD=gas chromatography/electron capture detector;  
4091 GC/FID=gas chromatography/flame ionization detector; GC/MS=gas chromatography/mass spectrometry; HI-VOL=high volume PM sampler; LIDAR=laser interferometry detection and ranging;  
4092 MAAP=multi-angle absorption photometer ; NDIR=non-dispersive infrared spectroscopy; OPC=optical particle counting and photometry; OP-FTIR=open-path Fourier transform infrared spectroscopy;  
4093 PAS=photoelectric aerosol sensor; PTFE=Teflon; PTR-MS=proton-transfer reaction mass spectrometry; QFF=quartz fibre filter; SEM/EDX=scanning electron microscopy/energy-dispersive X-ray  
4094 spectroscopy; SMPS=scanning mobility particle sizer spectrometer; TDLAS=tunable diode laser absorption spectroscopy; TEOM=tapered element oscillating microbalance; TF=turbofan; TILDAS=tunable  
4095 infrared differential absorption spectroscopy; TJ=turbojet; TOA=thermo-optical OC-EC analyzer (@used method); TP=turpoprop; TS=turboshaft; UV-DOAS=UV differential optical absorption spectroscopy;  
4096 VOC=volatile organic compounds; XRF=X-ray fluorescence spectroscopy.

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**Table 4.** List of recent studies available in the literature reporting EIs during real aircraft operation. The table also reports supplementary information (if available) about the target of the study, period and location of experiments, tested aircraft or engine models, measured pollutants, analysed LTO phases and sampling methodologies. The list of acronyms is provided in Table 3.

Target; Period; Airport	Analyzed compounds	Sampling; Analytical	Engine thrusts (if know) or LTO phases	References
In service military and civil aircraft at various airports	CO <sub>2</sub> , H <sub>2</sub> O, CO, NO, N <sub>2</sub> O	Measurements performed at distances of 20-40 m to the nozzle exit perpendicular to the exhaust flow via ground-based FTIR analysis	Various thrusts	<a href="#">Heland and Schafer (1997;1998)</a>
Various (90) in service aircraft: from gulfstream executive jets to Boeing 747-400s at London Heathrow Airport (UK)	CO <sub>2</sub> , CO, NO, hydrocarbons	The remote sensor positioned at ground level. Experimental: non-dispersive IR spectroscopy, dispersive UV spectrometer	Mix of idle, taxi-out and take-off modes	<a href="#">Popp et al. (1999)</a>
Emission indices of different aircraft engines using non-intrusive measurements at Frankfurt/Main (GER), London-Heathrow (UK), Vienna (AT) airports	CO <sub>2</sub> , CO, NO, NO <sub>2</sub> , ethene, ethine, formaldehyde	Open paths of 80 up to 150 m length were installed in parallel directly behind the aircraft. Experimental: FTIR with MIDAC spectrometer, FTIR with K300 spectrometer, DOAS	Aircraft operating conditions, idling aircraft	<a href="#">Schäfer et al. (2003)</a>
30 individual planes, ranging from TP to jumbo jets; August 2001; J.F. Kennedy Airport (USA)	CO <sub>2</sub> , NO, NO <sub>2</sub>	Measurements within 350 m of a taxiway and 550 m of a runway. Experimental: automatic (IR), TILDAS	Taxiway thrust and take-offs	<a href="#">Herndon et al. (2004)</a>
In-use commercial aircraft; period: 2001-2003; Airports: J.F. Kennedy airport in New York City and Logan airport in Boston (USA)	Particulate matter, number concentration and size distributions	Extractive sampling of the advected plumes of aircraft using a novel approach, 200 m of an active taxiway and runway. Experimental: ELPI, CPC	Several different types of plumes were sampled, including approach (landing) and engine start-up in addition to idle, taxi, and take-off	<a href="#">Herndon et al. (2005)</a>
45 intercepted plumes identified as being associated with specific aircraft: regional jets, B737s, MD88s, and B757s; Period: May 2003; Logan airport in Boston (USA)	CO <sub>2</sub> ; Formaldehyde, acetaldehyde, benzene, and toluene, as well as other hydrocarbon species; NO <sub>y</sub>	Ambient air is continuously analyzed through a sample port located near the roof on the front of the truck. Experimental: IR, PTR-MS; TILDAS; total reactive nitrogen instrument	Idle, taxi, approach (or landing), and take-off, as well as engine-start modes	<a href="#">Herndon et al. (2006)</a>
Real time data at Los Angeles International Airport (USA); Period: September 23-29, 2005	UFPs (diameter <100 nm), black carbon, PM <sub>2.5</sub> mass, and chemical species (PAHs, butadiene, benzene, acrolein, formaldehyde)	At blast fence (140 m from the take-off) and five downwind sites up to 600 m from the take-off runway. Experimental: SMPS (DMA/CPC), aethalometers, E-BAM, automatic PAHs analyzer, canister, cartridge	—	<a href="#">Fanning et al. (2007); Zhu et al. (2011)</a>
Impact of airport emissions at Zurich–Kloten airport (Switzerland); Period: June 2004 to July 2004	NO, NO <sub>2</sub> , CO, CO <sub>2</sub> , VOCs	Measurements with in-situ and open-path devices; COV samples taken directly within the plume of the engine, about 50–100m behind an aircraft, at a height of 1m. Experimental: FTIR; DOAS; canister [GC/FID]	—	<a href="#">Schürmann et al. (2007)</a>

Emissions from in-use commercial aircraft engines analyzed using continuous extractive sampling and associated with specific engine using tail numbers; Period: September 2004; Location: Hartsfield-Jackson Atlanta International Airport (USA)	CO <sub>2</sub> , CO, NO, NO <sub>2</sub> , formaldehyde, particle number, BC, particle size, mass-based composition	Two mobile laboratories located downwind of active runways. Experimental: Automatic (IR); TILDAS; CPC; MAAP; SMPS; DMS; AMS	Various	JETS/APEX-2 campaign: <a href="#">Herndon et al. (2008)</a>
Plume characterization from commercial aircraft at Brisbane Airport (AUS)	CO <sub>2</sub> , SO <sub>2</sub> , NO <sub>x</sub> , particle mass, number concentration and size	Plume capture and analysis system mounted in a four-wheel drive vehicle positioned in the airfield 60 to 180 m downwind of aircraft operations. Experimental: CPC, SMPS, NO <sub>x</sub> analyzer, aerosol photometer fitted with a PM <sub>2.5</sub> impactor	Normal airport operations, taxiing phase	<a href="#">Johnson et al. (2008)</a>
In-use commercial airfreight and general aviation at Oakland International Airport (USA); Period: August 20-29, 2005;	Formaldehyde, acetaldehyde, ethene, propene, and benzene	At the end of an active taxiway next to the main runway. Data collected on an ambient sampling manifold consisting of a 3.8 cm diameter tube, ~7 m long drawing ~150 slpm. Experimental: TILDAS; proton transfer reaction mass spectrometer measurements	Idle (taxiway/runway)	JETS/APEX-2 campaign: <a href="#">Herndon et al. (2009)</a>
Real world conditions, 280 individual aircraft at Brisbane Airport (AUS)	Particle number concentration, size and mass (PM <sub>2.5</sub> ), CO <sub>2</sub> , NO <sub>x</sub>	80 m from the aircraft using a novel mobile measurement system. Experimental: CPC, SMPS, NO <sub>x</sub> analyzer, aerosol photometer fitted with a PM <sub>2.5</sub> impactor	Various modes of LTO cycles including idle, taxi, landing, and take-off	<a href="#">Mazaheri et al. (2009)</a>
In-use commercial aircraft at Chicago Midway Airport and O'Hare International Airport (USA); Period: February 2010	CO, NO, NO <sub>x</sub> , oil leaks	Mobile laboratory located at downwind locations to monitor air advected from the active taxiways (30–150 m). Experimental: TILDAS; HR-ToF AMS; MAAP, CPC	—	<a href="#">Yu et al. (2012)</a>
Emission of Roanoke Regional Airport in Virginia (USA); Period: July 2011 - February 2012	CO <sub>2</sub> , NO <sub>x</sub> , particle number, BC	A mobile eddy covariance laboratory with a mast extending nearly 15 m above ground level and placed near active runways. Experimental: automatic devices, CPC, aethalometer	Idle/taxi and take-off	<a href="#">Klapmeyer and Marr (2012)</a>
Real-time measurements of aircraft engine specific emissions at Oakland International Airport (USA); Period: August 26, 2005	CO <sub>2</sub> , particle number concentration, size distributions, PM mass	100-300 m downwind of an active taxi-/runway. Experimental: Automatic IR, Cambustion DMS500, CPC, SMPS, MAAP	Normal LTO operations	<a href="#">Lobo et al. (2012)</a>

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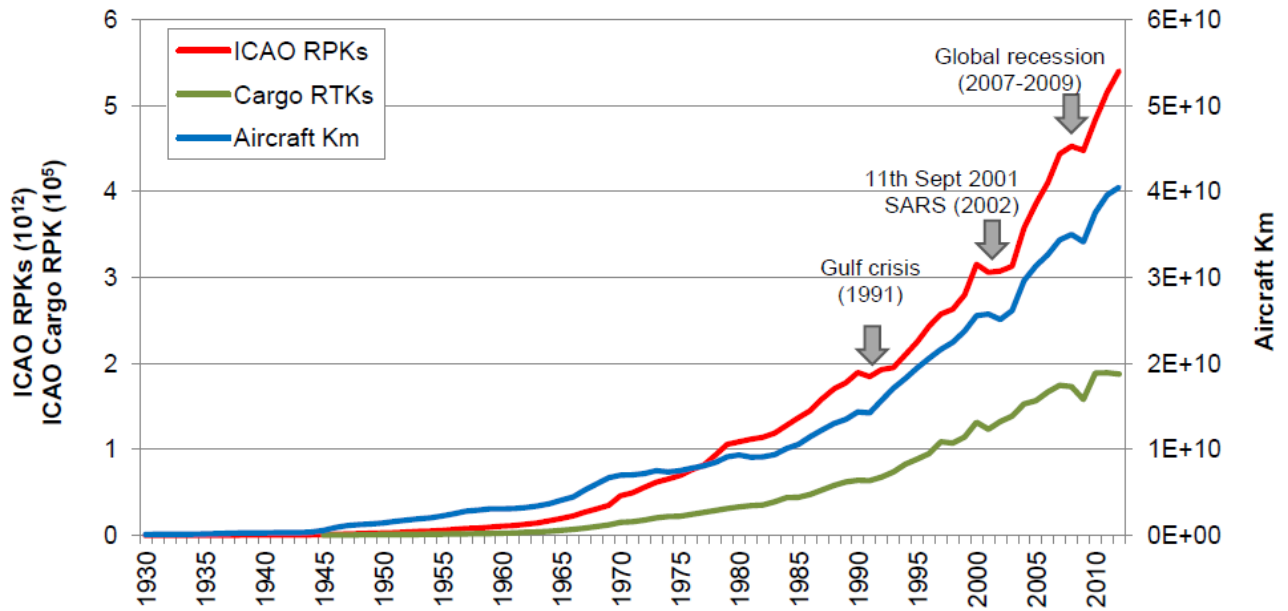
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**Table 5.** List of recent studies available in the literature conducted at airports or in their surroundings. The table also reports supplementary information (if available) about the target of the study, period and location of experiments, tested aircraft or engine models, measured pollutants, analysed LTO phases and sampling methodologies. The list of acronyms is provided in Table 3.

Target; Period; Airport	Analyzed compounds	Sampling; Analytical	Engine thrusts (if know) or LTO phases	References
Air quality data in the vicinity of Hong Kong International Airport (1997-1998) and Los Angeles International Airport (2000-2001)	CO, NO <sub>x</sub> , SO <sub>2</sub> , and respirable suspended particles	Data from routine air quality monitoring site and special study	—	<a href="#">Yu et al. (2004)</a>
Airport traffic at Heathrow (UK); Period: Jul. 2001–Dec. 2004	NO <sub>x</sub> , NO <sub>2</sub>	LHR2 site at 180 m north of the northern runway centreline. Experimental: Common automatic devices	—	<a href="#">Carslaw et al. (2006)</a>
Ambient air and personal at Fiumicino Airport, Rome (Italy); Period: January-February 2005	23 PAHs, urinary 1-hydroxypyrene, micronucleus assay, Comet assay, Sister chromatid exchange	Air samples collected from airport apron, airport building and terminal/office area during 5 working days, plus a biomarker of exposure following 5 working day. Experimental: Active ECHO PUF sampler at 35 L/min for the first 20 min and at 120 L/min for the remaining 23 h and 40 min on each day. [GC/MS analysis]	—	<a href="#">Cavallo et al. (2006)</a>
Individual plumes from 29 commonly used engines; Period: October 19-November 15, 2005; Location: London Heathrow (UK)	NO <sub>x</sub>	180 m from the runway. Experimental: chemiluminescence monitor	—	<a href="#">Carslaw et al. (2008)</a>
Analysis of the extent of Los Angeles International Airport emissions on downwind ambient air in a mixed use neighborhood that includes residences. Period: spring of 2003	UFP, BC, NO <sub>x</sub> , particle-phase PAHs	Data collected at various sites in and around the airport: 500 m upwind of the north runway and downwind of the airport (500 m north and east of the centerline of the north runway; 100 m downwind of the taxiway; 100 m downwind of the south runway; 900 m downwind of the south runway) . Experimental: CPC, SMPS, DMA, aethalometer, photoelectric aerosol sensor, NO <sub>x</sub> analyzer	—	<a href="#">Westerdahl et al. (2008)</a>
APEX2-3: Oakland International Airport in August 2005, and Cleveland Hopkins International Airport in Oct-Nov 2005.	NO <sub>x</sub> and NO <sub>y</sub> , including HONO	Panel truck. Experimental: TILDAS; quantum cascade-TILDAS; chemiluminescence analyzer	—	<a href="#">Wood et al. (2008b)</a>
Airport traffic at Warwick, Rhode Island (USA); Period: July 2005-September 2006	BC	Five monitoring sites: 4 close and 1 approx 3.7 km from the airport. Experimental: Continuous with aethalometers	—	<a href="#">Dodson et al. (2009)</a>
General aviation and private jets at Santa Monica Airport (USA); Period: Spring and summer 2008	UFP, PM2.5, BC, particle bound PAHs, CO, NO <sub>x</sub> , NO, NO <sub>2</sub>	Downwind of the airport using an electric vehicle mobile platform equipped with fast response instruments. Experimental: CPC,	Idle/taxi and take-off	<a href="#">Hu et al. (2009)</a>

		FMPS, aethalometer, PAS, automatic measurements of gases		
Airport traffic at El Prat, Barcelona (Spain); Period: October 17-November 16, 2007	PM10, PM2.5 and PM1 continuously; PM10 (EC, OC, SO42-, NO3-, Cl-, NH4+, Al, Ca, K, Mg, Fe, S, Na, As, Ba, Bi, Cd, Ce, Co, Cr, Cs, Cu, Ga, Hf, La, Li, Mn, Mo, Nb, Ni, P, Pb, Rb, Sb, Sc, Se, Sn, Sr, Th, Ti, Tl, U, V, W, Y, Zn, Zr)	Mobile laboratory van at about 130 m from the major runway. Experimental: PM <sub>10</sub> , PM <sub>2.5</sub> and PM1 with laser-spectrometer dust monitors and PM10 on QFF using HI-VOL sampler	Take-off, sometimes landing	<a href="#">Amato et al. (2010)</a>
Commercial aircraft; Period: 10–20 May 2005; Airports: Manchester and London Heathrow (UK)	Dispersion of exhaust plumes	Rapid-scanning LIDAR system installed at ground 200-330 m on the sides of runways	All modes were observed: taxiing, take-off, rotation, climb-out, approach, and landing. Landing tyre smoke	<a href="#">Bennett et al. (2010)</a> ; <a href="#">Bennett and Christie (2011)</a>
Commercial airliners at London Heathrow (UK): A320 232; B757 236; B747 436)	PM elemental composition, particle size spectrum	Samples of dust from the undercarriage. Experimental: SEM/EDX; aerosizer/aerodisperser	—	<a href="#">Bennett et al. (2011)</a>
Ambient air and personal at the Teterboro Airport, New York/New Jersey metropolitan area (USA); Period: Summer 2006 and winter 2006–2007;	BTEX	At 15 households located close to the airport (indoor, outdoor, and personal), at the end of airport runways and an out-of-neighborhood location. Experimental: Passive samplers (48 h) [GC/MS]	—	<a href="#">Jung et al. (2011)</a>
High-resolution monitoring and flight activity data to quantify contributions from LTO at T.F. Green Airport in Warwick (USA). Period: 2007-2008	Particle number concentration	Four stationary monitoring sites around the airport. Experimental: CPC	Various LTO phases, especially departures	<a href="#">Hsu et al. (2012)</a>
Aircraft emissions and local air quality impacts from take-off activities at Los Angeles International Airport (USA). Periods: September 2005; Feb-Mar 2006; May 2006	Particle number concentrations and size distributions, and time integrated black carbon, PM <sub>2.5</sub> mass, and chemical species	Data collected at the blast fence (~140 m from the take-off position) and 5 sites located downwind, up to 600 m from the take-off runway and upwind of a freeway. Experimental: CPC, SMPS, aethalometers, BAM, PAH Tisch Sampler, canister and cartridge samplers[lab analysis]	Taxi-way and take-off operations	<a href="#">Zhu et al. (2011)</a>
Contributions of aircraft arrivals and departures to UFP at Los Angeles International Airport (USA). Period: summer 2008	Particle number concentration	Five sites around the airport. Experimental: Fast Mobility Particle Sizer	LTO phases: aircraft arrivals and departures	<a href="#">Hsu et al. (2013)</a>

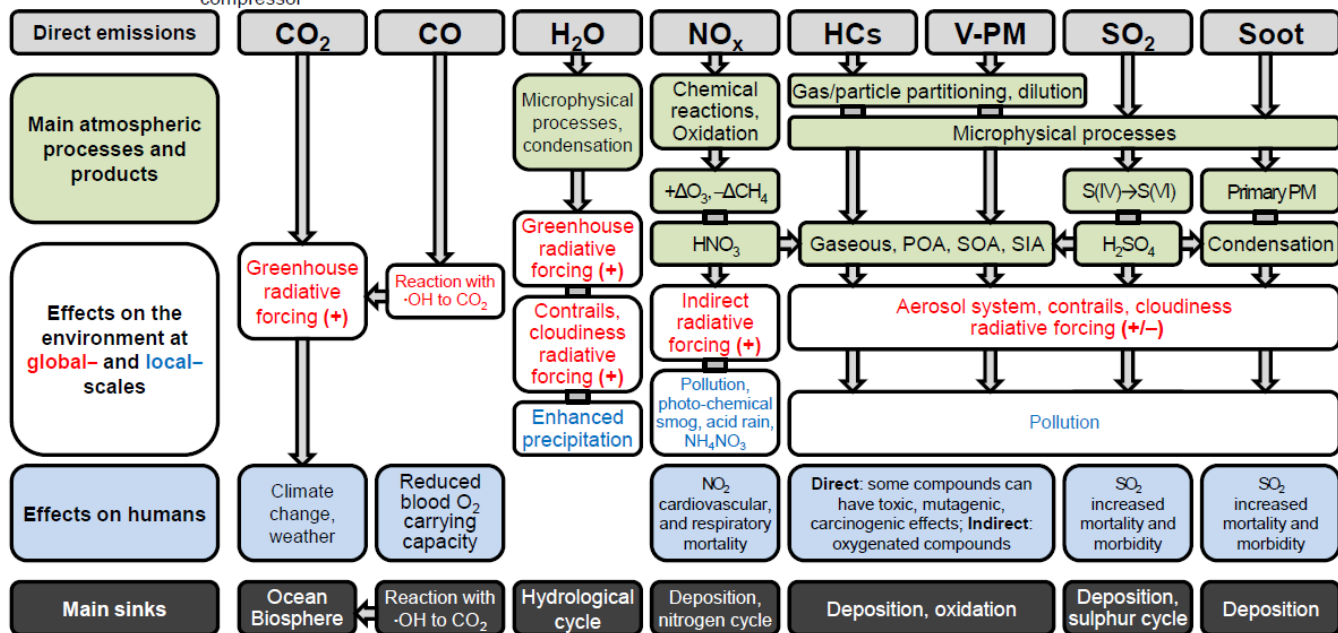
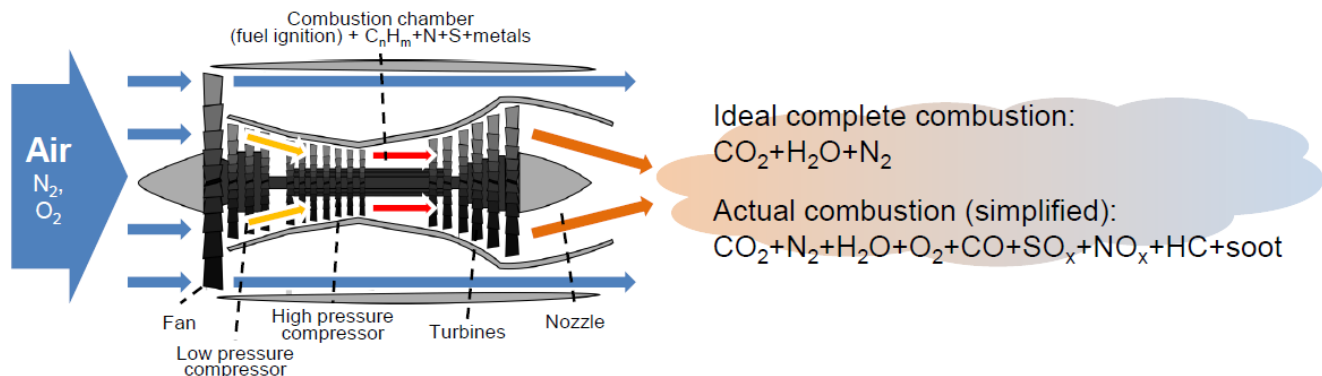


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4112 **Figure 1.** Absolute growth of aviation (1930–2012) recorded by ICAO in terms of RPK, RTK and  
 4113 aircraft kilometres. Data refers to ICAO (2013) and were taken from Airlines for America (2013).  
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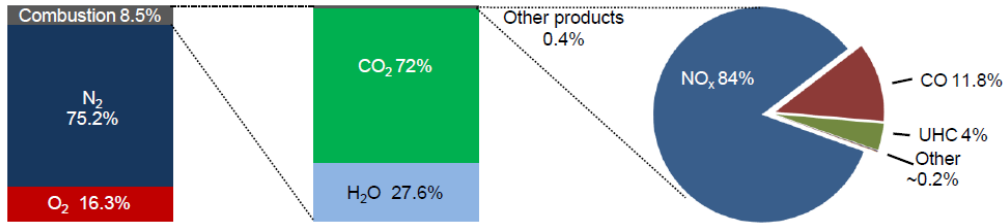
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4118 **Figure 2.** Simplified diagram of a turbofan engine (upper left); products of ideal and actual  
 4119 combustion in an aircraft engine (upper right); and related atmospheric processes, products,  
 4120 environmental effects, human health effects and sinks of emitted compounds (bottom). Adapted  
 4121 from Prather et al. (1999), Wuebbles et al. (2007) and Lee et al. (2009).  
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4124 **Figure 3.** Division of the combustion products from an aircraft engine, adapted from Lewis et al.  
 4125 (1999).

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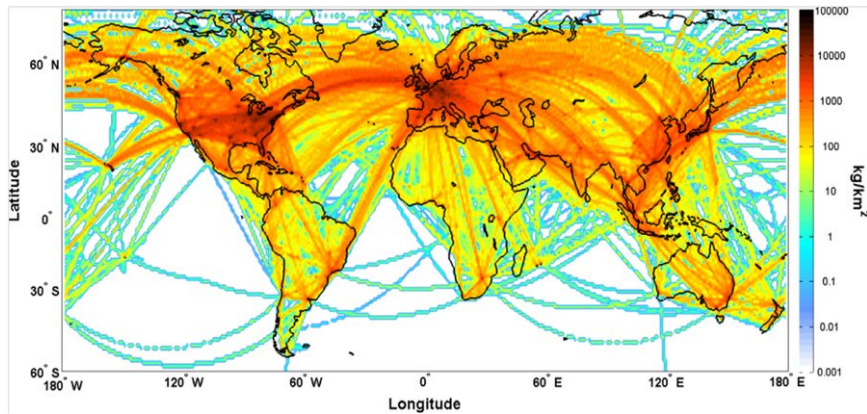
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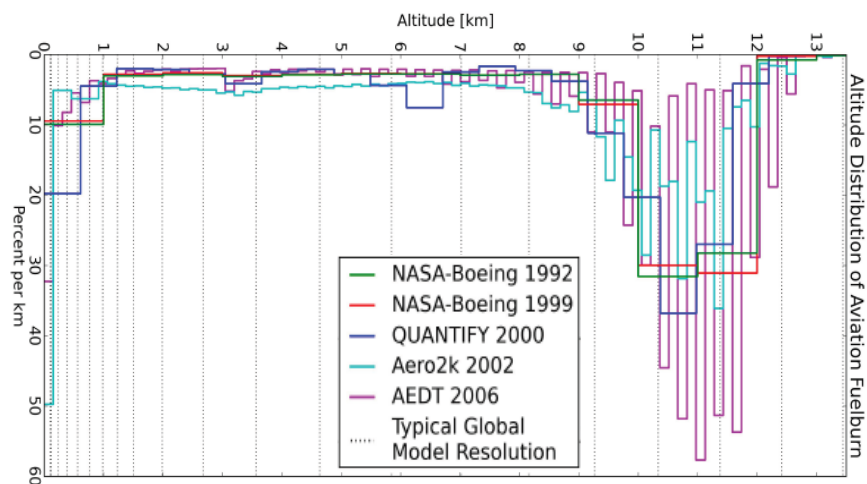
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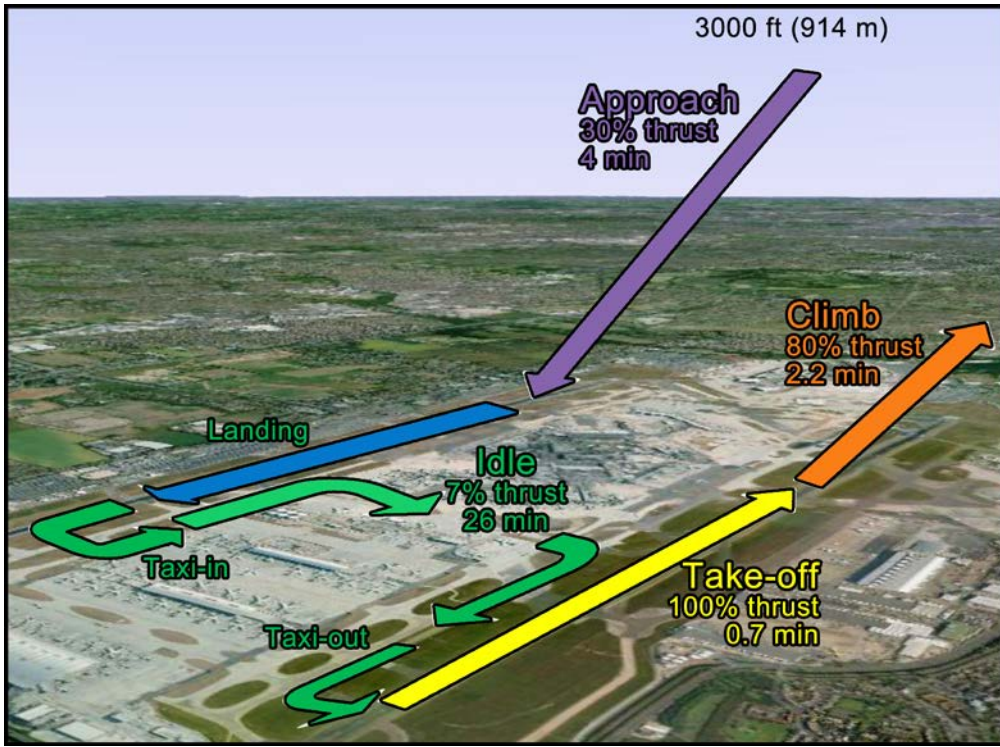


4143 **Figure 4a and 4b.** Geographical and vertical distributions of aviation: a) column sum of global fuel  
 4144 burn from scheduled civil aviation in 2005, as reported by Simone et al. (2013) using AEIC model  
 4145 (Stettler et al., 2011); b) annual global vertical distribution of commercial aviation fuel burn for the  
 4146 NASA-Boeing 1992 and 1999 (Baughcum et al., 1996a;b; Sutkus et al., 2001), QUANTIFY 2000  
 4147 (Owen et al., 2010), AERO2k (Eyers et al., 2004) and AEDT 2006 (Roof et al., 2007) datasets,  
 4148 taken from Olsen et al. (2013).

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4153 **Figure 5.** Standard ICAO LTO cycle. Adapted from ICAO (2011).

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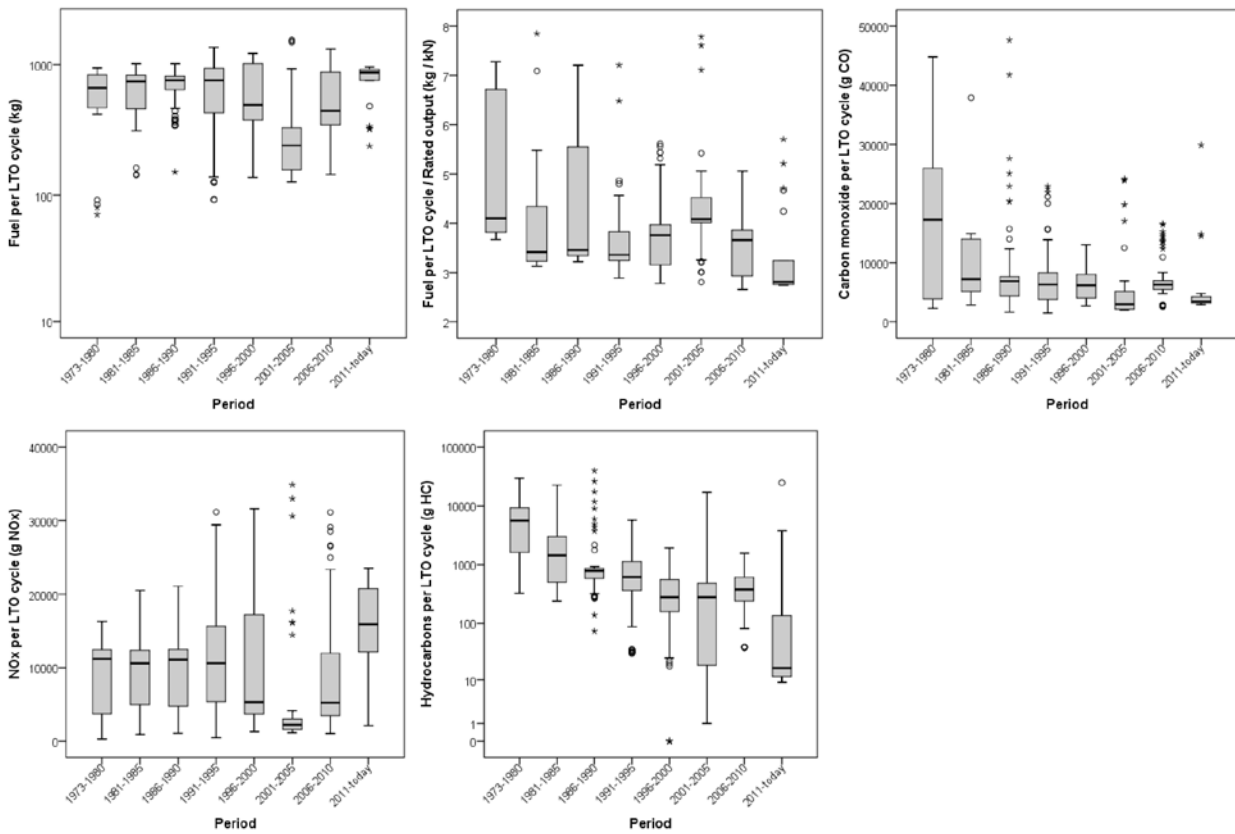
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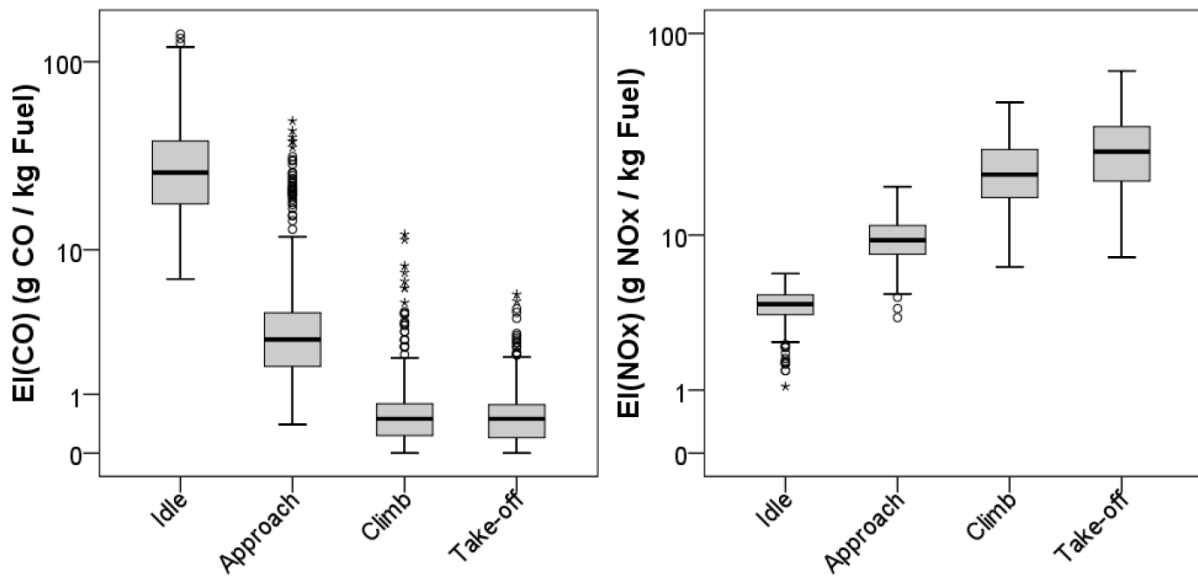
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4171 **Figure 6.** Burned fuel and emissions for complete standardised LTO cycle. Data from ICAO  
 4172 databank at April 2013 (EASA, 2013). All engines certified in each period were included in the  
 4173 statistics, without distinction of type, manufacturer, model or technology.  
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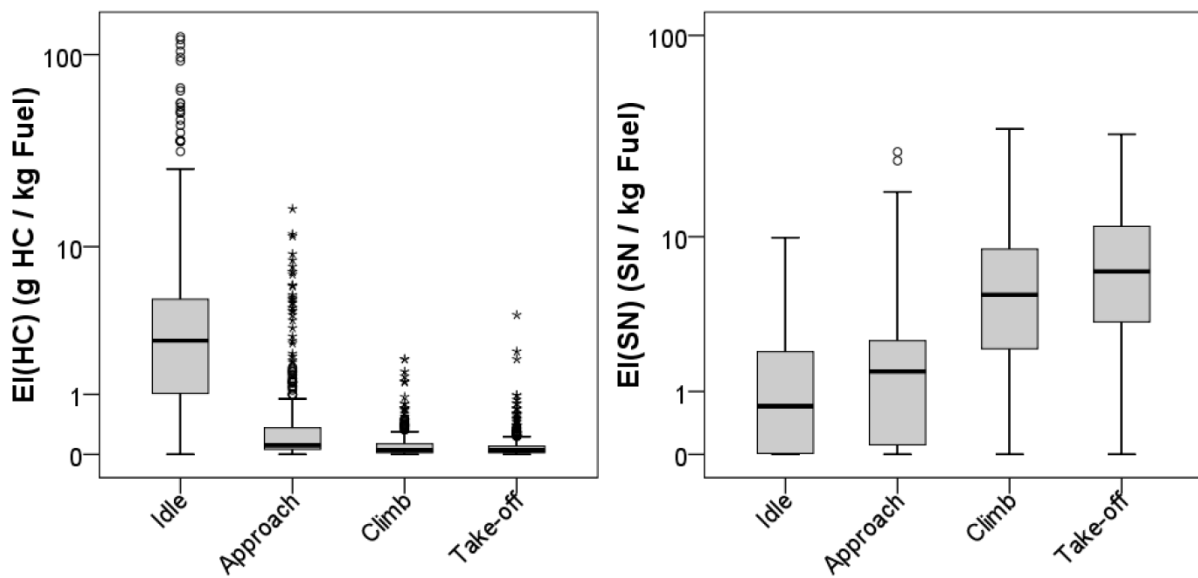
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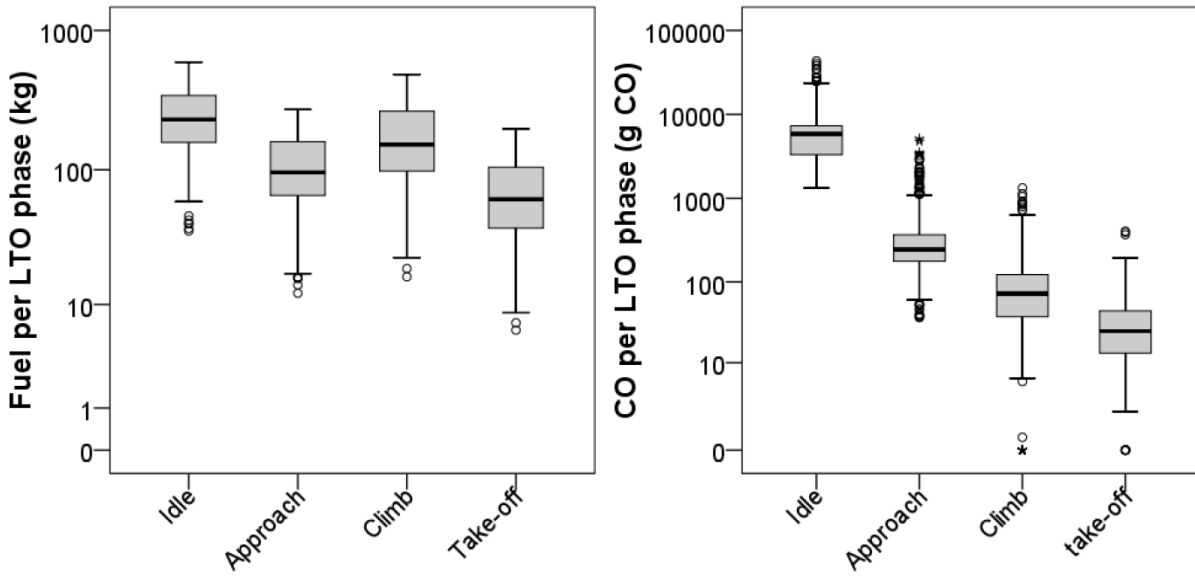


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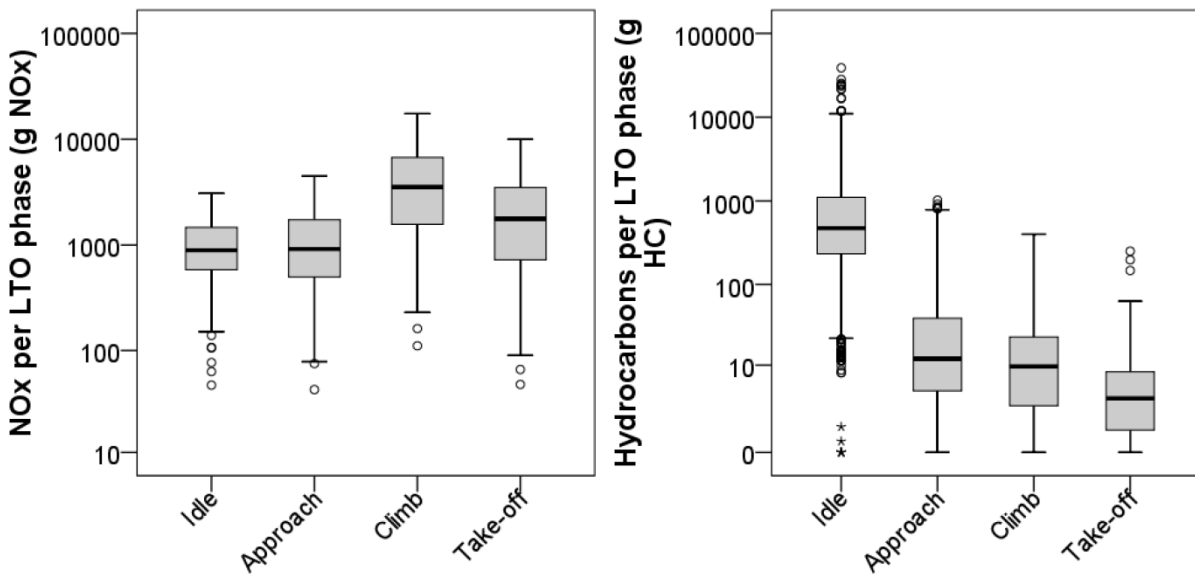
4180 **Figure 7.** EIs provided by the ICAO databank (EASA, 2013). All in-use engines certified from  
 4181 1976 to today (April 2013) are included.

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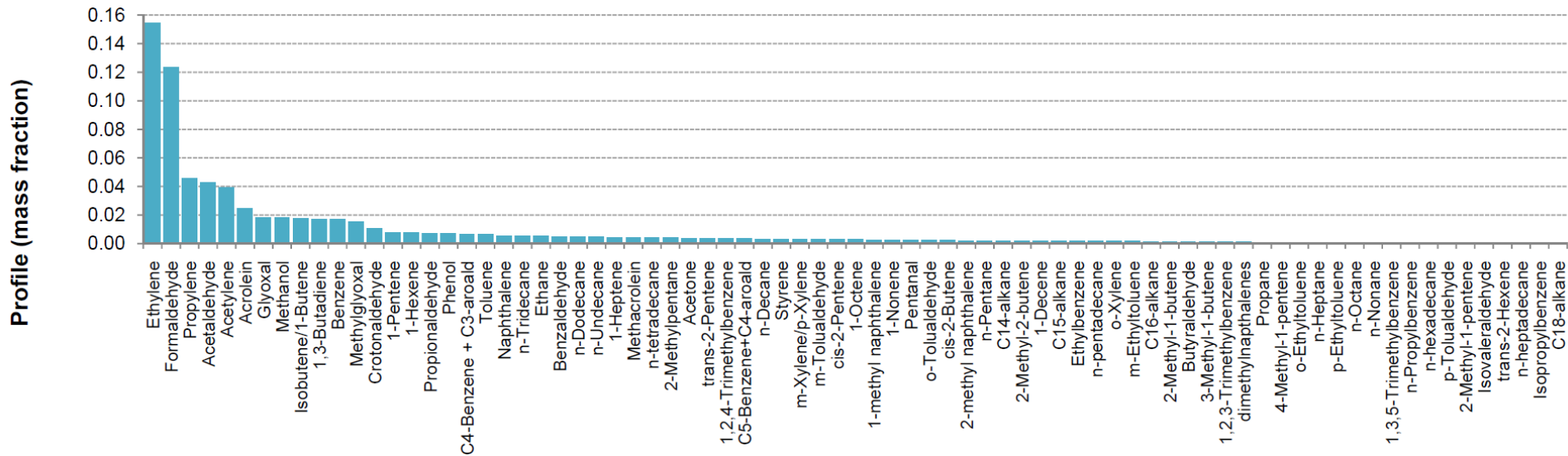


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4186 **Figure 8.** Fuel burned and emissions of CO, NO<sub>x</sub> and total unburned hydrocarbons during the four  
 4187 LTO phases. Data were calculated from the EIs and fuel consumption provided by the ICAO  
 4188 databank (EASA, 2013). All in-use engines certified from 1976 to today (April 2013) were included  
 4189 and reprocessed as a function of LTO stages and standard times (i.e., 0.7 min for take-off, 2.2 min  
 4190 for climb-out, 4 min for approach and 26 min for idle).  
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**Figure 9.** Results of the APEX campaigns. Profile (mass fractions) of individual hydrocarbon species. The single compounds are ordered to show decreasing fractions.

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